Polynomials and binary forms with given discriminant

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Dedicated to Professor W. M. Schmidt

Abstract. There is an extensive literature of monic polynomials and binary forms with given discriminant. The first part of our paper gives a brief survey of the most important results over $\mathbb{Z}$ on such polynomials and binary forms as well as on their various applications. In the second part we improve some earlier general effective and quantitative results over number fields. As an application we obtain some new information about the arithmetical properties of discriminants of polynomials and binary forms.

1. Introduction

Many number-theoretic problems lead to discriminant equations of the form

$$D(F) = D \quad \text{in } F,$$

where $D$ is a given non-zero integer, $F$ is a monic polynomial, a binary form or a decomposable form with coefficients in $\mathbb{Z}$ (or in a more general domain), and $D(F)$ denotes the discriminant of $F$. There are several finiteness theorems on such equations, which have important applications

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in algebraic number theory and in the theory of Diophantine equations. In Sections 2 and 3 we give a brief survey of these theorems and some of their applications. All these results were proved by reducing (1) to finitely many unit equations in two unknowns and then applying various finiteness theorems on unit equations. Using some recent improved bounds of Yu and the author [36] on the solutions of S-unit equations, we improve in Sections 4 and 5 several general effective and quantitative results from [26], [29], [32], [34] and [16] concerning monic polynomials and binary forms with given discriminant.

The survey Sections 2 and 3 are devoted to monic polynomials and binary forms, respectively. As will be pointed out in Sections 3 and 4, the results concerning equivalence classes of monic polynomials can be reformulated in terms of strong equivalence classes of monic binary forms. However, it should be remarked that the general effective results treated in Sections 3 and 4 on binary forms do not imply effective results on strong equivalence classes of monic binary forms and, consequently, on equivalence classes of monic polynomials.

For simplicity, the earlier results will be presented over \( \mathbb{Z} \) only, and references will be given to more general versions. For latter applications, we formulate and prove our new results in more general forms, over the rings of S-integers of number fields.

In Sections 2 and 3 some important related topics will not be discussed and many references will be left out owing to lack of space. For instance, we shall not deal with numerical results and decomposable forms in more than two variables. These might be the subject of another survey paper.

2. Monic polynomials

Two monic polynomials \( F, F^* \in \mathbb{Z}[x] \) are called equivalent if \( F^*(x) = F(x + b) \) for some \( b \in \mathbb{Z} \). In this case they have the same discriminant.

In 1930, DELONE [8] and NAGELL [43] proved independently of each other that up to equivalence, there are only finitely many irreducible monic polynomials \( F \in \mathbb{Z}[x] \) with degree 3 for which (1) holds. In the quartic case, the same assertion was proved later by NAGELL [44], [45]. Their proofs were ineffective.
DELONE [8] (see also [9]) determined a great number of cubic monic polynomials \( F \in \mathbb{Z}[x] \) with given negative discriminant, including all \( F \) with \(-172 \leq D(F) < 0\). In their book [9], DELONE and FADDEEV posed in 1940 the problem of giving an algorithm for finding all cubic monic polynomials with integral coefficients and given non-zero discriminant.

2.1. General effective finiteness results. By reducing (1) to unit equations and using Baker’s theory of linear forms in logarithms, the present author proved in 1973 the following general theorem.

**Theorem A** (GYŐRY [22]). Up to equivalence, there are only finitely many monic polynomials \( F \in \mathbb{Z}[x] \) with a given non-zero discriminant, and all these \( F \) can be effectively determined.

Since 1974, various quantitative versions have been established by the author; cf. [23]–[26], [29], [32], [34]. In [23] it was proved that if \( F \in \mathbb{Z}[x] \) is a monic polynomial of degree \( n \) with discriminant \( D \neq 0 \) then

\[
 n \leq 2 + 2 \log |D| / \log 3
\]

and this estimate is already sharp. Hence it suffices to consider (1) for polynomials \( F \) of fixed degree.

Denote by \( H(F) \) the maximum absolute value of the coefficients of a polynomial \( F \) with integral coefficients. By the splitting field of \( F \) (over \( \mathbb{Q} \)) we mean the smallest finite extension of \( \mathbb{Q} \) over which \( F \) can be factored into linear factors. The best known quantitative version of Theorem A which involves the splitting field is the following.

**Theorem B** (GYŐRY [34]). Let \( F \in \mathbb{Z}[x] \) be a monic polynomial of degree \( n \) with discriminant \( D \neq 0 \). Then \( F \) is equivalent to a polynomial \( F^* \) for which

\[
 H(F^*) \leq c_1 |D|^{c_2}
\]

with effectively computable positive constants \( c_1, c_2 \) which depend only on \( n \) and the regulator of the splitting field of \( F \).

The constants \( c_1 \) and \( c_2 \) are given explicitly in [34]. From the explicit version of (3) it is easy to deduce that

\[
 H(F^*) \leq \exp\{c_3(|D| \log^2 |D|)^m\},
\]

(4)
where \(c_3\) is an effectively computable positive constant depending only on \(n\), and \(m\) denotes the degree of the splitting field of \(F\). We note that \(1 \leq m \leq n!\).

For polynomials of fixed degree, Theorems A and B have been generalized and extended in several directions.

- Effective finiteness theorems were proved for equation (1) with \(D\) replaced by \(p_1^{z_1} \cdots p_s^{z_s}\), where \(p_1, \ldots, p_s\) are fixed primes and \(z_1, \ldots, z_s\) are unknown non-negative integers; cf. [26], [29], [32], [34] and Section 4 of the present paper.

- Generalizations were given for not necessarily monic polynomials with bounded leading coefficient; cf. [24].

- Various generalizations were established for the number field case when the ground ring is the ring of integers or, more generally, the ring of \(S\)-integers of a number field; cf. [25], [26], [29], [32], [34] and Section 4 of this paper.

- The results were extended to the case when \(D(F)\) is not necessarily different from zero. Then one considers the equation \(D(F_0) = D\) for fixed \(D \neq 0\), where \(F_0\) is the maximal square-free divisor of \(F\) in \(\mathbb{Z}[x]\); cf. [25], [29], [34].

- In [30] and [32] further generalizations were obtained for the case when the ground ring is an arbitrary finitely generated integral domain over \(\mathbb{Z}\) which may contain transcendental elements too.

The proofs of the afore-mentioned effective results were reduced to unit or \(S\)-unit equations and then some effective results of [27], [7] or [34] were applied to these equations; see also Section 5.

Analogous results over function fields were proved by Györy [32], Gaál [21] and Shlapentokh [50].

Theorems A, B as well as their various versions and generalizations led to several applications. We present only some applications in qualitative form and over \(\mathbb{Z}\); for more general and quantitative versions and other related results we refer to [23]–[26], [29], [32], [34], [35] and [28].

