

## **A family of temporal logics on finite trees**

By ZOLTÁN ÉSIK (Szeged) and SZABOLCS IVÁN (Szeged)

*This paper is dedicated to Professor P. Dömösi*

**Abstract.** We associate a temporal logic  $\text{XTL}(\mathcal{L})$  with each class  $\mathcal{L}$  of (regular) tree languages and provide both an algebraic and a game-theoretic characterization of the expressive power of the logic  $\text{XTL}(\mathcal{L})$ .

### **1. Introduction**

A characterization of a logic on trees or words is called effective if it gives rise to an effective procedure to decide whether a property of trees or words is expressible in the logic. The property is usually modeled by a tree or word language and is given by a finite automaton. For example, it is known that a word language is definable in the first-order logic  $\text{FO}(<)$  or in Linear Temporal Logic (LTL) if and only if its minimal automaton is finite and counter-free, or alternatively, if and only if its syntactic monoid is finite and aperiodic [16], [18]. Since it is decidable (*PSPACE*-complete) whether a finite automaton is counter-free, this characterization of  $\text{FO}(<)$  (or LTL) is effective.

An algebraic characterization of first-order logic on finite trees using “precones of finite algebras” has been given in [11]. However, this result does not provide any effective algorithm. In fact, finding an effective characterization of

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the expressive power of first-order logic on trees (with both the successor relations and the partial order relation derived from the successor relations) has been a long standing open problem, cf. [14], [17], [23].<sup>1</sup> With a few exceptions, there is no effective characterization known for temporal logics on (finite and/or infinite) trees. Most notably, no effective characterization of the logic CTL [5] is known.

In this paper we consider only finite trees. In [6], a logic  $\text{FTL}(\mathcal{L})$  was associated with each class  $\mathcal{L}$  of regular tree languages. Under the assumption that the next modalities are expressible (and an additional technical condition), a characterization of the languages definable in  $\text{FTL}(\mathcal{L})$  was obtained using pseudovarieties of finite tree automata and cascade products. It was argued that by selecting particular (finite) language classes  $\mathcal{L}$ , most of the familiar temporal logics can be covered. In [8], we removed the extra condition on the next modalities by making use of a modified version of the cascade product, called the Moore-product. The logics  $\text{FTL}(\mathcal{L})$  contain “built in” atomic formulas describing the label of the root of a tree. This has the disadvantage that some classes of tree languages do not possess a characterization in terms of the logics  $\text{FTL}(\mathcal{L})$ . For example, considering only unary trees, which correspond to words, no nontrivial variety of group languages can be derived from these logics.

In this paper, we introduce a generalization of the logics  $\text{FTL}(\mathcal{L})$ . We associate yet another logic, called  $\text{XTL}(\mathcal{L})$ , with each class  $\mathcal{L}$  of tree languages. In the first part of the paper we show that, when  $\mathcal{L}$  ranges over subclasses of regular tree languages (and satisfies a technical condition), then the classes of languages definable in  $\text{XTL}(\mathcal{L})$  are in a one-to-one correspondence with those pseudovarieties of finite tree automata which are closed under a variant of the Moore-product.

In the second part of the paper we provide a game-theoretic characterization of the logics  $\text{XTL}(\mathcal{L})$ . With each class  $\mathcal{L}$  of tree languages, we associate an Ehrenfeucht–Fraïssé-type game, called the  $\text{XTL}(\mathcal{L})$ -game, between “Spoiler” and “Duplicator”. We obtain that two trees  $s, t$  can be separated by an  $\text{XTL}(\mathcal{L})$ -formula of “depth  $n$ ” if and only if Spoiler has a winning strategy in the  $n$ -round  $\text{XTL}(\mathcal{L})$ -game on  $(s, t)$ . We also discuss a modification of the game that characterizes the logics  $\text{FTL}(\mathcal{L})$ .

The paper is ended by a few examples derived from the main theorems providing game-theoretic characterizations of some familiar logics, including a version of CTL for finite trees, and some of its fragments. This paper is an expanded and improved version of the extended abstract [10].

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<sup>1</sup>The case when one has only the successor relations has been studied in [3] where an effective characterization has been found.

## 2. Preliminaries

A *rank type* is a nonempty finite set  $R$  of nonnegative integers containing 0. A *ranked alphabet*  $\Sigma$  (of rank type  $R$ ) is a union  $\bigcup_{n \in R} \Sigma_n$  of pairwise disjoint, finite nonempty sets of symbols. Elements of  $\Sigma_0$  are also called *constant symbols*. We assume that each ranked alphabet  $\Sigma$  comes with a fixed lexicographic ordering denoted  $<_\Sigma$ , or just  $<$  when  $\Sigma$  is understood.

*For the whole paper we now fix an arbitrary rank type  $R$ .*

Given a ranked alphabet  $\Sigma$ , the set  $T_\Sigma$  of  $\Sigma$ -trees is the least set such that whenever  $\sigma \in \Sigma_k$ ,  $k \in R$  is a symbol and  $t_1, \dots, t_k$  are  $\Sigma$ -trees, then  $\sigma(t_1, \dots, t_k)$  is also a  $\Sigma$ -tree. When  $\sigma$  is a constant symbol, we often write  $\sigma$  for the tree  $\sigma()$ . A ( $\Sigma$ )-tree language  $L$  is any subset of  $T_\Sigma$ .

We can also view a  $\Sigma$ -tree as a map from a tree domain to  $\Sigma$ . In this setting, the *domain*  $\text{dom}(t)$  of a tree  $t$  is defined inductively as follows. When  $t = \sigma \in \Sigma_0$ ,  $\text{dom}(t) = \{\epsilon\}$ , the singleton set whose unique element is the empty word. Suppose that  $t = \sigma(t_1, \dots, t_n)$ , where  $n > 0$ . Then  $\text{dom}(t) = \{\epsilon\} \cup \bigcup_{i=1}^n \{i \cdot v : v \in \text{dom}(t_i)\}$ . Elements of  $\text{dom}(t)$  are also called *nodes* of  $t$ . Then, a  $\Sigma$ -tree  $t = \sigma(t_1, \dots, t_n)$  can be viewed as a mapping  $t : \text{dom}(t) \rightarrow \Sigma$  defined inductively as follows:  $t(\epsilon) = \sigma$ , and for any node  $i \cdot v \in \text{dom}(t)$ ,  $t(i \cdot v) = t_i(v)$ . We define  $\text{Root}(t) = t(\epsilon)$ . When  $t(v) \in \Sigma_n$ , we also say that  $v$  is a node of *rank*  $n$ . When  $t$  is a  $\Sigma$ -tree and  $s$  is a  $\Delta$ -tree such that  $\text{dom}(t) = \text{dom}(s)$ ,  $s$  is called a  $\Delta$ -relabeling of  $t$ .

When  $t$  is a  $\Sigma$ -tree and  $v \in \text{dom}(t)$  is a node of  $t$ , the *subtree* of  $t$  rooted at  $v$  is defined as the tree  $t|_v$  with  $\text{dom}(t|_v) = \{w : v \cdot w \in \text{dom}(t)\}$  and  $t|_v(w) = t(v \cdot w)$ . We extend the above notions to tuples of trees as follows: when  $\underline{t} = (t_1, \dots, t_n)$  is an  $n$ -tuple of trees, let  $\text{dom}(\underline{t}) = \bigcup_{i=1}^n \{i \cdot v : v \in \text{dom}(t_i)\}$ , and for any node  $i \cdot v \in \text{dom}(\underline{t})$ , let  $\underline{t}(i \cdot v) = t_i(v)$  and  $\underline{t}|_{i \cdot v} = t_i|_v$ .

Suppose  $\Sigma$  and  $\Delta$  are ranked alphabets and  $h$  is a rank-preserving mapping  $\Sigma \rightarrow \Delta$ , i.e., for any  $n \in R$  and  $\sigma \in \Sigma_n$ ,  $h(\sigma)$  is contained in  $\Delta_n$ . Then  $h$  determines a *literal tree homomorphism*  $T_\Sigma \rightarrow T_\Delta$ , also denoted  $h$ , defined as follows: for any tree  $t \in T_\Sigma$ , let  $\text{dom}(h(t)) = \text{dom}(t)$ , and for any node  $v \in \text{dom}(t)$ , let  $h(t)(v) = h(t(v))$ . Thus,  $h(t)$  is a  $\Delta$ -relabeling of  $t$ .

When  $\Sigma$  is a ranked alphabet, let  $\Sigma(\bullet)$  denote its enrichment by a new constant symbol  $\bullet$ . A  $\Sigma$ -context is a tree  $\zeta \in T_{\Sigma(\bullet)}$  in which  $\bullet$  occurs exactly once. When  $\zeta$  is a  $\Sigma$ -context and  $t$  is a  $\Sigma$ -tree,  $\zeta(t)$  denotes the  $\Sigma$ -tree resulting from  $\zeta$  by substituting  $t$  in place of the ‘‘hole’’  $\bullet$ . When  $L \subseteq T_\Sigma$  is a tree language and  $\zeta$  is a  $\Sigma$ -context, the *quotient of  $L$  with respect to  $\zeta$*  is the tree language  $\zeta^{-1}(L) = \{t : \zeta(t) \in L\}$ .

