The Gysin sequence for $S^3$-actions on manifolds

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Abstract. Given a smooth action of $S^3$ on a manifold $M$, we are interested in the relationship between the cohomologies of $M$ and $M/S^3$. If the action is free, we have indeed a principal $S^3$-bundle, and this relationship is described by the classical Gysin sequence, which also exists when the action is semi-free (i.e., fixed points are allowed) [2]. In this work, we obtain a Gysin sequence for the case of a general smooth action. An exotic term appears, and we show that it is an obstruction for the duality of the second term of the de Rham spectral sequence associated to the action.

Let us consider a smooth action $\Phi : G \times M \to M$ of a compact Lie group on a manifold $M$. The action $\Phi$ induces naturally a filtration $\{F^i\Omega^j(M) \mid i \in \mathbb{N}\}$ of the complex of de Rham differential forms $\Omega^*(M)$, defined by:

$$F^i\Omega^{i+j}(M) = \{\omega \in \Omega^j(M) \mid i_{X_0} \cdots i_{X_j}\omega = 0 \text{ for each family } \{X_0, \ldots, X_j\} \subset X_\Phi(M)\}.$$ 

Here, we have denoted by $X_\Phi(M)$ the orbit distribution of $TM$ formed by the vector fields of $M$ tangent to the orbits of $\Phi$. This filtration defines the first quadrant de Rham spectral sequence, which converges to $H^*_\Phi(M)$. The underlying motivation of this paper is the study of the Poincaré duality of the second term $E_2^{s,t}$ of this spectral sequence.

When $\Phi$ is free, we have the duality $E_2^{s,t} \cong E_2^{n-s,\ell-t}$, where $n = \dim M/G$ and $\ell = \dim G$. This property is lost when the action is no longer free.

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Inspired by the work of Goresky and MacPherson, one expects to recover the Poincaré duality by using intersection cohomology. This is the case when the group $G$ is the circle $S^1$ (see [6]). The next natural group $G$ to study is $S^3$ (of rank 1 and not abelian). It has been proved in [7] that Poincaré duality still holds when the action $\Phi$ is semi-free.

What about the other $S^3$-actions? Surprisingly, the second term of the above spectral sequence has not been computed yet in this context. The main result of this paper is in the following Gysin sequence, which computes this second term

$$
\cdots \to H^i(M) \xrightarrow[\oplus \left( H^{i-2}(M^{S^1}) \right)_{\mathbb{Z}_2} \xrightarrow{E^2_{i-3,3}} H^{i-3}(M/S^3, \Sigma/S^3) \xrightarrow{E^2_{i-2,2}}] \to \cdots
$$

with $E^{1,1}_2 = 0$, where

- $\Sigma$ is the subset of points of $M$ whose isotropy group is infinite;
- our choice of maximal torus, $S^1$, is $\{ a + bi \mid a^2 + b^2 = 1 \} \leq S^3$;
- the $\mathbb{Z}_2$-action is induced by $j \in S^3$;
- $(-)^{-\mathbb{Z}_2}$ denotes the subspace of antisymmetric elements (cf. (6)),
- $\oplus$ is induced by the natural projection $\pi : M \to M/S^3$;
- $\oplus$ is induced by the integration along the fibers of $\pi$, and
- $\otimes$ involves the multiplication by the Euler class $[e] \in H^4(M/S^3)$ (cf. [7])

(cf. Theorem 2.2 and paragraph 2.3).

Notice that the first floor $E^{1,1}_2$ always vanishes (even for any perversity!) whereas the second floor $E^{2,2}_2$ may not, as we show in Example 2.4. So, it follows that Poincaré duality does not work in the generic case, even considering intersection cohomology.

As a consequence, a new approach is needed in order to extend the Poincaré duality for general actions, and, thus, for Singular Riemannian Foliations, as is the partition induced by the orbits of a general $S^3$-action. Results in this direction are being explored.