- Up to translation of the type \(\alpha \rightarrow \alpha + b\) with \(b \in \mathbb{Z}\), there are only finitely many algebraic integers \(\alpha \in \overline{\mathbb{Q}}\) with given discriminant, and all these \(\alpha\) can be effectively determined. This was proved by Birch.
and Merriman [4] in a non-effective form and independently, as a consequence of Theorem A, by the present author [22] in an effective form.

– Let $K$ be an algebraic number field with ring of integers $O_K$. There are only finitely many units in $O_K$ with a given non-zero discriminant, and all these can be effectively determined; cf. [24]

– Up to translation by elements of $\mathbb{Z}$, there are only finitely many $\alpha \in O_K$ with a given index, and all these $\alpha$ can be effectively determined; cf. [24].

– Up to translation by elements of $\mathbb{Z}$, there are only finitely many $\alpha \in O_K$ with $O_K = \mathbb{Z}[\alpha]$ and all these $\alpha$ can be effectively determined; cf. [24]. This provides an algorithm which makes it possible, at least in principle, to find all power integral bases $\{1, \alpha, \ldots, \alpha^{n-1}\}$ in $O_K$, where $n$ denotes the degree of $K$ over $\mathbb{Q}$.

– Some information was obtained in [26] and [29] on the arithmetical properties of discriminants and indices of elements of $O_K$. In number fields of unit rank 1, certain improvements were later obtained by Pethő [47].

– Kovács [40] used the above-mentioned result on power integral bases to give a general algorithm for determining all canonical number systems in $O_K$.

– Some applications to irreducible polynomials were given in [24] and [31].

– Effective upper bounds were deduced for the solutions of discriminant form and index form equations; cf. [24], [37] and [55]. For “inhomogeneous” generalizations, see Gaál [20].

– Effective upper bounds were derived in [48] and [56] for the solutions of elliptic and superelliptic equations, and for $m$ in the equation $f(x) = y^m$; see [6], [39] and [38].

2.2. Bounds for the number of equivalence classes. Evertse and the author [15] derived explicit upper bounds for the number of equivalence classes of monic polynomials with integral coefficients and given non-zero discriminant. We denote by $\omega(D)$ the number of distinct prime factors of a non-zero integer $D$. 
Theorem C (Evertse and Győry [15]). Let $n \geq 2$ be an integer. Then the monic polynomials $F \in \mathbb{Z}[x]$ of degree $n$ with discriminant $D \neq 0$ and with splitting field $M$ belong to at most
\[
c_4 \left(4 \cdot 7^{m(2\omega+3)}\right)^{n-2}
\]
equivalence classes, where $c_4 = n(n-1)/(n-2)!$, $m = [M : \mathbb{Q}]$ and $\omega = \omega(D)$.

Further, it was proved in [15] that for the polynomials $F$ in Theorem C
\[
n \leq 2 + 4 \cdot 7^{m(2\omega+3)}
\]
holds. When $|D|$ is large compared with $m$ and $\omega$, (6) gives a better bound for $n$ than (2).

In the proofs of (5) and (6) equation (1) was reduced to unit equations and then a result of Evertse [10] was utilized on the number of solutions of such equations.

In [14], [12], [18], [2] and [35] there are quantitative results which imply bounds for the number of equivalence classes of irreducible monic polynomials with given discriminant. These results give better estimates than (5) if $m$ is large with respect to the degree $n$. Theorem C, (6) and the results of [14], [12] and [18] were proved in more general forms, over the rings of $S$-integers of a number field or, more generally, over an arbitrary finitely generated integral domain over $\mathbb{Z}$.

Theorem C from [15] and the results of [14], [12] and [18] have several applications. We mention some of them in their simplest form; more general and quantitative versions can be found in [14] and [15].

– Let $K$ be an algebraic number field of degree $n$ with ring of integers $\mathcal{O}_K$. Then, up to translation by elements of $\mathbb{Z}$, the number of $\alpha \in \mathcal{O}_K$ with a non-zero discriminant can be bounded above by an expression of the form (5); cf. [14], [15]. When $m$ is large compared with $n$, better bounds follow from the results of [12] and [18]. Further improvements have been given in [35] and [2] in the particular case when the Galois group of the normal closure $M$ of $K$ over $\mathbb{Q}$ is triply transitive. We note that the results of [14], [12], [18], [35] and [2] are formulated in terms of decomposable form equations or, in particular, of discriminant form and index form equations.
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Theorem C and the above-mentioned results of [14], [15], [12], [18], [35] and [2] concerning algebraic integers have further applications to elements \( \alpha \in O \) with given index and to power integral bases, where \( O \) is an order in \( K \). For example, it was proved in [14] that, up to \( \mathbb{Z} \)-equivalence, there are at most
\[
(4 \cdot 7^{3m})^{n-2}
\]
\( \alpha \in O \) with \( O = \mathbb{Z}[\alpha] \). Further, in the particular case when \( O = O_K \) and \( \text{Gal}(M/\mathbb{Q}) \) is triply transitive, this was proved in [35] with \( 2^{4n(n-1)+9} \) in place of (7). We do not know whether the best possible upper bound is exponential or polynomial in terms of \( n \).

3. Binary forms

Every binary form \( F(x, y) \in \mathbb{Z}[x, y] \) of degree \( n \geq 2 \) can be factored over \( \mathbb{Q} \) as \( \prod_{i=1}^{n} (\alpha_i x + \beta_i y) \). The discriminant of \( F \) is defined by
\[
D(F) = \prod_{1 \leq i < j \leq n} (\alpha_i \beta_j - \alpha_j \beta_i)^2.
\]
This is a rational integer and is independent of the choice of the factorization of \( F \) into linear forms. For \( \lambda \in \mathbb{Q}^* \) and for \( A = \left( \begin{array}{cc} a & b \\ c & d \end{array} \right) \) with \( a, b, c, d \in \mathbb{Z} \),
\[
D(\lambda F) = \lambda^{2n-2} D(F), \quad D(F_A) = (\det A)^{n(n-1)} D(F),
\]
where \( F_A(x, y) = F(ax + by, cx + dy) \).

Two binary forms \( F, F^* \in \mathbb{Z}[x, y] \) are called equivalent if \( F^* = F_A \) for some \( A \in GL_2(\mathbb{Z}) \) (i.e. \( A \) has entries in \( \mathbb{Z} \) and determinant \( \pm 1 \)). In this case \( F \) and \( F^* \) have the same discriminant. Two equivalent binary forms \( F, F^* \in \mathbb{Z}[x, y] \) are said to be strongly equivalent if \( F^* = F_A \) for some \( A = \left( \begin{array}{cc} 1 & b \\ 0 & 1 \end{array} \right) \) with \( b \in \mathbb{Z} \). Using the correspondence between the binary forms \( F(x, y) \) and polynomials \( F(x, 1) \), all the results presented in Section 2 on monic polynomials can be reformulated in an obvious way
for strongly equivalent monic binary forms, that is for forms $F(x, y)$ with $F(1, 0) = 1$.

