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Lie derived lengths of restricted universal enveloping algebras

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Dedicated to A. A. Bovdi on his 70-th birthday

Abstract. In this paper we examine the Lie derived length of a restricted universal enveloping algebra u(L), where L is a restricted Lie algebra over a field F of characteristic p > 0. In particular, we prove that, if the Lie derived length of u(L) is at most n and $p \ge 2^n$, then L is abelian. Moreover, we establish when is a restricted universal enveloping algebra strongly Lie solvable and study its strong Lie derived length.

1. Introduction

Let R be an associative algebra with a unit over a field F. R can be regarded as a Lie algebra via the Lie commutator [x, y] = xy - yx for every $x, y \in R$. The Lie derived series $\delta^{[n]}(R)$ and the strong Lie derived series $\delta^{(n)}(R)$ of R are defined by induction as follows:

$$\begin{split} \delta^{[0]}(R) &= \delta^{(0)}(R) = R, \\ \delta^{[n]}(R) &= [\delta^{[n-1]}(R), \delta^{[n-1]}(R)], \end{split}$$

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$$\delta^{(n)}(R) = [\delta^{(n-1)}(R), \delta^{(n-1)}(R)]R.$$

R is said to be *Lie solvable* (resp. *strongly Lie solvable*) if $\delta^{[n]}(R) = 0$ $(\delta^{(n)}(R) = 0)$ for some *n*. The minimum *n* such that $\delta^{[n]}(R) = 0$ (resp. $\delta^{(n)}(R) = 0$) is called the *Lie derived length* (*strong Lie derived length*) of *R* and denoted by $dl_{\text{Lie}}(R)$ ($dl^{\text{Lie}}(R)$). As $\delta^{[n]}(R) \subseteq \delta^{(n)}(R)$ for all *n*, it is clear that if *R* is strongly Lie solvable then *R* is Lie solvable (and $dl_{\text{Lie}}(R) \leq dl^{\text{Lie}}(R)$), but the converse is in general not true.

Let u(L) be the restricted universal enveloping algebra of a restricted Lie algebra L with p-map [p] over a field F of characteristic p > 0. Some questions concerning the Lie structure of u(L) were examined by D. RILEY and A. SHALEV in [2]. In particular, under the assumption of characteristic odd, they characterized the restricted Lie algebras L whose restricted enveloping algebra u(L) is Lie solvable. While the Lie nilpotency indices can be computed using some specific methods (cf. [3]), there are very few results in the literature concerning the Lie derived lengths of u(L). In that direction, in [5] the author and E. SPINELLI have recently established when is u(L) Lie metabelian.

In this paper, we describe some results about the Lie derived length and the strong Lie derived length of a restricted universal enveloping algebra. Similar questions for group rings was considered by A. SHALEV in [4].

For a subset S of a restricted Lie algebra L, we denote by S_p the restricted subalgebra generated by S. Also, S is said to be p-nilpotent if there exists a positive integer m such that $S^{[p]^m} = \{x^{[p]^m} \mid x \in S\} = 0$. In Section 2, we show that u(L) is strongly Lie solvable if and only if L'_p is finite-dimensional and p-nilpotent. As a consequence, for characteristic odd, the Lie solvability of u(L) is equivalent to the strong Lie solvability. This is no longer true if char F = 2.

An upper bound for the strong Lie derived length of u(L) will be established in the following result:

Proposition 1. Let *L* be a restricted Lie algebra over a field *F* of characteristic p > 0. If u(L) is strongly Lie solvable then $dl^{\text{Lie}}(u(L)) \leq 1 + \lceil \log_2 p^{\dim_F L'_p} \rceil$.

In the last section, we prove the main theorem of this paper: it determines the minimal Lie derived length of u(L), where L is a non-abelian

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restricted Lie algebra over a field of characteristic p > 0.

Theorem 1. Let *L* be a non-abelian restricted Lie algebra over a field of characteristic p > 0. Then $dl_{Lie}(u(L)) \ge \lceil \log_2(p+1) \rceil$.

In fact, the lower bound expressed in Theorem 1 is the best possible. Indeed, we provide a class of restricted Lie algebras in which this value is actually reached.