In fact, in [5] the duality of the spectral sequence associated to a Singular Riemannian Foliation is claimed for the special case of extreme perversities (which is tantamount to working only in the regular stratum or relatively to the singular strata). As the previous counterexample shows, a new approach is needed in order
The Gysin sequence for $S^3$-actions on manifolds

to extend the duality result for general perversities if one wants to consider the usual cohomology ($\mathfrak{p} = 0$) case.

A different Gysin sequence relating the cohomology of $M$ and the $S^3$-equivariant cohomology of $M$ was constructed in [3], also in the case of a general smooth $S^3$-action.

In the sequel $M$ is a connected, second countable, Hausdorff, without boundary and smooth (of class $C^\infty$) manifold. We fix a smooth action $\Phi : S^3 \times M \to M$.

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1. Stratifications and differential forms

We describe the stratification arising from the action. We also introduce the controlled differential forms, defined by Verona, in order to compute the singular cohomology in this context.

1.1. Thom–Mather structure. There are three possibilities for the dimension of the isotropy subgroup $\mathcal{S}_x^3$ of a point $x \in M$, namely: 0, 1 and 3. So, we have the dimension-type filtration

$$F = \{ x \in M \mid \dim \mathcal{S}_x^3 = 3 \} \subset \Sigma = \{ x \in M \mid \dim \mathcal{S}_x^3 \geq 1 \} \subset M = \{ x \in M \mid \dim \mathcal{S}_x^3 \geq 0 \}.$$

In this section, we describe the geometry of the triple $(M, \Sigma, F)$. The subset $\Sigma$ is not necessarily a manifold, but the subsets $F = M^{S^3}$, $\Sigma \setminus F = \{ x \in M \mid \dim \mathcal{S}_x^3 = 1 \}$ and $M \setminus \Sigma = \{ x \in M \mid \dim \mathcal{S}_x^3 = 0 \}$ are proper invariant submanifolds of $M$.

So, we can consider $\tau_0 : T_0 \to F$ and $\tau_1 : T_1 \to \Sigma \setminus F$ two invariant tubular neighborhoods in $M$. Over each connected component, the structure group is the orthogonal group. Associated to these tubular neighborhoods we have the following maps ($k = 0, 1$):

$\sim$ The radius map $\nu_k : T_k \to [0, \infty[$, defined fiberwise by $u \mapsto ||u||$. It is an invariant smooth map.

$\sim$ The dilatation map $\partial_k : [0, \infty[ \times T_k \to T_k$, defined fiberwise by $(t, u) \mapsto t \cdot u$. It is a smooth equivariant map.

1We refer the reader to [2] for the notions related with compact Lie group actions, such as isotropy, invariant tubular neighborhoods, . . .

2In fact, these manifolds may have connected components with different dimensions.
The family of tubular neighborhoods $\mathcal{S}_M = \{T_0, T_1\}$ is a Thom–Mather system when:

$$(TM) \left\{ \begin{array}{c}
\tau_0 = \tau_0 \circ \tau_1 \\
\nu_0 = \nu_0 \circ \tau_1
\end{array} \right\} \quad \text{on} \quad T_0 \cap T_1 = \tau_1^{-1}(T_0 \cap (\Sigma \setminus F)),$$

**Lemma 1.1.** Thom–Mather systems exist.

**Proof.** We fix an invariant tubular neighborhood $\tau_0 : T_0 \to F$. It exists since $F$ is an invariant closed submanifold of $M$. Since the isotropy subgroup of any point of $F$ is the whole $S^3$, we can find an atlas $\mathcal{A} = \{ \varphi : U \times \mathbb{R}^n \to \tau_0^{-1}(U) \}$ of $\tau_0$, having $O(n)$ as structure group, and an orthogonal action $\Psi : S^3 \times \mathbb{R}^n \to \mathbb{R}^n$ such that

$$\varphi(x, \Psi(g, v)) = \Phi(g, \varphi(x, v)) \quad \forall x \in U, \forall v \in \mathbb{R}^n \quad \text{and} \quad \forall g \in S^3. \quad (1)$$

We write $\tau_0' : S_0 \to F$ the restriction of $\tau_0$, where $S_0$ is the submanifold $\nu_0^{-1}(1)$. It is a fiber bundle. The restriction $\tau_0'' : (S_0 \setminus (\Sigma \setminus F)) \to F$ is also a fiber bundle whose induced atlas is $\mathcal{A}'' = \{ \varphi : U \times S^\Sigma_{n-1} \to \tau_0''^{-1}(U) \}$, where $S^\Sigma_{n-1} = \{ w \in S^{n-1} | \dim S^\Sigma_{n-1} = 1 \}$.