By classical results of Lagrange (1773, case $n = 2$) and Hermite (1851, case $n = 3$), every binary form $F \in \mathbb{Z}[x, y]$ with degree $n \leq 3$ and discriminant $D \neq 0$ is equivalent to a form $F^*$ for which

$$H(F^*) \leq c_5|D|$$

with an effectively computable absolute constant $c_5$.

In 1972, Birch and Merriman proved the following.

**Theorem D** (Birch and Merriman [4]). For $n \geq 4$, there are only finitely many equivalence classes of binary forms in $\mathbb{Z}[x, y]$ of degree $n$ with a given non-zero discriminant.

The proof of Birch and Merriman is non-effective.

### 3.1. General effective finiteness results.

Theorems A, B and (2), (4) imply in a quantitative form that there are only finitely many strong equivalence classes of monic binary forms $F \in \mathbb{Z}[x, y]$ with a given non-zero discriminant, and a full set of representatives of these classes can be effectively determined.

For general (i.e. not necessarily monic) binary forms $F \in \mathbb{Z}[x, y]$ of degree $n$ and discriminant $D \neq 0$, the author [23] proved in 1974 the estimate

$$n \leq 3 + 2 \left( \frac{\log |D|}{\log 3} \right)$$

which is already sharp. In 1991 we showed with Evertse [16] that if $F$ is square-free then

$$n \leq 3 \left( \omega(D)^2 + 1 \right),$$

where $\omega = \omega(D)$ and $m$ denotes the degree of the splitting field of $F$. Further, we proved the following general effective theorem.

**Theorem E** (Evertse and Győry [16]). Every binary form $F \in \mathbb{Z}[x, y]$ of degree $n \geq 2$ with discriminant $D \neq 0$ is equivalent to a form $F^*$ for which

$$H(F^*) \leq \exp \left\{ (c_6 n)^{c_7 n^4} |D|^{8n^3} \right\},$$

where $c_6$, $c_7$ are effectively computable positive absolute constants.

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1 Added in proof. Recently we have improved the exponent of $|D|$ to $6n$. 
In [16] it was also proved another version of Theorem E which implies

\[ H(F^*) \leq c_8 |D| c_9 \]

in place of (9), where \( c_8, c_9 \) are effectively computable positive constants which depend only on \( n \) and the discriminant of the splitting field of \( F \) over \( \mathbb{Q} \).

The main tool in the proof of (10) and Theorem E is an effective result of the author [27] on \( S \)-unit equations. The proof is, however, more complicated than in the monic case because in the general situation the factorization of \( F \) into linear factors over \( \mathbb{Q} \) is unique only up to proportional factors.

Together with (8), Theorem E provides immediately the following.

**Corollary.** There are only finitely many equivalence classes of binary forms \( F \in \mathbb{Z}[x, y] \) with a given non-zero discriminant, and a full set of representatives of these classes can be effectively determined.

We mention that the above general effective results concerning binary forms do not imply effective finiteness results on strong equivalence classes of monic binary forms. In other words, Theorem E and its Corollary do not provide the effective results presented in Section 2 on monic polynomials of given discriminant (see [16], p. 171).

Theorem E was proved in [16] in a more general form, over the rings of \( S \)-integers of number fields; cf. Section 4. Further, Theorem E and (8) were later generalized for decomposable forms in more than two variables; cf. [17], [33].

In [16] several applications of Theorem E, (10) and their more general versions were given to

- algebraic numbers of given discriminant,
- arithmetical properties of discriminants of binary forms,
- discriminant form inequalities,
- minimal non-zero values of binary forms at integral points.

Some of these applications are improved in Section 4. Further applications of (8), (9), (10) have been obtained by Brindza, Evertse and Győry [6], Stewart [53], Thunder [54], Brindza [5] to Thue-equations and
Thue-inequalities, and by Ribenboim [49] to binary forms with given discriminant and additional appropriate conditions on the coefficients. In [49] certain results are also established under the assumption that the ABC conjecture is true.

In (10), the constants $c_8$, $c_9$ are effective and $c_9$ can be expressed as a polynomial of the discriminant of the splitting field of $F$. In 1993, Evertse proved the following partially ineffective improvement of (10).

**Theorem F** (Evertse [11]). Every binary form $F \in \mathbb{Z}[x, y]$ of degree $n \geq 2$ with discriminant $D \neq 0$ is equivalent to a form $F^*$ for which

$$H(F^*) \leq c_{10} |D|^{\frac{2n}{n-1}},$$

where $c_{10}$ is a constant which depends only on $n$ and the discriminant of the splitting field of $F$.

This theorem is proved [11] in a more general form, over the rings of $S$-integers of number fields. Its proof is based on Roth’s theorem over number fields, hence $c_{10}$ in (11) is ineffective. The exponent in (11) is already best possible up to an absolute constant factor. It would have great interest to prove Theorem F with an effective constant $c_{10}$ and to find out whether the dependence on the splitting field is needed.

### 3.2. Bounds for the number of equivalence classes.

Let

$$F(x, y) = a_0x^n + a_1x^{n-1}y + \cdots + a_ny^n$$

be an irreducible binary form with integral coefficients. Let $\alpha$ be a zero of $F(x, 1)$, and let $K = \mathbb{Q}(\alpha)$ with ring of integers $O_K$. Then

$$O_F = \{1, a_0\alpha, a_0\alpha^2, a_1\alpha, \ldots, a_0\alpha^{n-1} + \cdots + a_{n-2}\alpha\}$$

is a $\mathbb{Z}$-module of rank $n$ in $O_K$ which is closed under multiplication. Thus $O_F$ is an order. It is determined by $F$ up to conjugation. Further, it has the following important properties (cf. [46], [51]):

- $O_{FA} = O_F$ for every $A \in GL_2(\mathbb{Z})$, hence $O_F$ is called the invariant order of $F$;
- The discriminant $D(O_F)$ of $O_F$ coincides with the discriminant $D(F)$ of $F$;
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\[ D(F) = I^2 D_K, \]  
where \( I = [O_K : O_F] \). If in particular \( F \) is monic, then \( O_F = \mathbb{Z}[\alpha] \).

An immediate consequence of Theorem E is that if \( O \) is a given order of some number field, then the irreducible binary forms \( F \in \mathbb{Z}[x, y] \) with \( O_F = O \) lie in finitely many equivalence classes, and a full set of representatives of these classes can be effectively determined.

For \( n = 3 \), it follows from a result of Delone and Faddeev [9] that for every cubic order \( O \) there is precisely one equivalence class of irreducible binary forms \( F \in \mathbb{Z}[x, y] \) with \( O_F = O \). For \( n > 3 \) this is no longer true: Simon [51] gave examples of number fields \( K \) of degree 4 and of arbitrary large degree whose ring of integers \( O_K \) cannot be represented as \( O_F \) for any irreducible binary form \( F \).

If \( O \) is an order of a number field of degree \( n \geq 4 \), then (7) implies an explicit upper bound for the number of strong equivalence classes of monic irreducible binary forms \( F \in \mathbb{Z}[x, y] \) with \( O_F = O \). Recently, the following has been established in [3] for not necessarily monic binary forms.