2. Strong Lie solvability

Let L be a restricted Lie algebra over a field of characteristic p > 0. We denote by $\omega(L)$ the *augmentation ideal* of u(L), that is, the associative ideal generated by L in u(L). It is well known that for every restricted ideal I of L the kernel of the canonical map

$$\phi: u(L) \longrightarrow u(L/I)$$

is given by $\omega(I)u(L)$. In particular, as $u(L/L'_p)$ is commutative it follows that

$$\delta^{(1)}(u(L)) = [u(L), u(L)]u(L) \subseteq \omega(L'_p)u(L).$$

$$\tag{1}$$

Also, if I is finite-dimensional and p-nilpotent then $\omega(I)$ is nilpotent (see [2], Lemma 2.4): in this case, the minimum integer m such that $\omega(I)^m = 0$ is denoted by t(I).

The following result characterizes the restricted Lie algebras L whose restricted enveloping algebra u(L) is strongly Lie solvable.

Proposition 2. Let L be a restricted Lie algebra over a field of characteristic p > 0. Then the following conditions are equivalent:

- 1) u(L) is strongly Lie solvable;
- 2) $\omega(L'_p)$ is nilpotent;
- 3) L'_p is finite-dimensional and p-nilpotent.

PROOF. The equivalence of the conditions 2) and 3) was proved in Lemma 2.4 of [2]. Now, assume that u(L) is strongly Lie solvable. In view of a well known result of S. A. JENNINGS (cf. [1]), we have that $\delta^{(1)}(u(L))$

is nilpotent. As $\omega(L'_p) \subseteq \delta^{(1)}(u(L))$, this implies the nilpotency of $\omega(L'_p)$. Finally, assume that $\omega(L'_p)$ is nilpotent. We show by induction on *n* that

$$\delta^{(n)}(u(L)) \subseteq \omega(L'_p)^{2^{n-1}}u(L).$$
(2)

For n = 1 the claim follows by (1). Assume then n > 1. By the inductive hypothesis we have

$$\begin{split} \delta^{(n)}(u(L)) &= [\delta^{(n-1)}(u(L)), \delta^{(n-1)}(u(L))]u(L) \\ &\subseteq [\omega(L'_p)^{2^{n-2}}u(L), \omega(L'_p)^{2^{n-2}}u(L)]u(L) \\ &= [\omega(L'_p)^{2^{n-2}}, \omega(L'_p)^{2^{n-2}}u(L)]u(L) \\ &+ \omega(L'_p)^{2^{n-2}}[u(L), \omega(L'_p)^{2^{n-2}}u(L)]u(L) \\ &= [\omega(L'_p)^{2^{n-2}}, \omega(L'_p)^{2^{n-2}}]u(L) \\ &+ \omega(L'_p)^{2^{n-2}}[\omega(L'_p)^{2^{n-2}}, u(L)]u(L) \\ &+ \omega(L'_p)^{2^{n-1}}[u(L), u(L)]u(L) \subseteq \omega(L'_p)^{2^{n-1}}u(L) \end{split}$$

completing the inductive step. As $\omega(L'_p)$ is nilpotent, for a sufficiently large n we have that $\omega(L'_p)^{2^{n-1}}u(L) = 0$. It follows that u(L) is strongly Lie solvable.

As a consequence of the previous result and Theorem 1.3 of [2], we have the following

Corollary 1. Let L be a restricted Lie algebra over a field of characteristic p > 2. Then u(L) is Lie solvable if and only if u(L) is strongly Lie solvable.

When p = 2, the complete characterization of Lie solvable restricted universal enveloping algebras still remains an open problem. The following simple example shows that Corollary 1 fails for this exceptional characteristic:

Example 1. Let H be the Heisenberg algebra over a field F of characteristic 2. Then H has a basis $\{x, y, z\}$ such that

$$[x, y] = z,$$
 $[x, z] = [y, z] = 0.$

Consider the p-map on H defined by the following conditions:

$$x^{[p]} = y^{[p]} = 0, \qquad z^{[p]} = z.$$

We have that $\delta^{[3]}(u(H)) = 0$ and then u(H) is Lie solvable. On the other hand, as $H'_p = Fz$ is not *p*-nilpotent, u(H) is not strongly Lie solvable in view of Proposition 2.