Suppose  $\Sigma$  is a ranked alphabet. A  $\Sigma$ -algebra  $\mathbb{A} = (A, \Sigma)$  consists of a

nonempty set  $A$  of states and for each symbol  $\sigma \in \Sigma_n$  an associated elementary operation  $\sigma^{\mathbb{A}} : A^n \rightarrow A$ . Subalgebras, homomorphisms, quotients etc. are defined as usual, cf. [13]. A  $\Sigma$ -tree automaton is a  $\Sigma$ -algebra which contains no proper subalgebra. A tree automaton  $\mathbb{A} = (A, \Sigma)$  is called *finite* if  $A$  is finite; if  $|A| = 1$ ,  $\mathbb{A}$  is called *trivial*.

In any  $\Sigma$ -algebra  $\mathbb{A}$ , any tree  $t \in T_\Sigma$  evaluates to a state  $t^{\mathbb{A}} \in A$  defined as usual. Thus, a  $\Sigma$ -algebra  $\mathbb{A} = (A, \Sigma)$  is a tree automaton if and only if all of its states are accessible, i.e. for each  $a \in A$  there exists some tree  $t \in T_\Sigma$  with  $t^{\mathbb{A}} = a$ . The *connected part* of a  $\Sigma$ -algebra  $\mathbb{A}$  is the tree automaton which is the subalgebra of  $\mathbb{A}$  determined by the states  $t^{\mathbb{A}}$ , where  $t$  ranges over  $T_\Sigma$ .

Suppose that  $\mathbb{A}$  is a  $\Sigma$ -tree automaton. When also a set  $A' \subseteq A$  is given,  $\mathbb{A}$  recognizes the tree language  $L_{\mathbb{A}, A'} = \{t : t^{\mathbb{A}} \in A'\}$  with the set  $A'$  of final states. When  $A' = \{a\}$  is a singleton set, we write just  $L_{\mathbb{A}, a}$ . A tree language  $L$  is recognizable by the tree automaton  $\mathbb{A}$  if  $L = L_{\mathbb{A}, A'}$  for some set  $A' \subseteq A$  of final states. A tree language is called *regular* if it is recognizable by some finite tree automaton.

We say that the tree automaton  $\mathbb{B} = (B, \Delta)$  is a *renaming* of the tree automaton  $\mathbb{A} = (A, \Sigma)$  if  $B \subseteq A$  and each elementary operation of  $\mathbb{B}$  is a restriction of an elementary operation of  $\mathbb{A}$ . When  $\mathbb{A} = (A, \Sigma)$  is a tree automaton,  $\Delta$  is a ranked alphabet and  $h : \Delta \rightarrow \Sigma$  is a rank-preserving mapping, then  $h$  determines the renaming  $\mathbb{B}$  which is the connected part of the algebra  $\mathbb{A}' = (A, \Delta)$  where for each  $\delta \in \Delta$ ,  $\delta^{\mathbb{A}'} = (h(\delta))^{\mathbb{A}}$ .

When  $\mathbb{A} = (A, \Sigma)$  and  $\mathbb{B} = (B, \Sigma)$  are tree automata, their *direct product*  $\mathbb{A} \times \mathbb{B}$  is the connected part of the  $\Sigma$ -algebra  $\mathbb{C} = (A \times B, \Sigma)$ , where for each  $\sigma \in \Sigma_n$  and states  $a_1, \dots, a_n \in A$ ,  $b_1, \dots, b_n \in B$ ,

$$\sigma^{\mathbb{C}}((a_1, b_1), \dots, (a_n, b_n)) = (\sigma^{\mathbb{A}}(a_1, \dots, a_n), \sigma^{\mathbb{B}}(b_1, \dots, b_n)).$$

We call a nonempty class  $\mathbf{V}$  of finite tree automata a *pseudovariety of finite tree automata* if it is closed under renamings, direct products and quotients. A closely related notion is that of literal varieties of tree languages: a nonempty class  $\mathcal{V}$  of regular tree languages is a *literal variety of tree languages* if it is closed under the Boolean operations, quotients and inverse literal homomorphisms.

There exists an *Eilenberg correspondence* between the lattice of pseudovarieties of finite tree automata and the lattice of literal varieties of tree languages: the mapping

$$\mathbf{K} \mapsto \mathcal{V}_{\mathbf{K}} = \{L : L \text{ is recognizable by some member of } \mathbf{K}\},$$

restricted to pseudovarieties, establishes an order isomorphism between the two

lattices. For more information on (literal) varieties of tree languages the reader is referred to [19], [20], [21], [6].

### 3. The logic $\text{XTL}(\mathcal{L})$

In this section we introduce an extension of the logics  $\text{FTL}(\mathcal{L})$  defined in [6] and further investigated in [8], [9].

Each modal operator of the logic CTL corresponds to a regular tree language in a canonical way, cf. [6]. For example, consider the ranked alphabet Bool which contains exactly two symbols,  $\uparrow_n$  and  $\downarrow_n$  for each  $n \in R$ . As a shorthand, let  $\text{UP} = \{\uparrow_n : n \in R\}$  and  $\text{DOWN} = \{\downarrow_n : n \in R\}$ . (For technical reasons, we fix an arbitrary ordering  $<_{\text{Bool}}$  satisfying  $\uparrow_n <_{\text{Bool}} \downarrow_n$  for each  $n \in R$ .) Then the  $\text{EF}^*$  (nonstrict existential future) modality corresponds to the regular tree language in  $T_{\text{Bool}}$  consisting of those trees having at least one node labeled in UP. Further examples are given in Examples 1 and 2. Conversely, as argued in [6], each regular tree language can in turn be seen as a modal operator. This allows us to treat various temporal logics on trees in a unified manner. We make these ideas more precise in the following definitions.

Let  $\mathcal{L}$  be a class of tree languages and let  $\Sigma$  be a ranked alphabet. The set of  $\text{XTL}(\mathcal{L})$ -formulas over  $\Sigma$  is the least set satisfying the following conditions:

- (1) The symbol  $\downarrow$  is an  $\text{XTL}(\mathcal{L})$ -formula (of depth 0).
- (2) For any ranked alphabet  $\Delta$ , rank-preserving mapping  $\pi : \Sigma \rightarrow \Delta$  and  $\Delta$ -tree language  $L \in \mathcal{L}$ ,  $(L, \pi)$  is an (atomic)  $\text{XTL}(\mathcal{L})$ -formula (of depth 0).
- (3) When  $\varphi$  is an  $\text{XTL}(\mathcal{L})$ -formula (of depth  $d$ ), then  $(\neg\varphi)$  is also an  $\text{XTL}(\mathcal{L})$ -formula (of depth  $d$ ).
- (4) When  $\varphi$  and  $\psi$  are  $\text{XTL}(\mathcal{L})$ -formulas (of maximal depth  $d$ ), then  $(\varphi \vee \psi)$  is also an  $\text{XTL}(\mathcal{L})$ -formula (of depth  $d$ ).
- (5) When  $\Delta$  is a ranked alphabet,  $L \in \mathcal{L}$  is a  $\Delta$ -tree language and for each  $\delta \in \Delta$ ,  $\varphi_\delta$  is an  $\text{XTL}(\mathcal{L})$ -formula over  $\Sigma$  (of maximal depth  $d$ ), then  $L(\delta \mapsto \varphi_\delta)_{\delta \in \Delta}$  is an  $\text{XTL}(\mathcal{L})$ -formula (of depth  $d + 1$ ).

We now turn to the definition of the semantics. We need to define what it means that a  $\Sigma$ -tree  $t$  satisfies an  $\text{XTL}(\mathcal{L})$ -formula  $\varphi$  over  $\Sigma$ , in notation  $t \models \varphi$ . Since Boolean connectives and the falsity symbol  $\downarrow$  are handled as usual, we only concentrate on two types of formulas.

- (1) If  $\varphi = (L, \pi)$  for some rank-preserving mapping  $\pi : \Sigma \rightarrow \Delta$  and  $\Delta$ -tree language  $L \in \mathcal{L}$ , then  $t \models \varphi$  if and only if  $\pi(t)$  is contained in  $L$ ;

- (2) If  $\varphi = L(\delta \mapsto \varphi_\delta)_{\delta \in \Delta}$  then  $t \models \varphi$  if and only if the *characteristic tree*  $\hat{t}$  of  $t$  determined by the family  $(\varphi_\delta)_{\delta \in \Delta}$  is contained in  $L$ .

Here  $\hat{t}$  is a  $\Delta$ -relabeling of  $t$ , defined as follows: for every node  $v \in \text{dom}(t)$  with  $t(v) \in \Sigma_n$ ,  $\hat{t}(v) = \delta$ , where  $\delta$  is either the first symbol in  $\Delta_n$  with  $t|_v \models \varphi_\delta$ ; or there is no such symbol and  $\delta$  is the last element of  $\Delta_n$ .

We use the usual shorthands  $\uparrow$  for  $(\neg \downarrow)$  and  $(\varphi \wedge \psi)$  for  $\neg((\neg\varphi) \vee (\neg\psi))$ .

An XTL( $\mathcal{L}$ )-formula over the ranked alphabet  $\Sigma$  *defines* the tree language  $L_\varphi = \{t \in T_\Sigma : t \models \varphi\}$ . **XTL**( $\mathcal{L}$ ) denotes the class of tree languages definable by some XTL( $\mathcal{L}$ )-formula. We say that two formulas,  $\varphi$  and  $\psi$  are *equivalent* if  $L_\varphi = L_\psi$ .