**Theorem G** (Bérczes, Evertse and Györy [3]). Let \( O \) be an order with quotient field of degree \( n \geq 4 \) over \( \mathbb{Q} \). Then the number of equivalence classes of irreducible binary forms \( F \in \mathbb{Z}[x, y] \) with \( O_F = O \) is at most

\[ 2^{24n^3}. \]

The proof of Theorem G involved among other things a theorem of Beukers and Schlickewei [1] on the number of solutions of the equation \( x + y = 1 \) in a finitely generated multiplicative group.

Theorem G was used in [3] to derive upper bounds for the number of equivalence classes of binary forms with given discriminant. For given integers \( a, k \geq 1 \) denote by \( d_k(a) \) the number of tuples of positive integers \( d_1, \ldots, d_k \) such that \( d_1 \ldots d_k | a \).

**Theorem H** (Bérczes, Evertse and Györy [3]). Let \( K \) be a number field of degree \( n \geq 3 \) over \( \mathbb{Q} \) with discriminant \( D_K \), and let \( I \) be a positive integer. The irreducible binary forms \( F \in \mathbb{Z}[x, y] \) for which \( K = \mathbb{Q}(\alpha) \) for some zero \( \alpha \) of \( F(x, 1) \) and \( D(F) = I^2 D_K \) lie in at most

\[ 2^{24n^3 \left( \omega(I) + 1 \right)} d_n(n - 1)/2 \left( I^2 \sum_{d \mid n(n - 1)/2 | I} d \right) \]
equivalence classes.

Let \( K_1, \ldots, K_q \) be not necessarily distinct number fields with respective degrees \( n_1 \geq 3, n_2, \ldots, n_q \), and discriminants \( D_{K_1}, \ldots, D_{K_q} \). Denote by \( \mathcal{F}(K_1, \ldots, K_q) \) the set of binary forms \( F \in \mathbb{Z}[x, y] \) such that

\[
F = F_1 \ldots F_q
\]

with irreducible forms \( F_i \in \mathbb{Z}[x, y] \) for which \( K_i = \mathbb{Q}(\alpha_i) \) for some zero \( \alpha_i \) of \( F_i(x, 1), i = 1, \ldots, q \). Then one can show that

\[
D(F) = I^2 D_{K_1} \ldots D_{K_q}
\]

for some positive integer \( I \). The next theorem was deduced in [3] from Theorem H above and from a result obtained in [3] on resultant equations.

**Theorem I** (Bérczes, Evertse and Györy [3]). For given \( I \) and \( \varepsilon > 0 \), the number of equivalence classes of binary forms \( F \in \mathcal{F}(K_1, \ldots, K_q) \) with (12) is at most

\[
cI^2/n(n-1) + \varepsilon,
\]

where \( n = n_1 + \cdots + n_q \) and \( c = c(n_1, \ldots, n_q, \varepsilon) \) is a positive constant.

The bound is almost best possible in terms of \( I \), the exponent cannot be replaced by an expression \( < 2/n(n-1) \). In the monic case, Theorems H and I can be compared with Theorem C in Section 2.

### 4. Improvement of some earlier effective and quantitative results

In this section we improve some general effective and quantitative results of the author [26], [29], [32], [34] on monic polynomials, and Evertse and the author [16] on binary forms of given discriminant. The results concerning monic polynomials will be formulated in terms of monic binary forms.

Before stating our results, we recall some definitions and adopt some notation from [29], [32], [34] and [16]. Let \( K \) be an algebraic number field with ring of integers \( \mathcal{O}_K \), and let \( S \) be a finite set of places on \( K \), including
the set $S_\infty$ of infinite places. Let $O_S$ and $O_S^\times$ denote the ring of $S$-integers and the group of $S$-units in $K$, respectively.

By an $O_S$-ideal we mean a finitely generated $O_S$-submodule of $K$ and by an integral $O_S$-ideal, an $O_S$-ideal that is contained in $O_S$. The $O_S$-ideal generated by $\alpha_1, \ldots, \alpha_k$ is denoted by $(\alpha_1, \ldots, \alpha_k)_S$. If $F \in K[x, y]$ then $(F)_S$ denotes the $O_S$-ideal generated by the coefficients of $F$. If $a$ is an $O_S$-ideal, and $a^\ast$ is the $O_K$-ideal composed of prime ideals outside $S$ such that $a = a^\ast O_S$, then the $S$-norm of $a$, denoted by $N_S(a)$, is defined as $N_{K/\mathbb{Q}}(a^\ast)$. The $S$-discriminant of a square-free binary form $F \in K[x, y]$ of degree $n$ is defined as the $O_S$-ideal

$$d_S(F) = \frac{(D(F))_S}{(F)_S^{2(n-1)}}.$$ 

This is an integral $O_S$-ideal.

Two binary forms $F, F^\ast \in K[x, y]$ are called weakly $O_S$-equivalent if there is an $A \in GL_2(O_S)$ and a $\lambda \in K^\ast$ such that

$$F^\ast = \lambda F A.$$

We remark that this concept was defined in [16] with $A$ contained in $SL_2(O_S)$. Following the arguments of [16], p. 173, it is easily seen that if $F, F^\ast \in K[x, y]$ are weakly $O_S$-equivalent in the present sense then they have the same $S$-discriminant.

In the results presented below the following notation is used: $d = [K : \mathbb{Q}]$, $M$ is a finite normal extension of $K$ with $[M : K] = m$, $D_L$ is the discriminant of an arbitrary number field $L$, $p_1, \ldots, p_t$ are the prime ideals in $O_K$ associated to the finite places of $S$, and

$$P = \max_i N(p_i), \quad W = \log N(p_1) \ldots \log N(p_t)$$

if $t > 0$, and $P = W = 1$ if $t = 0$. We denote by $h(\alpha)$ the absolute height, or briefly the height, of an algebraic number $\alpha$ (cf. Section 5), while $h(F)$ (resp. $h(A)$) denotes the maximum of the heights of the coefficients (resp. of the entries) of a polynomial $F$ (resp. of a matrix $A$) with coefficients (resp. with entries) in $\mathbb{Q}$. We write

$$\log^* a \text{ for } \max \{\log a, 1\}.$$
Further, \( c_{11} \) to \( c_{14} \) and \( c_{17} \) to \( c_{20} \) will denote effectively computable positive numbers which depend only on \( d, m, |D_M| \) and the degree, \( n \), of the binary forms \( F \) involved.

**Theorem 1.** Let \( F \in K[x,y] \) be a square-free binary form of degree \( n \geq 3 \) with \( d_S(F) = d \) and with splitting field \( M \) over \( K \). Then \( F \) is weakly \( O_S \)-equivalent to a form \( F^* \) in \( O_S[x,y] \) for which

\[
h(F^*) \leq \exp\{c_{11} \cdot (PW)^m \log N_S(d)\}. \tag{13}
\]

For monic binary forms \( F \in O_S[x,y] \) we have \( d_S(F) = (D(F))_S \). In this case Theorem 2 gives a stronger result.