Let us now establish an upper bound for the strong Lie derived length of u(L).

Lemma 1. Let *L* be a restricted Lie algebra over a field of characteristic p > 0. If u(L) is strongly Lie solvable then $dl^{Lie}(u(L)) \leq \lceil \log_2(2t(L'_p)) \rceil$.

PROOF. By (2), for every positive integer n we have that

$$\delta^{(n)}(u(L)) \subseteq \omega(L'_p)^{2^{n-1}}u(L).$$

Consequently, if $2^{n-1} \ge t(L'_p)$ then $\omega(L'_p)^{2^{n-1}} = 0$ so that $\delta^{(n)}(u(L)) = 0$. Hence, we have that

$$dl^{Lie}(u(L)) \le 1 + \log_2 t(L'_p) = \log_2(2t(L'_p))$$

and the claim follows.

PROOF OF PROPOSITION 1. Since u(L) is strongly Lie solvable, by Proposition 2, L'_p is finite-dimensional and *p*-nilpotent. According to Proposition 3.4 of [3], we have that $t(L'_p) \leq p^{\dim_F L'_p}$ and so the claim follows from Lemma 1.

If L is nilpotent of class two, the upper bound for $dl^{Lie}(u(L))$ established in Lemma 1 can be slightly improved.

Lemma 2. Let *L* be a restricted Lie algebra over a field of characteristic p > 0. If u(L) is strongly Lie solvable and *L* is nilpotent of class two, then $dl^{\text{Lie}}(u(L)) \leq \lceil \log_2(t(L'_p) + 1)) \rceil$.

PROOF. We show that for any positive integer n we have $\delta^{(n)}(u(L)) \subseteq \omega(L'_p)^{2^n-1}u(L)$. We proceed by induction on n. For n = 1 the claim coincides with (1). Suppose then n > 1. As $\omega(L'_p)$ is central in u(L), by the inductive hypothesis and (1) we have

$$\delta^{(n)}(u(L)) = [\delta^{(n-1)}(u(L)), \delta^{(n-1)}(u(L))]u(L)$$

$$\subseteq [\omega(L'_p)^{2^{n-1}-1}u(L), \omega(L'_p)^{2^{n-1}-1}u(L)]u(L)$$

$$\subseteq \omega(L'_p)^{2^n-2}[u(L), u(L)]u(L)$$

$$= \omega(L'_p)^{2^n-2}\delta^{(1)}(u(L))$$

$$\subseteq \omega(L'_p)^{2^n-1}u(L)$$

completing the inductive step. As $\omega(L'_p)^{2^n-1} = 0$ whenever $2^n - 1 \ge t(L'_p)$, the assertion follows at once.

A restricted Lie algebra \mathfrak{L} is said to be *cyclic* if there exists $x \in \mathfrak{L}$ which generates \mathfrak{L} as a restricted subalgebra.

Remark 1. Let L be a restricted Lie algebra over a field of characteristic p > 0 such that L'_p is cyclic and p-nilpotent. Using Proposition 1.3 in Chapter 2 of [6], it is easy to see that in this case L'_p can always be generated by a Lie commutator z = [x, y] for some $x, y \in L$. Also, if e(z) denotes the exponent of z (that is, the minimum positive integer n such that $z^{[p]^n} = 0$), then the elements $z, z^{[p]}, \ldots, z^{[p]^{e(z)-1}}$ form a basis of L'_p . In particular, we have $\dim_F L'_p = e(z)$.

Proposition 3. Let *L* be a restricted Lie algebra over a field *F* of characteristic p > 0 such that u(L) is strongly Lie solvable. If *L* is nilpotent of class two and L'_p is cyclic, then $dl^{\text{Lie}}(u(L)) = \lceil \log_2(p^{\dim_F L'_p} + 1) \rceil$.

PROOF. In view of Proposition 3.4 of [3] and Lemma 2, it is enough to show that $dl^{\text{Lie}}(u(L)) \geq \lceil \log_2(p^{\dim_F L'_p} + 1) \rceil$.