The logic FTL( $\mathcal{L}$ ) [6] differs from the logic XTL( $\mathcal{L}$ ) in that the atomic formulas over  $\Sigma$  are  $\downarrow$  and the formulas  $p_\sigma$ , where  $\sigma \in \Sigma$ , defining the language of all  $\Sigma$ -trees whose root is labeled  $\sigma$ . We let **FTL**( $\mathcal{L}$ ) denote the class of tree languages definable by the formulas of the logic FTL( $\mathcal{L}$ ).

*Example 1.* Let  $R = \{0, 2\}$ ,  $\Sigma_2 = \{f\}$ ,  $\Sigma_0 = \{a, b\}$ . Consider the rank-preserving mapping  $\pi : \Sigma \rightarrow \text{Bool}$  given by  $\pi(f) = \downarrow_2$ ,  $\pi(a) = \uparrow_0$  and  $\pi(b) = \downarrow_0$ . Let  $L_{\text{even}}$  be the set of all trees in  $T_{\text{Bool}}$  with an even number of nodes labeled in UP. Then the formula  $\psi = \neg(L_{\text{even}}, \pi)$  defines the set of all  $\Sigma$ -trees having an odd number of leaves labeled  $a$ . Let  $\varphi_{\uparrow_2}$  be the formula  $\psi$  defined above, and let  $\varphi_\delta = \downarrow$  for all  $\delta \in \text{Bool}$ ,  $\delta \neq \uparrow_2$ . Then the formula  $L_{\text{even}}(\delta \mapsto \varphi_\delta)_{\delta \in \text{Bool}}$  defines the set of all  $\Sigma$ -trees with an even number of non-leaf subtrees having an odd number of leaves labeled  $a$ .

*Example 2.* In this example let  $R = \{0, 1\}$ . When  $\Sigma$  is a ranked alphabet (of rank type  $R$ ), then any  $\Sigma$ -tree determines a word over  $\Sigma_1$  which is the sequence of node labels from the root to the leaf of the tree not including the leaf label. By extension, each tree language over  $\Sigma$  determines a word language over  $\Sigma_1$ . Let  $L'_{\text{even}}$  be the set of all trees in  $T_{\text{Bool}}$  with an even number of nodes labeled  $\uparrow_1$ , and let  $\mathcal{L} = \{L'_{\text{even}}\}$ . Then a tree language  $K \subseteq T_\Sigma$  is definable in XTL( $\mathcal{L}$ ) if and only if the word language determined by  $K$  is a (regular) group language whose syntactic group is a  $p$ -group for  $p = 2$ , see [22]. There is no class  $\mathcal{L}'$  such that FTL( $\mathcal{L}'$ ) would define the same language class.

The operators **FTL** and **XTL** are related by Proposition 1 below. Let us define the Bool-tree language

$$L_\uparrow = \{t \in T_{\text{Bool}} : \text{Root}(t) \in \text{UP}\}.$$

**Proposition 1.** *For any class  $\mathcal{L}$  of tree languages,*

$$\mathbf{FTL}(\mathcal{L}) = \mathbf{XTL}(\mathcal{L} \cup \{L_\uparrow\}).$$

PROOF. Let  $\Sigma$  be a ranked alphabet. It is clear that for each  $\sigma \in \Sigma_n$ , the formulas  $p_\sigma$  and  $(L_\uparrow, \pi)$  define the same language, where  $\pi : \Sigma \rightarrow \text{Bool}$  maps  $\sigma$  to  $\uparrow_n$  and all other symbols to a symbol in  $\text{DOWN}$ . It follows by a straightforward induction argument that  $\mathbf{FTL}(\mathcal{L}) \subseteq \mathbf{XTL}(\mathcal{L} \cup \{L_\uparrow\})$ .

Now let  $\psi$  be an  $\mathbf{XTL}(\mathcal{L} \cup \{L_\uparrow\})$ -formula over the ranked alphabet  $\Sigma$ . By induction on the structure of  $\psi$ , we construct an  $\mathbf{FTL}(\mathcal{L})$ -formula  $\psi'$  defining the language  $L_\psi$ .

- (1) When  $\psi = \downarrow$ , then  $\psi' = \downarrow$ .
- (2) Suppose  $\psi = (L_\uparrow, \pi)$  for some rank-preserving mapping  $\pi : \Sigma \rightarrow \text{Bool}$ . Then we define  $\psi'$  as  $\bigvee_{\pi(\sigma) \in \text{UP}} p_\sigma$ .
- (3) Suppose  $\psi = (L, \pi)$  for some  $\Delta$ -tree language  $L \in \mathcal{L}$  and rank-preserving mapping  $\pi : \Sigma \rightarrow \Delta$ . Then we define  $\psi'$  as  $L(\delta \mapsto \psi_\delta)$ , where  $\psi_\delta = \bigvee_{\pi(\sigma) = \delta} p_\sigma$  for each  $\delta$ .
- (4) When  $\psi = (\neg\psi_1)$  or  $\psi = (\psi_1 \vee \psi_2)$ , we define  $\psi'$  as  $(\neg\psi'_1)$  and  $(\psi'_1 \vee \psi'_2)$ , respectively.
- (5) When  $\psi = L(\delta \mapsto \psi_\delta)_{\delta \in \Delta}$  for some  $\Delta$ -tree language  $L \in \mathcal{L}$ , we define  $\psi' = L(\delta \mapsto \psi'_\delta)_{\delta \in \Delta}$ .
- (6) Finally, when  $\psi = L_\uparrow(\delta \mapsto \psi_\delta)_{\delta \in \text{Bool}}$ , we define  $\psi'$  as  $\bigvee_{n \in R} \psi_{\uparrow_n}$ .  $\square$

In [6], it has been shown that  $\mathbf{FTL}$  is a closure operator preserving regularity. Thus, when  $\mathcal{L}$  is a class of regular tree languages then  $\mathbf{FTL}(\mathcal{L})$  only contains regular tree languages. Moreover,  $\mathbf{FTL}(\mathcal{L})$  is closed under the Boolean operations and inverse literal homomorphisms, and is closed under quotients if and only if each quotient of any language in  $\mathcal{L}$  belongs to  $\mathbf{FTL}(\mathcal{L})$ . The same facts hold for the operator  $\mathbf{XTL}$ , with almost the same proofs.

**Theorem 1.** (1) *The operator  $\mathbf{XTL}$  is a closure operator: for any classes  $\mathcal{L}, \mathcal{L}'$  of tree languages,*

- (a)  $\mathcal{L} \subseteq \mathbf{XTL}(\mathcal{L})$ ;
  - (b)  $\mathbf{XTL}(\mathbf{XTL}(\mathcal{L})) \subseteq \mathbf{XTL}(\mathcal{L})$ ,
  - (c) if  $\mathcal{L} \subseteq \mathcal{L}'$ , then  $\mathbf{XTL}(\mathcal{L}) \subseteq \mathbf{XTL}(\mathcal{L}')$ .
- (2) *When  $\mathcal{L}$  is a class of regular tree languages, then so is  $\mathbf{XTL}(\mathcal{L})$ .*
  - (3) *For any class  $\mathcal{L}$  of tree languages,  $\mathbf{XTL}(\mathcal{L})$  is closed under the Boolean operations and inverse literal homomorphisms, and is closed under quotients if and only if each quotient of any language in  $\mathcal{L}$  is in  $\mathbf{XTL}(\mathcal{L})$ .*

#### 4. Definability and membership

In this section we recall from [8] the notion of the strict Moore-product of tree automata and that of strict Moore pseudovarieties, and relate the operator **XTL** to strict Moore pseudovarieties.

Suppose  $\mathbb{A} = (A, \Sigma)$  and  $\mathbb{B} = (B, \Delta)$  are tree automata and  $\alpha : A \times R \rightarrow \Delta$  is a rank-preserving mapping, i.e., for any  $n \in R$  and  $a \in A$ ,  $\alpha(a, n)$  is contained in  $\Delta_n$ . Then the *strict Moore-product of  $\mathbb{A}$  and  $\mathbb{B}$  determined by  $\alpha$*  is the tree automaton  $\mathbb{A} \times_\alpha \mathbb{B}$  which is the connected part of the algebra  $\mathbb{C} = (A \times B, \Sigma)$ , where for each  $\sigma \in \Sigma_n$  and  $a_1, \dots, a_n \in A$ ,  $b_1, \dots, b_n \in B$ ,

$$\sigma^{\mathbb{C}}((a_1, b_1), \dots, (a_n, b_n)) = (\sigma^{\mathbb{A}}(a_1, \dots, a_n), \delta^{\mathbb{B}}(b_1, \dots, b_n))$$

with  $\delta = \alpha(\sigma^{\mathbb{A}}(a_1, \dots, a_n), n)$ .

A pseudovariety  $\mathbf{V}$  of finite tree automata is called a *strict Moore pseudovariety* if it is also closed under the strict Moore-product. It is clear that for any class  $\mathbf{K}$  of finite tree automata there exists a least strict Moore pseudovariety  $\langle \mathbf{K} \rangle_s$  containing  $\mathbf{K}$ .