Two binary forms \( F, F^* \in O_S[x,y] \) are called \( O_S \)-equivalent if \( F^* = F_A \) for some \( A \in GL_2(O_S) \). If in particular \( A \) is of the form \[
\begin{pmatrix} 1 & b \\ 0 & d \end{pmatrix}
\]
with \( b \in O_S, d \in O_S^* \), then \( F \) and \( F^* \) are said to be strongly \( O_S \)-equivalent. In this case \( F \) and \( F^* \) are at the same time monic or non-monic.

We say that \( F \) is a general binary form if it is not necessarily monic. For general binary forms, we deduce from Theorem 1 the following theorem. For monic binary forms, Theorem 2 is new in this form.

It will be more convenient to state our results concerning the general and monic cases in a single theorem. However, these two cases will be proved separately. The result obtained on general binary forms does not imply the corresponding statement established in the monic case.

**Theorem 2.** Let \( \delta \in O_S \setminus \{0\} \), and let \( F \in O_S[x,y] \) be a general (resp. monic) binary form of degree \( n \geq 3 \) with \( D(F) \in \delta O_S^* \) and with splitting field \( M \) over \( K \). Then \( F \) is \( O_S \)-equivalent (resp. strongly \( O_S \)-equivalent) to a form \( F^* \) for which

\[
h(F^*) \leq \exp\{c_{13} \cdot (PW)^m \log N_S(\delta)\}. \tag{14}
\]

In the general case, for large \( t \), Theorems 1 and 2 improve upon Theorems 2’ and 3’ of [16]. In the upper bounds occurring in these theorems of [16] there is a factor of the form \( t^t \) which is replaced in our theorems above by \( c^t \) with an appropriate constant \( c \). This improvement will be crucial for our Corollaries 1 to 4.

It should be remarked that in [16] weak \( O_S \)-equivalence and \( O_S \)-equivalence are defined with \( SL_2(O_S) \) in place of \( GL_2(O_S) \). However,
it is easy to show that in the general case each of Theorems 1, 2 and Theorems 2’, 3’ of [16] has two equivalent formulations, according as $SL_2(O_S)$ or $GL_2(O_S)$ is involved in the definition of (weak) $O_S$-equivalence. These two formulations can be easily deduced from each other with bounds of the same form on $h(F^*)$ in which only the constants $c$ are different.

Every binary form $F \in K[x, y]$ can be factored as $\lambda F_1(x, y) \ldots F_q(x, y)$, where $\lambda \in K^*$ and $F_1, \ldots, F_q$ are irreducible forms in $K[x, y]$. For $j = 1, \ldots, q$, let $M_j = K(\alpha_j)$, where $\alpha_j$ is one of the zeros of $F_j(x, 1)$, and $M_j = K$ if $F_j = y$. $(M_1, \ldots, M_q)$ is called a system of fields associated to $F$, and it is determined by $F$ up to conjugation over $K$.

In [16] the authors proved their Theorems 2’ and 3’ for binary forms $F$ associated to a fixed system of fields $(M_1, \ldots, M_q)$. Their upper bounds depend on $|D_{M_1} \ldots D_{M_q}|$ instead of $|D_M|$. However, as is shown in the proof of our Theorem 1,

$$|D_M|^{1/(m(d+1))} \leq |D_{M_1} \ldots D_{M_q}| \leq |D_M|^n$$

where $n = \deg F$.

For applications to algebraic numbers, we give a more explicit version of Theorem 1 in the special case $S = S_\infty$. In this case we write $d(F)$ for $d_{S_\infty}(F)$.

**Theorem 3.** Let $F \in K[x, y]$ be a square-free binary form of degree $n \geq 3$ with $d(F) = d$, and suppose that $F$ is associated to the system of fields $(M_1, \ldots, M_q)$. Put $D = |D_{M_1} \ldots D_{M_q}|$. Then $F$ is weakly $O_K$-equivalent to a form $F^*$ in $O_K[x, y]$ for which

$$h(F^*) \leq \exp\{(c_{15}dn)^{c_{16}dn^4}D^{2n^3}(D^{2n^3} + \log N_{K/Q}(d))\},$$

where $c_{15}$, $c_{16}$ are effectively computable absolute constants.

In terms of $D$ this is an improvement of the case $S = S_\infty$ of Theorem 2’ of [16]. In the monic case similar results were obtained in [34] with a stronger notion of equivalence; see Corollary 4 and (in the irreducible case) Corollary 6 of [34].

For fixed $D(F)$ we deduce from Theorem 2 the following.

\footnote{Added in proof. Recently we have improved the first and second exponents of $D$ to $4n$ and $2(n − 1)$, respectively.}
Corollary 1. Let $\delta \in O_S \setminus \{0\}$, and let $F \in O_S[x, y]$ be a general (resp. monic) binary form of degree $n \geq 3$ with $D(F) = \delta$ and with splitting field $M$ over $K$. Then there is a $U \in SL_2(O_S)$ (of the form \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} if $F$ is monic) such that

$$h(F_U) \leq \exp\{c_{17} \cdot c_{18}^{16} (PW)^m \log^* h(\delta)\}.$$ \hfill (15)

In the monic case, this is a considerable improvement of Theorem 3 of [34] in terms of $t$.

Let $S = O_K \cap O_S^\times$.

Corollary 2. Let $\delta \in O_K \setminus \{0\}$, and let $F \in O_K[x, y]$ be a monic binary form of degree $n \geq 3$ with $D(F) \in \delta S$ and with splitting field $M$ over $K$. Then there is an $A = \begin{pmatrix} 1 & b \\ 0 & d \end{pmatrix}$ with $b \in O_K$, $d \in S$ and an $F^* \in O_K[x, y]$ such that $F = F_A^*$ and

$$h(F^*) \leq \exp\{c_{19} \cdot c_{20}^{16} (PW)^m \log^* |N_{K/Q}(\delta)|\}.$$ \hfill (16)

For large $t$, this is a significant improvement of Corollary 4 of [34].

In [26], [29], [34] and [16] the authors derived from their above-mentioned results similar, but weaker estimates for $h(F^*)$ without fixing the splitting field $M$ or the fields $M_1, \ldots, M_q$. From our Theorems 1 to 3 and their Corollaries 1, 2 one can deduce in the same way bounds for $h(F^*)$ when neither $M$ nor $M_1, \ldots, M_q$ are fixed.