By Remark 1, there are $x, y \in L$ such that z = [x, y] generates L'_p as a restricted subalgebra. Clearly, we have

$$\omega(L'_p)u(L) = zu(L) \subseteq \delta^{(1)}(u(L))$$

and then by (1) it follows that

$$\delta^{(1)}(u(L)) = zu(L). \tag{3}$$

We now show by induction on n that $\delta^{(n)}(u(L)) = z^{2^n-1}u(L)$. For n = 1 the claim follows by (3). Assume then n > 1. Using (3) and the inductive hypothesis, by the centrality of z we obtain

$$\delta^{(n)}(u(L)) = [\delta^{(n-1)}(u(L)), \delta^{(n-1)}(u(L))]u(L)$$

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$$= [z^{2^{n-1}-1}u(L), z^{2^{n-1}-1}u(L)]u(L)$$

= $z^{2^n-2}[u(L), u(L)]u(L)$
= $z^{2^n-2}\delta^{(1)}(u(L))$
= $z^{2^n-1}u(L)$

completing the inductive step. As a consequence, if $\delta^{(n)}(u(L)) = 0$ then necessarily $z^{2^n-1} = 0$ and so, by the PBW Theorem for restricted Lie algebras (see, e.g., [6], Chapter 2, Theorem 5.1), we have $2^n - 1 \ge p^{e(z)} = p^{\dim_F L'_p}$. Therefore, we have $n \ge \log_2(1 + p^{\dim_F L'_p})$ and the claim follows. \Box

Remark 2. When p = 2, then under the assumption of Proposition 3 we have that $dl^{\text{Lie}}(u(L)) = \lceil \log_2(p^{\dim_F L'_p} + 1) \rceil = 1 + \dim_F L'_p$. Therefore, in some cases the upper bound of Proposition 1 can actually be reached.

In [5], it is proved that u(L) is Lie metabelian if and only if it is strongly Lie metabelian. In other words, $dl_{\text{Lie}}(u(L)) = 2$ if and only if $dl^{\text{Lie}}(u(L)) = 2$. On the other hand, if the ground field has characteristic 2, Example 1 already shows that it is possible that $dl_{\text{Lie}}(u(L)) = 3$ while $dl^{\text{Lie}}(u(L)) = \infty$. Furthermore, the derived lengths of u(L) can be different also when they are both finite. For this purpose, consider the following

Example 2. Let L be the Lie algebra over a field F, char F = 2, with basis $\{x, y, z, v, w\}$ such that [x, y] = z and z, v, w are central. Consider the p-map on L defined by the following conditions:

$$x^{[p]} = y^{[p]} = w^{[p]} = 0, \qquad z^{[p]} = v, \qquad v^{[p]} = w.$$

By construction, we have that $L'_p = Fz + Fv + Fw$ is cyclic. Using the PBW Theorem for restricted Lie algebras and the centrality of z, we obtain:

$$\delta^{[1]}(u(L)) = \left(\bigoplus_{i=1}^{7} Fz^{i}\right) \oplus \left(\bigoplus_{j=1}^{7} Fxz^{j}\right) \oplus \left(\bigoplus_{k=1}^{7} Fyz^{k}\right);$$

$$\delta^{[2]}(u(L)) = \bigoplus_{i=3}^{7} Fz^{i};$$

$$\delta^{[3]}(u(L)) = 0.$$

On the other hand, by Proposition 3 it follows that $dl^{\text{Lie}}(u(L)) = 4$. Hence in this case we have $dl_{\text{Lie}}(u(L)) \neq dl^{\text{Lie}}(u(L))$.

3. Lower bound for the Lie derived length

This section is devoted to the proof of Theorem 1. The proof consists of a series of reductive steps which enable us to consider some special cases where explicit calculations can be performed. Clearly, Theorem 1 will follow at once by the next result:

Proposition 4. Let L be a restricted Lie algebra over a field F of characteristic p > 0. If $\delta^{[n]}(u(L)) = 0$ and $p \ge 2^n$, then L is abelian.