**Proposition 2.** *Suppose  $\mathbb{A} = (A, \Sigma)$  is a tree automaton and  $\mathcal{L}$  is a class of tree languages such that each tree language recognizable by  $\mathbb{A}$  is in  $\mathbf{XTL}(\mathcal{L})$ . Then any tree language recognizable by a renaming or quotient of  $\mathbb{A}$  is also in  $\mathbf{XTL}(\mathcal{L})$ .*

**PROOF.** When  $\mathbb{B} = (A, \Delta)$  is the renaming of  $\mathbb{A} = (A, \Sigma)$  determined by the rank-preserving mapping  $\pi : \Delta \rightarrow \Sigma$ , then each language  $L$  recognizable by  $\mathbb{B}$  is of the form  $\pi^{-1}(K)$ , for some  $\Sigma$ -tree language  $K$  recognizable by  $\mathbb{A}$ . Since  $\mathbf{XTL}(\mathcal{L})$  is closed under inverse literal homomorphisms, the claim is proved for renamings.

When  $\mathbb{B}$  is a quotient of  $\mathbb{A}$ , each language recognizable by  $\mathbb{B}$  is also recognizable by  $\mathbb{A}$ , which proves the claim for quotients.  $\square$

**Proposition 3.** *Suppose  $\mathbb{A} = (A, \Sigma)$  and  $\mathbb{B} = (B, \Sigma)$  are finite tree automata and  $\mathcal{L}$  is a class of tree languages such that each tree language recognizable by either  $\mathbb{A}$  or  $\mathbb{B}$  is in  $\mathbf{XTL}(\mathcal{L})$ . Then each tree language recognizable by the direct product  $\mathbb{A} \times \mathbb{B}$  is also in  $\mathbf{XTL}(\mathcal{L})$ .*

**PROOF.** It suffices to show that whenever  $a \in A$  and  $b \in B$  are states, then the tree language  $L_{\mathbb{A} \times \mathbb{B}, (a, b)}$  is definable in  $\mathbf{XTL}(\mathcal{L})$ . But when  $\varphi_a$  defines the tree language  $L_{\mathbb{A}, a}$  and  $\varphi_b$  defines  $L_{\mathbb{B}, b}$ , then  $\varphi_a \wedge \varphi_b$  defines  $L_{\mathbb{A} \times \mathbb{B}, (a, b)}$ .  $\square$

**Proposition 4.** *Suppose  $\mathbb{A} = (A, \Sigma)$  and  $\mathbb{B} = (B, \Delta)$  are finite tree automata and  $\mathcal{L}$  is a class of tree languages such that each tree language recognizable by either  $\mathbb{A}$  or  $\mathbb{B}$  is in  $\mathbf{XTL}(\mathcal{L})$ . Then each tree language recognizable by any strict Moore-product  $\mathbb{A} \times_\alpha \mathbb{B}$  is also in  $\mathbf{XTL}(\mathcal{L})$ .*

PROOF. It suffices to show that whenever  $a \in A$  and  $b \in B$ , then the tree language  $L_{\mathbb{A} \times_{\alpha} \mathbb{B}, (a,b)}$  is definable in  $\text{XTL}(\mathcal{L})$ . By assumption,  $L_{\mathbb{B}, b}$  is definable in  $\text{XTL}(\mathcal{L})$ , and for each  $a' \in A$ ,  $L_{\mathbb{A}, a'}$  is definable by some  $\text{XTL}(\mathcal{L})$ -formula  $\tau_{a'}$ . Then  $L_{\mathbb{A} \times_{\alpha} \mathbb{B}, (a,b)}$  is definable by the  $\text{XTL}(\mathbf{XTL}(\mathcal{L}))$ -formula  $\tau_a \wedge L_{\mathbb{B}, b}(\delta \mapsto \varphi_{\delta})_{\delta \in \Delta}$ , where for each  $\delta \in \Delta_n$ ,

$$\varphi_{\delta} = \bigvee_{\alpha(a', n) = \delta} \tau_{a'}.$$

Since by Theorem 1,  $\mathbf{XTL}$  is a closure operator, the above formula is equivalent to some  $\text{XTL}(\mathcal{L})$ -formula.  $\square$

Using Propositions 2, 3 and 4 we get:

**Proposition 5.** *Suppose  $\mathbf{K}$  is a class of finite tree automata and  $\mathcal{L}$  is a class of tree languages such that each tree language recognizable by some member of  $\mathbf{K}$  is definable in  $\text{XTL}(\mathcal{L})$ . Then each tree language recognizable by some automaton in  $\langle \mathbf{K} \rangle_s$  is also definable in  $\text{XTL}(\mathcal{L})$ .*

The converse also holds:

**Proposition 6.** *Suppose  $\mathcal{L}$  is a class of (regular) tree languages and  $\mathbf{K}$  is a class of finite tree automata such that each member of  $\mathcal{L}$  is recognizable by some automaton in  $\mathbf{K}$ . Then every tree language definable in  $\text{XTL}(\mathcal{L})$  is recognizable by some automaton in  $\langle \mathbf{K} \rangle_s$ .*

PROOF. We argue by induction on the structure of the  $\text{XTL}(\mathcal{L})$ -formula  $\varphi$  over  $\Sigma$ .

- (1) If  $\varphi = \downarrow$ ,  $L_{\varphi}$  is the empty set which is recognizable by any tree automaton in  $\langle \mathbf{K} \rangle_s$ .
- (2) Suppose  $\varphi = (L, \pi)$  for some  $\Delta$ -tree language  $L \in \mathcal{L}$  and rank-preserving mapping  $\pi : \Sigma \rightarrow \Delta$ . By assumption,  $L$  is recognizable by some tree automaton  $\mathbb{B} = (B, \Delta)$  contained in  $\mathbf{K}$ . Then  $L_{\varphi}$  is recognizable by the renaming of  $\mathbb{B}$  determined by  $\pi$ .
- (3) Suppose  $\varphi = (\neg\varphi_1)$ . By the induction hypothesis,  $L_{\varphi_1}$  is recognizable by some member  $\mathbb{A}$  of  $\langle \mathbf{K} \rangle_s$ . Then  $L_{\varphi}$  is also recognizable by  $\mathbb{A}$ .
- (4) Suppose  $\varphi = (\varphi_1 \vee \varphi_2)$ . By the induction hypothesis,  $L_{\varphi_i}$  is recognizable by some member  $\mathbb{A}_i$  of  $\langle \mathbf{K} \rangle_s$ ,  $i = 1, 2$ . Then  $L_{\varphi}$  is recognizable by the direct product  $\mathbb{A}_1 \times \mathbb{A}_2$ .
- (5) Suppose  $\varphi = L(\delta \mapsto \varphi_{\delta})_{\delta \in \Delta}$  for some  $\Delta$ -tree language  $L \in \mathcal{L}$  and family  $(\varphi_{\delta})_{\delta \in \Delta}$  of  $\text{XTL}(\mathcal{L})$ -formulas. By the induction hypothesis, each  $L_{\varphi_{\delta}}$  is recognizable by some member  $\mathbb{A}_{\delta}$  of  $\langle \mathbf{K} \rangle_s$  with some set  $A'_{\delta} \subseteq A_{\delta}$  of final

states. Moreover, by assumption  $L$  is recognizable by some  $\mathbb{B} = (B, \Delta) \in \mathbf{K}$  with some set  $B'$  of final states. Let us define the strict Moore-product  $\mathbb{C} = (\prod_{\delta \in \Delta} \mathbb{A}_\delta) \times_\alpha \mathbb{B}$ , where for each state  $(a_\delta)_{\delta \in \Delta}$  of the direct product  $(\prod_{\delta \in \Delta} \mathbb{A}_\delta)$  and integer  $n \in \mathbb{R}$ ,  $\alpha((a_\delta)_{\delta \in \Delta}, n) = \bar{\delta} \in \Delta_n$  if one of the following holds:

- (a) either  $a_{\bar{\delta}} \in A'_\delta$  and  $\bar{\delta}$  is the first such element of  $\Delta_n$ ;
- (b) or  $a_{\delta'} \notin A'_{\delta'}$  for each  $\delta' \in \Delta_n$  and  $\bar{\delta}$  is the last element of  $\Delta_n$ .

Then  $L_\varphi$  is recognized by  $\mathbb{C}$  with the set  $\{((a_\delta)_{\delta \in \Delta}, b) : a_\delta \in A_\delta, b \in B'\}$  of final states.  $\square$

Propositions 5 and 6 imply the following characterization:

**Theorem 2.** *For any class  $\mathbf{K}$  of finite tree automata,*

$$\mathcal{V}_{(\mathbf{K})_s} = \mathbf{XTL}(\mathcal{V}_{\mathbf{K}}).$$

**Corollary 1.** *The mapping  $\mathbf{K} \mapsto \mathcal{V}_{\mathbf{K}}$  establishes an order isomorphism between the lattice of strict Moore pseudovarieties of finite tree automata and the lattice of literal varieties of tree languages  $\mathcal{V}$  satisfying  $\mathbf{XTL}(\mathcal{V}) = \mathcal{V}$ .*

Observe that Proposition 6 implies also that the operator  $\mathbf{XTL}$  preserves regularity, i.e., when  $\mathcal{L}$  is a class of regular tree languages,  $\mathbf{XTL}(\mathcal{L})$  is also a class of regular tree languages.