The following two corollaries are concerned with arithmetical properties of discriminants of binary forms. Suppose that $F$ is a binary form in $K[x, y]$ and that

$$d_S(F) = q_1^{k_1} \ldots q_w^{k_w} O_S,$$

where $q_1, \ldots, q_w$ are prime ideals outside $S$ and $k_1, \ldots, k_w$ are positive integers. Put

$$P_S(F) = \max_{1 \leq i \leq w} N_{K/Q}(q_i) \quad \text{and} \quad w_S(F) = w$$

with the convention that $P_S(F) = 1$ if $w = 0$. In general $N_S(d_S(F))$ cannot be estimated from above in terms of $K$, $S$ and $P_S(F)$. However, this is possible when $F$ has minimal $S$-discriminant, that is if

$$N_S(d_S(F)) \leq N_S(d_S(G))$$
for every binary form $G$ that is weakly $O_T$-equivalent to $F$ for $T = S \cup \{q_1, \ldots, q_w\}$.

Denote by $\log_i$ the $i$-th iterated logarithm. Corollary 3 is a consequence of Theorem 1. Below $c_{21}, \ldots, c_{28}$ will denote effectively computable positive numbers which depend at most on $K$, $S$, $M$ and $n$.

**Corollary 3.** Let $F \in K[x, y]$ be a binary form of degree $n \geq 3$ with splitting field $M$ over $K$ and with minimal $S$-discriminant. Then

$$P(\log P)^w \geq c_{21}(\log N)^{c_{22}}$$

and

$$P > \begin{cases} 
    c_{23}(\log N)^{c_{24}} & \text{if } w \leq \log P / \log_2 P \\
    c_{25}(\log_2 N)(\log_3 N)/(\log_4 N) & \text{otherwise,}
\end{cases}$$

provided that $\log_4 N > 1$, where $P = P_S(F)$, $w = \omega_S(F)$ and $N = N_S(d_S(F))$.

This can be compared with Corollary 4 of [16] where $C_S(F) = N_{K/Q}(q_1 \ldots q_w)$ is used in place of $P_S(F)$. We note that in terms of $P_S(F)$ the results of [16] give only

$$P_S(F) > c_{26} \log_2 N_S(d_S(F))$$

which is weaker than (17) and (18), especially when $\omega_S(F)$ is small.

Our Corollary 3 motivates the following.

**Conjecture 1.** Under the assumptions of Corollary 3,

$$P_S(F) > c_{27}(\log N_S(d_S(F)))^{c_{28}}$$

holds.

By virtue of (18) it would be enough to prove the conjecture for the case

$$\frac{\log P_S(F)}{\log_2 P_S(F)} < \omega_S(F) < c_{29} \frac{P_S(F)}{\log P_S(F)},$$

where $c_{29}$ can be given explicitly in terms of $K$.

For monic binary forms $F \in O_K[x, y]$ a stronger result can be deduced from Corollary 2. In this case the $S_\infty$-discriminant $d(F)$ is just the $O_K$-
ideal \((D(F))\). Denote by \(\omega(F)\) the number of distinct prime ideal divisors of \(D(F)\), and by \(P(F)\) the maximum norm of these prime ideals. In general \(|N_{K/Q}(D(F))|\) can not be bounded above in terms of \(K\) and \(P(F)\). We say that a square-free monic binary form \(F \in O_K[x, y]\) has \textit{minimal discriminant in monic sense} if for every binary form \(G \in O_K[x, y]\) for which \(F = GA\) with some \(A = \begin{pmatrix} 1 & b \\ 0 & d \end{pmatrix}\) with \(b \in O_K\), \(d \in O_K \setminus \{0\}\),

\[|N_{K/Q}(D(F))| \leq |N_{K/Q}(D(G))|\]

holds.

We denote by \(c_{30}, \ldots, c_{36}\) effectively computable positive numbers which depend at most on \(K\), \(M\) and \(n\).

\textbf{Corollary 4.} Let \(F \in O_K[x, y]\) be a square-free monic binary form of degree \(n \geq 3\) with splitting field \(M\) over \(K\) and with minimal discriminant in monic sense. Then

\[P(\log^* P)^w \geq c_{30}(\log N)^{c_{31}}\] (19)

and

\[P > \begin{cases} c_{32}(\log N)^{c_{33}} & \text{if } w \leq \log P/\log_2 P, \\ c_{34}(\log_2 N)(\log_3 N)/(\log_4 N) & \text{otherwise,} \end{cases}\] (20)

provided that \(\log_4 N > 1\), where \(P = P(F)\), \(w = w(F)\) and \(N = |N_{K/Q}(D(F))|\).

For irreducible monic binary forms \(F \in O_K[x, y]\) a weaker version was proved in [29] in terms of algebraic integers.

\textbf{Conjecture 2.} Under the assumptions of Corollary 4,

\[P(F) > c_{35}(\log |N_{K/Q}(D(F))|)^{c_{36}}\]

holds.

In [29], [32], [34] and [16], the earlier weaker versions of our above results were applied to algebraic numbers and algebraic integers, respectively. Restricting ourselves to irreducible binary forms and following the
corresponding arguments of [29], [32], [34] and [16], it is easy to deduce improved versions of the former effective estimates concerning algebraic numbers. We present such a consequence of Theorem 3.

Two algebraic numbers $\alpha, \alpha^*$ are called $O_K$-equivalent if there is a matrix $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(O_K)$ such that $\alpha^* = (a\alpha + b)(c\alpha + d)^{-1}$. To every algebraic number $\alpha$ of degree $n$ over $K$ we associate the binary form

$$F_\alpha(x, y) = \prod_{i=1}^{n} \left(x - \alpha^{(i)}y\right),$$

where $\alpha^{(1)} = \alpha, \alpha^{(2)}, \ldots, \alpha^{(n)}$ denote the conjugates of $\alpha$ over $K$. It is easy to check that $\alpha, \alpha^*$ are $O_K$-equivalent if and only if $F_\alpha$ and $F_{\alpha^*}$ are weakly $O_K$-equivalent. The $O_K$-discriminant of $\alpha$ is defined by $d(\alpha) = d(F_\alpha)$. Thus, $O_K$-equivalent numbers have the same $O_K$-discriminant (cf. [16], Section 3).

The next corollary follows immediately from Theorem 3 with the choice $q = 1$, using Lemma 1, (vi) of [16].

**Corollary 5.** Let $L/K$ be a finite extension of degree $n \geq 3$. Then every $\alpha$ with $L = K(\alpha)$ and $d(\alpha) = d$ is $O_K$-equivalent to an $\alpha^*$ for which

$$h(\alpha^*) \leq \exp\left\{(c_{37}dn)^{c_{38}dn^4} |D_L|^{2n^3} \left(|D_L|^{2n^3} + \log N_{K/Q}(d)\right)\right\}, \quad (21)$$

where $c_{37}, c_{38}$ are effectively computable positive absolute constants.

This is an improvement of the case $S = S_\infty$ of Corollary 6, (ii) in [16]. For algebraic integers $\alpha$, Corollary 6 in [34] gives a stronger result. It implies that there are $a \in O_K^*$ and $b \in O_K$ such that the height of $\alpha^* = a\alpha + b$ is bounded above by an expression which is of similar shape but better than that in (21). For $K = \mathbb{Q}$, an even better bound is given in Corollary 1 of [35].