PROOF. Suppose, if possible, L not abelian. We distinguish the cases when L is nilpotent or not.

Case I: L is nilpotent. In this case, we can assume as well that L has nilpotency class two. In fact, if L has nilpotency class c > 2, consider the quotient $\overline{L} = L/I$, where I is the (c-2)-th term of the upper central series of L (note that I is a restricted ideal of L). Then L has nilpotency class two and $dl_{\text{Lie}}(u(\overline{L})) \leq dl_{\text{Lie}}(u(L))$. Now replace L by \overline{L} .

Let a and b be two non-commuting elements of L and put z = [a, b]. By assumption on the nilpotency class of L, it is immediate to see that a, b and z are linearly independent. We claim that:

for every nonnegative integer m and for every $0 \le h, k \le p - m - 1$ the elements $a^h z^{2^m - 1}$ and $b^k z^{2^m - 1}$ are contained in $\delta^{[m]}(u(L))$.

We proceed by induction on m. The claim is trivial when m = 0. Now assume m > 0. By inductive hypothesis, we have that $a^{h+1}z^{2^{m-1}-1} \in \delta^{[m-1]}(u(L))$ and $bz^{2^{m-1}-1} \in \delta^{[m-1]}(u(L))$. As z centralizes a and b, by a standard calculation we obtain:

$$[a^{h+1}, b] = \sum_{i=1}^{h+1} a^{i-1}[a, b]a^{h-i+1} = \sum_{i=1}^{h+1} a^h z = (h+1)a^h z.$$

It follows that

$$[a^{h+1}z^{2^{m-1}-1}, bz^{2^{m-1}-1}] = [a^{h+1}, b]z^{2^m-2} = (h+1)a^h z^{2^m-1}.$$

As 0 < h + 1 < p, the last relation implies that $a^h z^{2^m - 1} \in \delta^{[m]}(u(L))$. An analogue argument shows that $b^k z^{2^m - 1} \in \delta^{[m]}(u(L))$, completing the inductive step.

Now, by assumption, we have that $n \leq 2^n - 1 \leq p - 1$. Therefore, by what has been proved, it follows in particular that $z^{2^n-1} \in \delta^{[n]}(u(L)) = 0$.

As $2^n - 1 < p$, this contradicts the PBW Theorem for restricted Lie algebras, completing the proof in the case where L is nilpotent.

Case II: L is not nilpotent. If p = 2 the assertion is trivial. Assume then $p \neq 2$. Since any possible extension of the ground field preserves the Lie derived length of u(L), we can also assume that F is algebraically closed.

Let u and v be two non-commuting elements of L and denote by Hthe subalgebra of L generated by u and v. If H is nilpotent then by [6] (Chapter 2, Proposition 1.3) the restricted subalgebra H_p generated by His also nilpotent, therefore the assertion follows from the Case I. Suppose then H not nilpotent. In view of Theorem 1.3 of [2] the dimension of L'is finite, consequently we have that H is finite-dimensional. As H is not nilpotent, by the Engel Theorem there is an element w of H such that the adjoint map ad w is not a nilpotent linear transformation of H. Let λ be a non-zero eigenvalue of ad w and consider an eigenvector x relative to λ . Put $y = \lambda^{-1}w$. Then we have

$$[x, y] = \lambda^{-1} x \operatorname{ad} w = x.$$

We want to establish an explicit expression for $[x^{r_1}y^{s_1}, x^{r_2}y^{s_2}]$ in u(L), for any nonnegative integers r_1, r_2, s_1, s_2 . For this, we begin by showing that for every $t \in \mathbb{N}$ we have

$$y^{t}x = x(y-1)^{t}.$$
 (4)

We proceed by induction on t. For t = 1,

$$yx = xy - [x, y] = x(y - 1).$$

Now assume t > 1. By inductive hypothesis and the case t = 1, we have

$$y^{t}x = yy^{t-1}x = yx(y-1)^{t-1} = x(y-1)^{t}$$

as required.