## 5. Ehrenfeucht–Fraïssé-type games

In this section we give a game-theoretic characterization of the logics  $\mathbf{XTL}(\mathcal{L})$ .

Let  $\mathcal{L}$  be a class of tree languages,  $n \geq 0$  an integer, and let  $s, t$  be  $\Sigma$ -trees for some ranked alphabet  $\Sigma$ . The  $n$ -round  $\mathbf{XTL}(\mathcal{L})$ -game on the pair  $(s, t)$  of trees is played between two competing players, Spoiler and Duplicator, according to the following rules:

- (1) If there exists an atomic formula  $(L, \pi)$  which is satisfied by exactly one of the trees  $s$  and  $t$ , then Spoiler wins. Otherwise, Step 2 follows.
- (2) If  $n = 0$ , Duplicator wins. Otherwise, Step 3 follows.
- (3) Spoiler chooses a tree language  $L \in \mathcal{L}$ , over some ranked alphabet  $\Delta$ , and  $\Delta$ -relabelings  $\hat{s}$  and  $\hat{t}$  of  $s$  and  $t$ , respectively, such that exactly one of  $\hat{s}$  and  $\hat{t}$  is contained in  $L$ . If he cannot do so, Duplicator wins; otherwise, Step 4 follows.

- (4) Duplicator chooses two nodes of the pair  $(s, t)$ ,  $x$  and  $y$ , of the same rank, such that  $(\hat{s}, \hat{t})(x) \neq (\hat{s}, \hat{t})(y)$ . (For the notation see the 5th paragraph of Section 2.) If he cannot do so, Spoiler wins. Otherwise, an  $(n - 1)$ -round  $\text{XTL}(\mathcal{L})$ -game is played on the pair  $((s, t)|_x, (s, t)|_y)$ . The player winning the subgame also wins the whole game.

Clearly, for any class  $\mathcal{L}$  of tree languages, integer  $n \geq 0$  and pair  $(s, t)$  of  $\Sigma$ -trees, one of the players has a winning strategy in the  $n$ -round  $\text{XTL}(\mathcal{L})$ -game played on  $(s, t)$ . Let  $s \sim_{\mathcal{L}}^n t$  denote that Duplicator has a winning strategy in the  $n$ -round  $\text{XTL}(\mathcal{L})$ -game on the pair  $(s, t)$ . Also, when  $s$  and  $t$  are  $\Sigma$ -trees for some ranked alphabet  $\Sigma$ ,  $\mathcal{L}$  is a class of tree languages and  $n \geq 0$  is an integer, let  $s \equiv_{\mathcal{L}}^n t$  denote that  $s$  and  $t$  satisfy the same set of  $\text{XTL}(\mathcal{L})$ -formulas (over  $\Sigma$ ) having depth at most  $n$ .

**Proposition 7.** *For any class  $\mathcal{L}$  of tree languages, integer  $n \geq 0$ , ranked alphabet  $\Sigma$ , and pair  $s, t$  of  $\Sigma$ -trees, if  $s \sim_{\mathcal{L}}^n t$  then  $s \equiv_{\mathcal{L}}^n t$ .*

PROOF. We argue by induction on  $n$ , and by contraposition. Suppose  $s \not\equiv_{\mathcal{L}}^n t$ .

When  $n = 0$ , there exists an  $\text{XTL}(\mathcal{L})$ -formula  $(L, \pi)$  for some  $\Delta$ -tree language  $L \in \mathcal{L}$  and rank-preserving mapping  $\pi : \Sigma \rightarrow \Delta$  separating  $s$  and  $t$ . Then exactly one of the  $\Delta$ -trees  $\pi(s)$  and  $\pi(t)$  is contained in  $L$ , thus Spoiler indeed wins the 0-round  $\text{XTL}(\mathcal{L})$ -game on  $(s, t)$ .

Let  $n > 0$  and suppose that we have proved the claim for  $n - 1$ . From  $s \not\equiv_{\mathcal{L}}^n t$  we get that either  $s \not\equiv_{\mathcal{L}}^{n-1} t$ , or there exists an  $\text{XTL}(\mathcal{L})$ -formula  $L(\delta \mapsto \varphi_{\delta})_{\delta \in \Delta}$  of depth  $n$  separating  $s$  and  $t$ .

When  $s \not\equiv_{\mathcal{L}}^{n-1} t$  then by the induction hypothesis  $s \not\sim_{\mathcal{L}}^{n-1} t$ , and thus  $s \not\sim_{\mathcal{L}}^n t$ .

Assume now that  $s$  and  $t$  are separated by the  $\text{XTL}(\mathcal{L})$ -formula  $\varphi = L(\delta \mapsto \varphi_{\delta})_{\delta \in \Delta}$  of depth  $n$ , say  $s \models \varphi$  and  $t \not\models \varphi$ . Without loss of generality we may assume that the family  $(\varphi_{\delta})_{\delta \in \Delta}$  is *deterministic*, i.e. for any tree  $t \in T_{\Sigma}$  there exists exactly one  $\delta \in \Delta_k$  with  $t \models \varphi_{\delta}$ , where  $k$  is the arity of the root symbol of  $t$ . To see this, consider any family  $(\psi_{\delta})_{\delta \in \Delta}$  of  $\text{XTL}(\mathcal{L})$ -formulas. Then the family  $(\psi'_{\delta})_{\delta \in \Delta}$  defined as

$$\psi'_{\delta} = \begin{cases} \psi_{\delta} \wedge \bigvee_{\delta' \in \Delta_k, \delta' < \delta} \neg \psi_{\delta'} & \text{if } \delta \in \Delta_k \text{ is not the maximal element of } \Delta_k; \\ \bigvee_{\delta' \in \Delta_k, \delta' < \delta} \neg \psi_{\delta'} & \text{otherwise,} \end{cases}$$

is a deterministic family of formulas equivalent to  $(\psi_{\delta})_{\delta \in \Delta}$ , i.e., for any tree  $t$ , the respective characteristic trees coincide.

A winning strategy for Spoiler is given as follows: let Spoiler choose the  $\Delta$ -tree language  $L \in \mathcal{L}$  and the characteristic trees  $\hat{s}$  and  $\hat{t}$  of  $s$  and  $t$ , respectively,

determined by the family  $(\varphi_\delta)_{\delta \in \Delta}$ . From the semantics of  $\text{XTL}(\mathcal{L})$  we get that  $\hat{s} \in L$  and  $\hat{t} \notin L$ , thus this is a valid move. Now assume Duplicator responds by choosing some nodes  $x, y$  of  $(s, t)$  of the same rank such that  $(\hat{s}, \hat{t})(x) \neq (\hat{s}, \hat{t})(y)$ . Let  $\bar{\delta} = (\hat{s}, \hat{t})(x)$ . Since the family  $(\varphi_\delta)_{\delta \in \Delta}$  is deterministic,  $\varphi_{\bar{\delta}}$  separates  $(s, t)|_x$  and  $(s, t)|_y$ . Since  $\varphi_{\bar{\delta}}$  is of depth at most  $n - 1$ , applying the induction hypothesis we get that Spoiler wins the  $(n - 1)$ -round  $\text{XTL}(\mathcal{L})$ -game on  $((s, t)|_x, (s, t)|_y)$ , and thus wins the whole game.  $\square$

**Proposition 8.** *For any class  $\mathcal{L}$  of tree languages, integer  $n \geq 0$ , ranked alphabet  $\Sigma$  and trees  $s, t \in T_\Sigma$ , if  $s \equiv_{\mathcal{L}}^n t$  then  $s \sim_{\mathcal{L}}^n t$ .*

**PROOF.** We again argue by induction on  $n$  and by contraposition. Let  $s, t$  be  $\Sigma$ -trees with  $s \not\sim_{\mathcal{L}}^n t$ .

If  $n = 0$ , then for some ranked alphabet  $\Delta$ , rank-preserving mapping  $\pi : \Sigma \rightarrow \Delta$  and  $\Delta$ -tree language  $L \in \mathcal{L}$ , exactly one of the trees  $\pi(s)$  and  $\pi(t)$  is contained in  $L$ . Thus, the  $\text{XTL}(\mathcal{L})$ -formula  $(L, \pi)$  of depth 0 separates  $s$  and  $t$ .

Suppose that  $n > 0$  and we have proved the claim for  $n - 1$ . We consider two cases. If Spoiler has a winning strategy in the  $(n - 1)$ -round  $\text{XTL}(\mathcal{L})$ -game, then by the induction hypothesis we have  $s \not\equiv_{\mathcal{L}}^{n-1} t$ , which clearly implies  $s \not\equiv_{\mathcal{L}}^n t$ . Otherwise, suppose that Spoiler chooses a  $\Delta$ -tree language  $L \in \mathcal{L}$  and two relabelings of the trees  $s$  and  $t$  in the first step following his winning strategy in the  $n$ -round game. Let the two relabelings be  $\hat{s} \in L$  and  $\hat{t} \notin L$ . Then for any pair  $x, y$  of nodes of  $(s, t)$  of the same rank with  $(\hat{s}, \hat{t})(x) \neq (\hat{s}, \hat{t})(y)$ , Spoiler has a winning strategy in the  $(n - 1)$ -round  $\text{XTL}(\mathcal{L})$ -game on  $((s, t)|_x, (s, t)|_y)$ . Applying the induction hypothesis, we get that for any such pair  $(x, y)$  there exists an  $\text{XTL}(\mathcal{L})$ -formula  $\varphi_{x,y}$  of depth at most  $n - 1$  with  $(s, t)|_x \models \varphi_{x,y}$  and  $(s, t)|_y \not\models \varphi_{x,y}$ .