Our Corollary 5 has been recently applied by Evertse [13] to distances between the conjugates of an algebraic number. We note that in [29], [32], [34] and [16] there are other consequences and applications as well of the earlier versions of our Theorems 1, 2 and 3, e.g. to the minimal non-zero values of binary forms at integral points. These results can also be improved by means of our theorems.

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*Added in proof.* Recently we have improved the first and second exponents of $|D_L|$ to $4n$ and $2(n - 1)$, respectively.
5. Proofs

Keeping the notation of the preceding section, let again \( K \) denote an algebraic number field with degree \( d \), and denote by \( M_K \) the set of places on \( K \). For every place \( v \) we choose a valuation \( |\cdot|_v \) in the usual way: if \( v \) is infinite and corresponds to \( \sigma : K \rightarrow \mathbb{C} \), then we put, for \( \alpha \in K \),
\[
|\alpha|_v = |\sigma(\alpha)|^{d_v},
\]
where \( d_v = 1 \) or 2 according as \( \sigma(K) \) is contained in \( \mathbb{R} \) or not; if \( v \) is a finite place corresponding to the prime ideal \( p \) in \( K \), then we put
\[
|\alpha|_v = N(p)^{\text{ord}_p\alpha} \quad \text{for} \quad \alpha \in K \setminus \{0\}, \quad \text{and} \quad |0|_v = 0.
\]
Here, for \( \alpha \neq 0 \), \( \text{ord}_p\alpha \) denotes the exponent to which \( p \) divides the principal fractional ideal \( (\alpha) \).

The absolute height \( h(\alpha) \) of \( \alpha \in K \) is defined by
\[
h(\alpha) = \prod_{v \in M_K} \max \left( 1, |\alpha|_v^{1/d_v} \right).
\]
It depends only on \( \alpha \), and not on the choice of the number field \( K \) containing \( \alpha \). We note that if \( \alpha \) is an algebraic number of degree \( n \) then
\[
2^{1-n}H(\alpha) \leq (h(\alpha))^n \leq \sqrt{n+1}H(\alpha),
\]
where \( H(\alpha) \) denotes the ordinary height of \( \alpha \), that is the height of the minimal polynomial of \( \alpha \) over \( \mathbb{Z} \). For this and other properties of the height \( h(\alpha) \), we refer to [19].

The first lemma plays a crucial role in our proofs.

**Lemma 1.** Let \( N > 1 \), and let \( x_1, x_2, x_3 \) be non-zero \( S \)-integers in \( K \) such that
\[
x_1 + x_2 + x_3 = 0 \tag{22}
\]
and \( N_S(x_i) \leq N \) for \( i = 1, 2, 3 \). Then
\[
\max_{i,j} h \left( \frac{x_i}{x_j} \right) \leq \exp \left\{ c_{39} c_{40}^d PW \left( \log^* N \right) \right\},
\]
where \( c_{39} \) and \( c_{40} \) are effectively computable positive constants which depend only on \( d \) and \( D_K \).

This is a significant improvement in terms of \( t \) of Lemma 6 in [27] and the Corollary in [7].

Denote by \( h_K \) and \( R_K \) the class number and regulator of \( K \), respectively.
Proof of Lemma 1. The lemma is an immediate consequence of Corollary 1 in Győry and Yu [36]. Its proof depends on recent estimates of Matveev [42] and Yu [41] concerning linear forms in logarithms of algebraic numbers. In [36], the corresponding constants $c_{39}, c_{40}$ depend on $d, h_K$ and $R_K$, but as is well-known (see e.g. Lemma 8 in [16] and the references given there),

$$\max\{h_K, R_K\} \leq c_{41} |D_K|^{1/2} (\log^* |D_K|)^{d-1}, \quad (23)$$

where $c_{41}$ is an effectively computable positive absolute constant. \hfill \Box

Proof of Theorem 1. We follow the proof of Theorem 2' of [16] with the following modifications. We replace Lemma 11 in [16] by our Lemma 1 above. Further, in our Theorem 1 only the splitting field $M$ of $F$ is fixed, while in [16] $F$ is associated to a system of fields $(M_1, \ldots, M_q)$. In [16], the corresponding bound obtained for $h(F^*)$ depends on $|D_{M_1} \cdots D_{M_q}|$. However, $D_{M_i} |D_M$ for each $i$. Hence, in view of $q \leq n$, we infer that

$$|D_{M_1} \cdots D_{M_q}| \leq |D_M|^n. \quad (24)$$

We note that conversely, by a result of Stark [52] we have

$$|D_M| \leq |D_K|^m |D_{M_1} \cdots D_{M_q}|^{md}.$$ 

Following now the arguments of [16] and using (24), the estimate (13) follows. \hfill \Box

We now turn to the proof of Theorem 2. $C_1, \ldots, C_{10}$ will denote expressions of the same form as the upper bound in Theorem 2, but with other effectively computable numbers instead of $c_{13}, c_{14}$.

Proof of Theorem 2. Consider first the general case when $F \in O_S[x, y]$ is any binary form with $D(F) \in \delta O_S^*$ and with splitting field $M$ over $K$. Then (14) can be deduced from our Theorem 1 in a similar way as Theorem 3' was derived from Theorem 2' in [16]. We have to make in the proof of Theorem 3' of [16] the following modifications only. We proceed now with a matrix $U$ which is contained in $GL_2(O_S)$, and not necessarily in $SL_2(O_S)$. In the last step of the proof we infer that $G_U = \varepsilon F$, where $\varepsilon \in O_S^*$ and $h(G) \leq C_1$. By Lemma 10 of [16] we can write $\varepsilon = \varepsilon_1 \varepsilon_2^n$
with \( \varepsilon_1, \varepsilon_2 \in O_S^* \) such that \( h(\varepsilon_1) \leq C_2 \). Putting now \( F^* = \varepsilon_1^{-1}G \) and \( A = \varepsilon_2U^{-1} \) we obtain that \( A \in GL_2(O_S) \), \( F^* = F_A \) and \( h(F^*) \leq C_3 \) which proves Theorem 2 in the general case.

Consider now the monic case. There is no direct proof in the literature for this case even with weaker bound for \( h(F^*) \). Hence we shall outline the proof of (14).