The next step is showing that for every $r, s \in \mathbb{N}$,

$$y^{s}x^{r} = x^{r}(y-r)^{s}.$$
 (5)

We show (5) by induction on r. For r = 1 the claim is just the rule (4). Assume then r > 1. Using (4) and the inductive hypothesis we obtain

$$y^{s}x^{r} = x(y-1)^{s}x^{r-1} = x\left(\sum_{i=0}^{s}(-1)^{s-i}\binom{s}{i}y^{i}\right)x^{r-1}$$

$$= x^{r} \left(\sum_{i=0}^{s} (-1)^{s-i} {s \choose i} (y-r+1)^{i} \right) = x^{r} (y-r)^{s}$$

completing the inductive step.

Finally, using (5) and standard calculations we obtain

$$[x^{r_1}y^{s_1}, x^{r_2}y^{s_2}] = x^{r_1+r_2} \big((y-r_2)^{s_1}y^{s_2} - (y-r_1)^{s_2}y^{s_1} \big).$$
(6)

Let us now prove that for any nonnegative integers h and k such that $k the element <math>x^{2^h}y^k$ is contained in $\delta^{[h]}(u(L))$. We proceed by induction on h. The claim is trivial for h = 0. Assume then h > 0. By inductive hypothesis, $\delta^{[h-1]}(u(L))$ contains all elements of the form $x^{2^{h-1}}y^{\nu}$, with $0 \le \nu \le p - h$. By (6) it follows that

$$[x^{2^{h-1}}y, x^{2^{h-1}}] = -2^{h-1}x^{2^h}$$

and so $x^{2^h} \in \delta^{[h]}(u(L))$, as $p \neq 2$. By (6) we have also that

$$[x^{2^{h-1}}y^2, x^{2^{h-1}}] = x^{2^h} \left(-2^h y + 2^{2(h-1)}\right)$$

and then, as $x^{2^h} \in \delta^{[h]}(u(L))$ and $p \neq 2$, it follows that $x^{2^h}y \in \delta^{[h]}(u(L))$. Suppose that, by proceeding in this way, we have already shown that $x^{2^h}y^{\mu} \in \delta^{[h]}(u(L))$ for every $0 \leq \mu < k$. By (6), we have that

$$[x^{2^{h-1}}y^{k+1}, x^{2^{h-1}}] = x^{2^h} \left((y-2^{h-1})^{k+1} - y^{k+1} \right)$$
$$= x^{2^h} \left(\sum_{j=0}^k (-1)^{k+1-j} \binom{k+1}{j} 2^{(h-1)(k+1-j)} y^j \right).$$

Since $\delta^{[h]}(u(L))$ contains the elements $x^{2^h}, x^{2^h}y, \ldots, x^{2^h}y^{k-1}$, it follows that $2^{h-1}\binom{k+1}{k}x^{2^h}y^k \in \delta^{[h]}(u(L))$, as well. Since $p \neq 2$ and, moreover, p does not divide $\binom{k+1}{k} = k+1$, we can conclude that $x^{2^h}y^k \in \delta^{[h]}(u(L))$, completing the inductive step.

Now, by assumption we have that $p - n > p - 2^n \ge 0$. By what we have proved above, it follows that

$$x^{2^{n}} \in \delta^{[n]}(u(L)) = 0.$$
(7)

Since $p \neq 2$, the initial hypothesis forces $2^n < p$, therefore the relation (7) contradicts the PBW Theorem for restricted Lie algebras, and the proof is complete.

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As an immediate consequence of Theorem 1, we have the following

Corollary 2. Let *L* be a restricted Lie algebra over a field of characteristic p > 0. If $dl^{Lie}(u(L)) \leq \lceil \log_2(p+1) \rceil$ then $dl_{Lie}(u(L)) = dl^{Lie}(u(L))$.

The upper bound for $dl_{Lie}(u(L))$ stated in Theorem 1 cannot be improved. In order to see this, consider the following example:

Example 3. Let L be a restricted Lie algebra over a field F of characteristic p > 0. Suppose L nilpotent of class two, $\dim_F L' = 1$ and $L'^{[p]} = 0$. According to Proposition 3 and Corollary 2, we have that $dl_{\text{Lie}}(u(L)) = \lceil \log_2(p+1) \rceil$.

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