For each  $\delta \in \Delta_k$ , let us define the formula

$$\varphi_\delta = \bigvee_{(\hat{s}, \hat{t})(x) = \delta} \bigwedge_{(\hat{s}, \hat{t})(y) \neq \delta} \varphi_{x,y},$$

where  $x$  and  $y$  range over the nodes of  $(s, t)$  of rank  $k$ . Observe that

$$(\hat{s}, \hat{t})(z) = \delta \Rightarrow (s, t)|_z \models \varphi_\delta \tag{1}$$

for any node  $z$  of  $(s, t)$  and symbol  $\delta \in \Delta$ . Also, if  $z$  is a  $k$ -ary node of  $(s, t)$ , then

$$(s, t)|_z \models \varphi_\delta \Rightarrow (\hat{s}, \hat{t})(z) = \delta. \tag{2}$$

Indeed, suppose that  $z$  is a  $k$ -ary node,  $(\hat{s}, \hat{t})(z) \neq \delta$  and  $(s, t)|_z \models \varphi_\delta$ . Then there exists a node  $x$  with  $(\hat{s}, \hat{t})(x) = \delta$  such that  $(s, t)|_z \models \bigwedge_{(\hat{s}, \hat{t})(y) \neq \delta} \varphi_{x,y}$ , where  $y$

ranges over all nodes of  $(s, t)$  of rank  $k$ . Then  $(s, t)|_z \models \varphi_{x,z}$ , which contradicts the definition of the formula  $\varphi_{x,z}$ .

From (1) and (2) we get that  $\hat{s}$  and  $\hat{t}$  are the characteristic trees of  $s$  and  $t$ , respectively, determined by the family  $(\varphi_\delta)_{\delta \in \Delta}$ . Now since  $\hat{s} \in L$  and  $\hat{t} \notin L$ , we conclude that the XTL( $\mathcal{L}$ )-formula  $L(\delta \mapsto \varphi_\delta)_{\delta \in \Delta}$  of depth  $n$  separates  $s$  and  $t$ , completing the proof.  $\square$

**Theorem 3.** *For any class  $\mathcal{L}$  of tree languages and any  $n \geq 0$ , the relations  $\sim_{\mathcal{L}}^n$  and  $\equiv_{\mathcal{L}}^n$  coincide.*

**Corollary 2.** *The following are equivalent for any finite class  $\mathcal{L}$  of tree languages and any tree language  $L$ :*

- i)  $L \in \mathbf{XTL}(\mathcal{L})$ ;
- ii) *there exists an integer  $n \geq 0$  such that for all  $s \in L$  and  $t \notin L$ , Spoiler has a winning strategy in the  $n$ -round XTL( $\mathcal{L}$ )-game on  $(s, t)$ .*

PROOF. Suppose  $\mathcal{L}$  is a finite class of tree languages,  $L$  is a tree language and  $n \geq 0$  is an integer such that Spoiler wins the  $n$ -round XTL( $\mathcal{L}$ )-game on any pair  $(s, t)$  of trees with  $s \in L$  and  $t \notin L$ .

Then for any such pair  $(s, t)$  of trees there exists an XTL( $\mathcal{L}$ )-formula  $\varphi_{s,t}$  such that  $s \models \varphi_{s,t}$  and  $t \not\models \varphi_{s,t}$ . Each of these formulas is of depth at most  $n$ .

Since  $\mathcal{L}$  is finite, by standard arguments from finite model theory, it follows that, up to equivalence, there exist only a finite number of formulas of depth at most  $n$ .

Thus, for any tree  $s \in L$ , the “infinitary conjunction”  $\bigwedge_{t \notin L} \varphi_{s,t}$  is equivalent to some XTL( $\mathcal{L}$ )-formula  $\varphi_s$  of depth at most  $n$ . Also the “infinitary disjunction”  $\bigvee_{s \in L} \varphi_s$  is equivalent to some XTL( $\mathcal{L}$ )-formula  $\varphi$ ; it is straightforward to see that  $L_\varphi = L$  indeed holds, proving ii)  $\rightarrow$  i). The other direction is a direct consequence of Theorem 3.  $\square$

## 6. Modified games

We have argued that the logics FTL( $\mathcal{L}$ ) may be seen as special cases of the logics XTL( $\mathcal{L}$ ). We may thus modify the game introduced in the previous section to obtain a game-theoretic characterization of the logics FTL( $\mathcal{L}$ ). In this section, we introduce for each  $n \geq 0$  and class  $\mathcal{L}$  of tree languages the  $n$ -round FTL( $\mathcal{L}$ )-game characterizing the expressive power of FTL( $\mathcal{L}$ ). Second, we introduce a *modified  $n$ -round XTL( $\mathcal{L}$ )-game*, applicable to certain classes  $\mathcal{L}$  of tree languages. This game resembles the original Ehrenfeucht–Fraïssé game more

than the  $n$ -round  $\text{XTL}(\mathcal{L})$ -game of the previous section. A combination of the two modifications is also introduced. By selecting special language classes  $\mathcal{L}$ , in the last section we derive games for some familiar temporal logics on finite trees related to CTL, cf. [1], [24].

Let  $\mathcal{L}$  be a class of tree languages,  $n \geq 0$ , and let  $s, t$  be  $\Sigma$ -trees. The  $n$ -round  $\text{FTL}(\mathcal{L})$ -game on the pair  $(s, t)$  is played between Spoiler and Duplicator according to the same rules as the  $n$ -round  $\text{XTL}(\mathcal{L})$ -game, except for the first step which gets replaced by:

- 1'. If  $\text{Root}(s) \neq \text{Root}(t)$ , Spoiler wins. Otherwise, Step 2 follows.

(We may also modify step 4 by dropping the requirement that  $x$  and  $y$  have the same rank.) The following characterization theorem holds:

**Theorem 4.** *For any class  $\mathcal{L}$  of tree languages, integer  $n \geq 0$  and trees  $s, t \in T_\Sigma$ , Duplicator has a winning strategy in the  $n$ -round  $\text{FTL}(\mathcal{L})$ -game if and only if  $s$  and  $t$  satisfy the same set of  $\text{FTL}(\mathcal{L})$ -formulas of depth at most  $n$ . Consequently, if  $\mathcal{L}$  is finite, then for any tree language  $L$ ,  $L \in \mathbf{FTL}(\mathcal{L})$  if and only if there exists an  $n \geq 0$  such that Spoiler has a winning strategy in the  $n$ -round  $\text{FTL}(\mathcal{L})$ -game on any pair  $(s, t)$  of trees with  $s \in L$  and  $t \notin L$ .*

Now we turn to the modified  $n$ -round  $\text{XTL}(\mathcal{L})$ -game. Recall that each ranked alphabet  $\Sigma$  comes with a fixed lexicographic ordering  $<_\Sigma$ . We define the following partial order  $\preceq_\Sigma$  on  $\Sigma$ -trees: when  $s, t \in T_\Sigma$ , let  $s \preceq_\Sigma t$  if and only if  $\text{dom}(s) = \text{dom}(t)$  and for any node  $v \in \text{dom}(s)$ , either  $s(v) = t(v)$  or  $t(v)$  is the last element of the corresponding  $\Sigma_n$  with respect to  $<_\Sigma$ . If in addition  $s \neq t$  holds, then we write  $s \prec_\Sigma t$ .

Let  $\mathcal{L}$  be a class of tree languages, let  $n \geq 0$ , and let  $s, t$  be  $\Sigma$ -trees. The modified  $n$ -round  $\text{XTL}(\mathcal{L})$ -game on the pair  $(s, t)$  is played between Spoiler and Duplicator according to the following rules:

- (1–2) These steps are the same as in the  $n$ -round  $\text{XTL}(\mathcal{L})$ -game.
- (3) Spoiler chooses one of the two trees, say  $s$ , some  $\Delta$ -tree language  $L \in \mathcal{L}$  and a relabeling  $\hat{s}$  of  $s$  such that  $\hat{s} \in L$  and for any  $s' \in T_\Delta$ , if  $\hat{s} \prec_\Delta s'$  then  $s' \notin L$ . (That is,  $\hat{s}$  is a *maximal* relabeling of  $s$  in  $L$ ). If he cannot do so, Duplicator wins, otherwise Step 4 follows.
- (4) Duplicator chooses a maximal relabeling  $\hat{t}$  of  $t$  in the language  $L$ . If he cannot do so (i.e.,  $t$  has no relabeling in  $L$ ), then Spoiler wins, otherwise Step 5 follows.
- (5) Spoiler chooses a node  $y$  of  $t$  such that  $\delta = \hat{t}(y)$  is *not* the last element of

the respective  $\Delta_k$ . If he cannot do so, Duplicator wins, otherwise Step 6 follows.

- (6) Duplicator chooses a node  $x$  of  $s$  with  $\hat{s}(x) = \delta$ . If he cannot do so, Spoiler wins. Otherwise, a modified  $(n - 1)$ -round XTL( $\mathcal{L}$ )-game is played on the pair  $(s|_x, t|_y)$ . The player winning the subgame also wins the whole game.