By assumption \( D(F) \in \delta O_S^* \), hence \( N_S(D(F)) = N_S(\delta) \). Using again Lemma 10 of [16], we infer that there is an \( \varepsilon \in O_S^* \) such that \( h(\varepsilon_n(\varepsilon_{n-1}) D(F)) \leq C_4 \). Putting \( G(x, y) = F(x, \varepsilon y) \), we have

\[
h(D(G)) \leq C_5.
\]

Let \( \gamma_1, \ldots, \gamma_n \) be the zeros of \( G(x, 1) \), and \( T \) the set of places on \( M \) lying above the places of \( S \). Then it follows from

\[
D(G) = \prod_{1 \leq i < j \leq n} (\gamma_i - \gamma_j)^2
\]

that

\[
N_T(\gamma_i - \gamma_j) \leq N_T(\delta)^{1/2} = N_S(\delta)^{n/2}
\]

for each distinct \( i, j \). Further,

\[
(\gamma_i - \gamma_j) + (\gamma_j - \gamma_k) + (\gamma_k - \gamma_i) = 0
\]

for any distinct \( i, j, k \). By applying now Lemma 1 it follows that

\[
h\left(\frac{\gamma_1 - \gamma_i}{\gamma_1 - \gamma_2}\right) \leq C_6, \quad h\left(\frac{\gamma_1 - \gamma_j}{\gamma_1 - \gamma_2}\right) \leq C_6
\]

for \( i \neq j \). This implies that

\[
h\left(\frac{\gamma_i - \gamma_j}{\gamma_1 - \gamma_2}\right) \leq C_7
\]

for every distinct \( i, j \), whence, in view of (26), \( h(\gamma_1 - \gamma_2) \leq C_8 \) follows. Finally, together with (27) this gives

\[
h(\gamma_i - \gamma_j) \leq C_9
\]

for each distinct \( i, j \). Write \( x_i = \gamma_i - \gamma_1 \) for \( i = 1, \ldots, n \). Following the arguments of the second part of the proof of Theorem 3 in [34] and using the fact that \( D_K \) divides \( D_M \), we deduce again that \( h(F^*) \leq C_{10} \). \( \square \)
To prove Theorem 3, we need a more explicit version of Lemma 1 in the special case $S = S_{\infty}$.

**Lemma 2.** Let $N > 1$, and let $x_1, x_2, x_3$ be non-zero integers in $K$ with $|N_{K/Q}(x_i)| \leq N$ for $i = 1, 2, 3$, which satisfy (22). Then

$$\max_{i,j} h\left(\frac{x_i}{x_j}\right) \leq \exp\left\{ (c_{42}d)^{c_{43}} |D_K|^{1/2} + \log N \right\},$$

where $c_{42}, c_{43}$ are effectively computable positive absolute constants.

**Proof.** This is an immediate consequence of the Corollary in [7], where $c_{42}, c_{43}$ are given explicitly. For the best known values of $c_{42}$ and $c_{43}$, see [36]. \qed

We shall use the following consequence of Lemma 2.

**Lemma 3.** Let $x_1, x_2, x_3$ be as in Lemma 2, and let $\varepsilon > 0$. Then

$$\max_{i,j} h\left(\frac{x_i}{x_j}\right) \leq \exp\left\{ \left(\frac{c_{44}d}{\varepsilon}\right)^{c_{45}} |D_K|^{1/2} + \log N \right\},$$

with effectively computable positive absolute constants $c_{44}, c_{45}$.

**Proof.** Lemma 3 follows from Lemma 2 and (23) in the same way as Lemma 11 was deduced in [16] from Lemma 6 of [27] and (23) above. \qed

**Proof of Theorem 3.** In the proof of Theorem 2 of [16] it is enough to replace Lemma 11 of [16] by our Lemma 3, and the assertion follows as in [16]. \qed

**Proof of Corollary 1.** $C_{11}, \ldots, C_{13}$ will denote expressions of the same form as the upper bound in (15), but with other effectively computable numbers instead of $c_{17}, c_{18}$. By assumption $D(F) = \delta$. Further, $N_{S}(\delta) \leq h(\delta)$. Thus, by Theorem 2, $G_A = F$ for some $A \in GL_2(O_S)$ and $G \in O_S[x, y]$ with $h(G) \leq C_{11}$. It follows from $D(G)(\det A)^{n(n-1)} = \delta$ that $h(\det A) \leq C_{12}$. Now Lemma 7 of [16] implies that there is a $U \in SL_2(O_S)$ such that $h(UA) \leq C_{13}$. Then, for $F_U = G_{AU}$, (15) follows.

Suppose now that $F$ is monic. Then the above $A$ is of the form

$$\begin{pmatrix} 1 & -b \\ 0 & d \end{pmatrix}$$

with some $b \in O_S$, $d \in O_S^*$. Further, the above argument gives that $F = G(x+by, dy)$, $h(G) \leq C_{11}$ and $h(d) \leq C_{12}$. Putting $U = \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}$, we infer that $h(UA) \leq C_{13}$ and we obtain again (15) for $F_U = G_{AU}$. \qed
Proof of Corollary 2. Corollary 2 follows from Corollary 1 in the same way as in [34] Corollary 4 was deduced from Theorem 3. The bound so obtained for $h(F^*)$ depends also on the regulator and class number of $M$, but the use of (23) completes the proof.

Proof of Corollary 3. $c_{46}, \ldots, c_{51}$ denote effectively computable positive numbers which depend at most on $K, S, M$ and $n$. Let $F \in K[x, y]$ and $T, P, \omega, N$ have the same meaning as in the statement of Corollary 3. Note that

$$d_T(F) = (1)_T.$$ By Theorem 1, $F$ is weakly $O_T$-equivalent to a binary form $F^* \in K[x, y]$ for which

$$h(F^*) \leq \exp \{ c_{46} P^{m_1} (\log P)^{\omega m_1} \} \leq \exp \{ c_{47} (P (\log P)^{\omega})^{c_{48}} \}.$$ But, by Lemma 3 of [16] we have $N_S(d_S(F^*)) \leq c_{50} h(F^*)$. Further, by assumption $F$ has minimal $S$-discriminant, hence $N_S(d_S(F)) \leq N_S(d_S(F^*))$ and (17) follows.

For $\omega \leq \log P/\log 2$, (18) is an immediate consequence of (17). In the remaining case we use $\omega \leq c_{51} P/\log P$ and (17) implies again the corresponding inequality in (18).

Proof of Corollary 4. Let $F, N, P$ and $\omega$ be as in Corollary 4. Denote by $P_1, \ldots, P_\omega$ the distinct prime ideal divisors of $D(F)$ in $O_K$, and let $S = S_\infty \cup \{ P_1, \ldots, P_\omega \}$, $S = O_K \cap O_S$. By Corollary 2, there are $F^* \in O_K[x, y]$ and $A = \begin{pmatrix} 1 & b \\ 0 & d \end{pmatrix}$ with $b \in O_K, d \in S$ such that $F = F^* A$ and (16) hold with the choice $\delta = 1$ and $t = \omega$. The form $F$ has, by assumption, minimal discriminant in monic sense, hence we obtain that

$$|N_{K/Q}(D(F))| \leq |N_{K/Q}(D(F^*))|. \tag{28}$$ Further, we have

$$|N_{K/Q}(D(F^*))| \leq c_{52} h(F^*)^{c_{53}} \tag{29}$$ with effectively computable $c_{52}, c_{53}$ which depend only on $n$. Now (16), (28) and (29) imply (19). The estimates in (20) easily follow from (19).
References


Polynomials and binary forms with given discriminant


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