It is clear that for any class  $\mathcal{L}$  of tree languages,  $n \geq 0$ , ranked alphabet  $\Sigma$  and  $\Sigma$ -trees  $s, t$ , one of the players has a winning strategy in the modified  $n$ -round XTL( $\mathcal{L}$ )-game on  $(s, t)$ . Let  $s \approx_{\mathcal{L}}^n t$  denote that Duplicator possesses such a strategy.

When  $\Sigma$  is a ranked alphabet, we also define the following partial ordering  $\leq_{\Sigma}$  on  $\Sigma$ -trees: let  $s \leq_{\Sigma} t$  if and only if  $\text{dom}(s) = \text{dom}(t)$ , and for any node  $x \in \text{dom}(s)$ ,  $s(x) \leq_{\Sigma} t(x)$ . We omit the subscript when it is clear from the context.

We say that a tree language  $L \subseteq T_{\Sigma}$  is *downwards closed* if whenever  $s$  and  $t$  are  $\Sigma$ -trees with  $s \leq_{\Sigma} t$  and  $t \in L$ , then also  $s \in L$ .

**Proposition 9.** *For any class  $\mathcal{L}$  of downwards closed tree languages, integer  $n \geq 0$ , ranked alphabet  $\Sigma$  and trees  $s, t \in T_{\Sigma}$ , if  $s \approx_{\mathcal{L}}^n t$  then  $s \equiv_{\mathcal{L}}^n t$ .*

PROOF. The proof of this statement is similar to that of Proposition 7: only the case when  $s$  and  $t$  are separated by some XTL( $\mathcal{L}$ )-formula  $\varphi = L(\delta \mapsto \varphi_{\delta})_{\delta \in \Delta}$  of depth  $n > 0$  needs to be elaborated. Again, we can assume that  $s \models \varphi$ ,  $t \not\models \varphi$  and that the family  $(\varphi_{\delta})_{\delta \in \Delta}$  is deterministic.

We give a winning strategy for Spoiler as follows. Let  $s'$  be the characteristic tree of  $s$  determined by the family  $(\varphi_{\delta})_{\delta \in \Delta}$ . Let Spoiler choose the tree  $s$ , the tree language  $L$  over the alphabet  $\Delta$  and an arbitrary maximal relabeling  $\hat{s}$  of  $s$  in  $L$  satisfying  $s' \preceq_{\Delta} \hat{s}$ . Since  $s' \in L$ , such a tree is guaranteed to exist. Note that for any node  $x$  of  $s$ , if  $\hat{s}(x) = \delta$  is not the maximal element of the respective  $\Delta_k$ , then  $s|_x \models \varphi_{\delta}$ .

Suppose Duplicator responds by choosing a maximal relabeling  $\hat{t}$  of  $t$  in  $L$ . We claim that there exists a node  $y$  of  $t$  such that  $\bar{\delta} = \hat{t}(y)$  is not the last element of the respective  $\Delta_k$ , moreover,  $t|_y \not\models \varphi_{\bar{\delta}}$ . Indeed, suppose this is not the case. Then (since the family  $(\varphi_{\delta})_{\delta \in \Delta}$  is deterministic) the characteristic tree  $t'$  of  $t$  determined by  $(\varphi_{\delta})_{\delta \in \Delta}$  satisfies  $t' \preceq_{\Delta} \hat{t}$ , and hence also  $t' \leq_{\Delta} \hat{t}$ , so that  $t' \in L$  since  $L$  is downwards closed. This contradicts the assumption that  $t \not\models \varphi$ . Let Spoiler choose such a node  $y$  of  $t$  in Step 5 and let  $\bar{\delta} \in \Delta_k$  be the label  $\hat{t}(y)$ .

Assume Duplicator responds by choosing a node  $x$  of  $s$  with  $\hat{s}(x) = \bar{\delta}$ . Since  $\bar{\delta}$  is not the maximal element of  $\Delta_k$ ,  $s|_x \models \varphi_{\bar{\delta}}$ . Hence, the formula  $\varphi_{\bar{\delta}}$  of depth at

most  $n - 1$  separates  $s|_x$  and  $t|_y$ . Applying the induction hypothesis we get that  $s|_x \not\approx_{\mathcal{L}}^{n-1} t|_y$ , thus  $s \not\approx_{\mathcal{L}}^n t$ , proving the statement.  $\square$

**Proposition 10.** *For any class  $\mathcal{L}$  of downwards closed tree languages, integer  $n \geq 0$ , ranked alphabet  $\Sigma$  and trees  $s, t \in T_\Sigma$ , if  $s \equiv_{\mathcal{L}}^n t$  then  $s \approx_{\mathcal{L}}^n t$ .*

PROOF. The proof of this statement is similar to that of Proposition 8. We only elaborate the case when  $s \not\approx_{\mathcal{L}}^n t$  and  $s \approx_{\mathcal{L}}^{n-1} t$  hold for  $n > 0$ .

Suppose that Spoiler chooses the maximal relabeling  $\hat{s}$  of  $s$  in  $L$  for some  $\Delta$ -tree language  $L \in \mathcal{L}$  according to his winning strategy. Then, for any maximal relabeling  $\hat{t}$  of  $t$  in  $L$ , Spoiler can pick a node  $y_{\hat{t}}$  of  $t$  (and of  $\hat{t}$ ) such that  $\hat{t}(y_{\hat{t}}) = \delta_{\hat{t}}$  is not the maximal element of the respective  $\Delta_k$ , moreover, Spoiler wins the  $(n - 1)$ -round game on any pair  $(s|_x, t|_{y_{\hat{t}}})$  with  $\hat{s}(x) = \delta_{\hat{t}}$ . Applying the induction hypothesis we get that for any maximal relabeling  $\hat{t}$  and node  $x$  of  $s$  with  $\hat{s}(x) = \delta_{\hat{t}}$ , there exists an XTL( $\mathcal{L}$ )-formula  $\varphi_{\hat{t},x}$  of depth at most  $n - 1$  separating  $s|_x$  and  $t|_{y_{\hat{t}}}$ , say  $s|_x \models \varphi_{\hat{t},x}$  and  $t|_{y_{\hat{t}}} \not\models \varphi_{\hat{t},x}$ .

Now let us define the formula

$$\varphi_\delta = \bigwedge_{\delta_{\hat{t}}=\delta} \bigvee_{\hat{s}(x)=\delta} \varphi_{\hat{t},x}$$

for each  $\delta \in \Delta$  that is not the maximal element of the respective  $\Delta_k$ , where  $\hat{t}$  ranges over the maximal relabelings of  $t$  in  $L$  and  $x$  ranges over the nodes of  $s$ . Moreover, whenever  $\delta$  is the maximal element of the respective  $\Delta_k$ , let  $\varphi_\delta$  be the formula  $\uparrow$ . Finally, let  $\varphi$  stand for the XTL( $\mathcal{L}$ )-formula  $L(\delta \mapsto \varphi_\delta)_{\delta \in \Delta}$ .

We claim that  $t \not\models \varphi$ . Indeed,

- $t \models \varphi \Leftrightarrow$  the characteristic tree  $t'$  of  $t$  determined by  $(\varphi_\delta)_{\delta \in \Delta}$  is in  $L$
- $\Leftrightarrow$  for some relabeling  $\hat{t} \in L$  of  $t$  we have  $t|_y \models \varphi_{\hat{t}(y)}$
- for all nodes  $y$  of  $t$  such that  $\hat{t}(y)$  is not the maximal element of the respective  $\Delta_k$  (since  $L$  is downwards closed)
- $\Leftrightarrow$  for some maximal relabeling  $\hat{t} \in L$  of  $t$  we have  $t|_y \models \varphi_{\hat{t}(y)}$
- for all nodes  $y$  of  $t$  such that  $\hat{t}(y)$  is not the maximal element of the respective  $\Delta_k$ .

However, the latter is clearly not possible. Indeed, suppose that  $t|_{y_{\hat{t}}} \models \varphi_{\delta_{\hat{t}}}$ . Then,  $t|_{y_{\hat{t}}} \models \bigvee_{\hat{s}(x)=\delta_{\hat{t}}} \varphi_{\hat{t},x}$ , and thus  $t|_{y_{\hat{t}}} \models \varphi_{\hat{t},x}$  for some node  $x$  of  $s$  with  $\hat{s}(x) = \delta_{\hat{t}}$ , contradicting the definition of the formulas  $\varphi_{\hat{t},x}$ .

We also claim that  $s \models \varphi$ . Since  $L$  is downwards closed and  $\hat{s}$  is in  $L$ , it suffices to show that  $s' \leq_{\Delta} \hat{s}$ , where  $s'$  is the characteristic tree of  $s$  determined by the family  $(\varphi_\delta)_{\delta \in \Delta}$ . Thus, it suffices to show that for any node  $z$  of  $s$  for

which  $\hat{s}(z) = \delta$  is not the maximal element of the respective  $\Delta_k$ , we have  $s|_z \models \varphi_\delta$  (implying  $s'(z) \leq_\Delta \hat{s}(z)$ ). This is clear, since for any relabeling  $\hat{t}$  with  $\delta_{\hat{t}} = \delta$  we have  $s|_z \models \varphi_{\hat{t},z}$  by the definition of the formulas  $\varphi_{\hat{t},z}$ .

Hence the XTL( $\mathcal{L}$ )-formula  $\varphi$  of depth at most  $n$  separates  $s$  and  $t$ , thus  $s \not\equiv_{\mathcal{L}}^n t$  and the statement is proved.  $\square$

Propositions 9 and 10 imply the following characterization:

**Theorem 5.** *Suppose  $\mathcal{L}$  is a class of downwards closed tree languages. Then for any  $n \geq 0$  and trees  $s, t \in T_\Sigma$ , Duplicator has a winning strategy on  $(s, t)$  in the modified  $n$ -round XTL( $\mathcal{L}$ )-game if and only if  $s$  and  $t$  satisfy the same set of XTL( $\mathcal{L}$ )-formulas of depth at most  $n$ . Consequently, if  $\mathcal{L}$  is finite, then for any tree language  $L$ ,  $L \in \mathbf{XTL}(\mathcal{L})$  if and only if there exists some  $n \geq 0$  such that Spoiler has a winning strategy in the modified  $n$ -round XTL( $\mathcal{L}$ )-game on any pair  $(s, t)$  of trees with  $s \in L$  and  $t \notin L$ .*

It is possible to combine the FTL( $\mathcal{L}$ )-game and the modified XTL( $\mathcal{L}$ )-game. We call the resulting game the *modified  $n$ -round FTL( $\mathcal{L}$ )-game*. A characterization theorem similar to the previous ones again holds:

**Theorem 6.** *Suppose  $\mathcal{L}$  is a class of downwards closed tree languages. Then for any  $n \geq 0$  and trees  $s, t \in T_\Sigma$ , Duplicator has a winning strategy on  $(s, t)$  in the modified  $n$ -round FTL( $\mathcal{L}$ )-game if and only if  $s$  and  $t$  satisfy the same set of FTL( $\mathcal{L}$ )-formulas of depth at most  $n$ . Consequently, if  $\mathcal{L}$  is finite, then for any tree language  $L$ ,  $L \in \mathbf{FTL}(\mathcal{L})$  if and only if there exists some  $n \geq 0$  such that Spoiler has a winning strategy in the modified  $n$ -round FTL( $\mathcal{L}$ )-game on any pair  $(s, t)$  of trees with  $s \in L$  and  $t \notin L$ .*

## 7. Examples

Recall the definition of the ranked alphabet Bool from Sec. 3, paragraph 2.

*Example 3.* Let  $L_{\text{EF}^+}$  and  $L_{\text{EF}^*}$  denote the Bool-tree languages of those trees having a *non-root* node labeled in UP, and *any* node labeled in UP, respectively. Then the logics  $\text{FTL}(\{L_{\text{EF}^+}\})$  and  $\text{FTL}(\{L_{\text{EF}^*}\})$  are related to the fragments of  $\text{CTL}^2$  determined by the strict and non-strict existential future modalities. The modified  $n$ -round  $\text{FTL}(\{L_{\text{EF}^+}\})$ -game and  $\text{FTL}(\{L_{\text{EF}^*}\})$ -game have the same rules as the corresponding games described in [24]. (Observe that  $L_{\text{EF}^+}$  and  $L_{\text{EF}^*}$

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<sup>2</sup>CTL was originally introduced in [5] as a logic on Kripke structures, or infinite (unranked) trees. Regarding the definition of CTL on finite trees as used here, cf. [6].

are downwards closed.) It is shown in the papers [4], [9], [24] (using in part different arguments), that it is decidable for a regular tree language whether it is definable in these logics. For fragments of CTL involving the next modality and the strict or non-strict existential future modality, we refer to [4], [7].

*Example 4.* Let  $L_{EG} \subseteq T_{\text{Bool}}$  consist of the Bool-trees having a maximal path  $p$  such that each node of  $p$  is labeled in UP. Then the logic  $\text{FTL}(\{L_{EG}\})$  corresponds to the (non-strict) EG fragment of CTL. The modified  $n$ -round  $\text{FTL}(\{L_{EG}\})$ -game characterizing this logic has the following rules, when played on the pair of trees  $(s, t)$ :

- (1) If  $\text{Root}(s) \neq \text{Root}(t)$ , Spoiler wins. Otherwise Step 2 follows.
- (2) If  $n = 0$ , Duplicator wins. Otherwise Step 3 follows.
- (3) Spoiler chooses one of the trees, say  $s$ , and a leaf node  $x$  of  $s$  (and thus selects a maximal path of  $s$ ).
- (4) Duplicator chooses a leaf node  $y$  of  $t$ .
- (5) Spoiler chooses a (not necessarily strict) ancestor  $y'$  of  $y$ .
- (6) Duplicator chooses a (not necessarily strict) ancestor  $x'$  of  $x$ .
- (7) An  $(n - 1)$ -round  $\text{FTL}(\{L_{EG}\})$ -game is played on  $(s|_{x'}, t|_{y'})$ . The player winning the subgame also wins the whole game.

*Example 5.* Recall from Example 1 the definition of  $L_{\text{even}}$ . This language is *not* downwards closed. Let  $\mathcal{L} = \{L_{\text{even}}\}$ . The  $n$ -round  $\text{FTL}(\mathcal{L})$ -game characterizes the modular temporal logic  $\text{FTL}(\mathcal{L})$ . The rules of this game on the pair  $(s, t)$  of trees are formulated as follows:

- (1) If  $\text{Root}(s) \neq \text{Root}(t)$ , Spoiler wins. Otherwise Step 2 follows.
- (2) If  $n = 0$ , Duplicator wins. Otherwise Step 3 follows.
- (3) Spoiler marks an even number of nodes of one tree, and an odd number of nodes of the other tree. After that, Step 4 follows.
- (4) Duplicator chooses a marked node  $x$  and an unmarked node  $y$ , either in the same tree or in different trees, and an  $(n - 1)$ -round  $\text{FTL}(\mathcal{L})$ -game is played on the subtrees rooted in  $x$  and  $y$ . If he cannot do so, Spoiler wins. The player winning the subgame also wins the game.

The question whether  $\text{FTL}(\mathcal{L})$  is decidable when the rank type  $R$  contains an integer greater than 1 is open. For the classical case  $R = \{0, 1\}$ , see [2], [22].

Suppose Step 1 above gets replaced by the following:

- (1') If for some  $\sigma \in \Sigma$  exactly one of the trees contains an even number of nodes labeled  $\sigma$ , Spoiler wins. Otherwise Step 2 follows.

The resulting game characterizes the (weaker) modular logic  $\text{XTL}(\mathcal{L})$ , where the root node is not distinguished from the other nodes.

*Example 6.* Consider the following  $n$ -round game on the pair of trees  $(s, t)$ :

- (1) If  $\text{Root}(s) \neq \text{Root}(t)$ , Spoiler wins. Otherwise Step 2 follows.
- (2) If  $n = 0$ , Duplicator wins. Otherwise Step 3 follows.
- (3) Spoiler chooses either to make an EX-move, in which case Step 4 follows, or an EU-move, in which case Step 5 follows.
- (4) (EX-move.) Spoiler chooses one of the trees, say  $s$ , and a node  $x$  of  $s$  of depth one. If he cannot do so, Duplicator wins. Otherwise, Duplicator chooses a node  $y$  of  $t$  of depth one (if he cannot, he immediately loses), and an  $(n - 1)$ -round game is played on the trees  $(s|_x, t|_y)$ . The player winning the subgame also wins the whole game.
- (5) (EU-move.) Spoiler chooses one of the trees, say  $s$ , and a node  $x$  of  $s$ . After that, Duplicator chooses a node  $y$  of  $t$ . Then, Spoiler again can make a decision to continue the game either with the pair of trees  $(s|_x, t|_y)$ , or with  $(s|_{x'}, t|_{y'})$ , where  $x'$  is a strict ancestor of  $x$  and  $y'$  is a strict ancestor of  $y$ .
- (6) In the first case, an  $(n - 1)$ -round game is played on  $(s|_x, t|_y)$  and the winner of the subgame wins the game.
- (7) In the second case, Spoiler chooses a strict ancestor  $y'$  of  $y$ , after which Duplicator chooses a strict ancestor  $x'$  of  $x$ . (If someone cannot choose such a node, the other player wins.) Then, an  $(n - 1)$ -round game is played on  $(s|_{x'}, t|_{y'})$ . The winner of the subgame also wins the whole game.

This game (resulting from Theorem 6) characterizes the temporal logic CTL: a tree language  $L$  is definable in CTL if and only if there exists an integer  $n \geq 0$  such that Spoiler wins the  $n$ -round game on any pair  $(s, t)$  of trees with  $s \in L$  and  $t \notin L$ .

When  $R = \{0, 1\}$ , our game is similar to the one described in [12] for words. (See also [15] for a similar game for Mazurkiewicz traces). It is also closely related to the game developed for full CTL (over Kripke structures) in [1].

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ZOLTÁN ÉSIK  
DEPARTMENT OF COMPUTER SCIENCE  
UNIVERSITY OF SZEGED  
HUNGARY

*E-mail:* ze@inf.u-szeged.hu

SZABOLCS IVÁN  
DEPARTMENT OF COMPUTER SCIENCE  
UNIVERSITY OF SZEGED  
HUNGARY

*E-mail:* szabivan@inf.u-szeged.hu

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