The map $\mathcal{L}_0 : T_0 \setminus F \to S_0 \times [0, \infty[$, defined by $\mathcal{L}_0(x) = (\partial_0(\nu_0(x)^{-1}, x), \nu_0(x))$, is an equivariant diffeomorphism. Under $\mathcal{L}_0$:

$\Rightarrow$ the map $\tau_0$ becomes $(y, t) \mapsto \tau_0'(y)$,

$\Rightarrow$ the map $\nu_0$ becomes $(y, t) \mapsto t$, and

$\Rightarrow$ the manifold $T_0 \cap (\Sigma \setminus F)$ becomes $(S_0 \cap (\Sigma \setminus F)) \times [0, \infty[$.

Since the structure group of $\tau_0''$ is a compact Lie group, condition (1) allows us to construct an invariant Riemannian metric $\mu_0$ on $S_0$ such that the fibers of $\tau_0'$ are totally geodesic submanifolds and $(T(S_0 \cap (\Sigma \setminus F)))^{+} \subset \ker(\tau_0')$. Then, if we consider the associated tubular neighborhood $\tau_1' : T_1' \to S_0 \cap (\Sigma \setminus F)$ we have $\tau_0' \circ \tau_1' = \tau_0'$.

We can construct now an invariant Riemannian metric $\mu$ on $M \setminus F$ such that under $\mathcal{L}_0$:

$\Rightarrow$ the metric $\mu$ becomes $\mu_0 + dr^2$ on $S_0 \times [0, \infty[$.

We consider the associated tubular neighborhood $\tau_1 : T_1 \to \Sigma \setminus F$. Verification of the property (TM) must be done on $T_0 \cap T_1$, where using $\mathcal{L}_0$, we get:

$\Rightarrow$ $T_0 \cap T_1$ becomes $T_1' \times [0, \infty[$.

$\Rightarrow$ $\tau_1$ becomes $(y, t) \mapsto (\tau_1'(y), t)$.

A straightforward calculation gives (TM) and ends the proof. \[\square\]

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3For each connected component of $F$. 
We fix a such system $\Sigma_M$. For each $k \in \{0, 1\}$, we shall write $D_k \subset M$ the open subset $\nu_k^{-1}([0, 1])$ and call it the soul of the tubular neighborhood $\tau_k$. We shall write $\Delta_0 = D_0 \cap \Sigma$.

1.2. Verona’s differential forms. As it is shown in [8], the singular cohomology of $M$ can be computed by using differential forms on $M \setminus \Sigma$. This is the tool we use in this work. The complex of controlled forms (or Verona’s forms) of $M$ is defined by

$$\Omega^*_V(M) = \left\{ \omega \in \Omega^*(M \setminus \Sigma) \mid \exists \omega_1 \in \Omega^*(\Sigma \setminus F) \text{ and } \omega_0 \in \Omega^*(F) \right\}$$

with

$$\begin{cases} (a) \quad \tau_0^* \omega_1 = \omega \text{ on } D_1 \setminus \Sigma \\ (b) \quad \tau_0^* \omega_0 = \omega \text{ on } D_0 \setminus \Sigma \\ (c) \quad \tau_0^* \omega_0 = \omega_1 \text{ on } \Delta_0 \setminus F \end{cases}$$

Following [8] we know that the cohomology of the complex $\Omega^*_V(M)$ is the singular cohomology $H^*(M)$.

We also use in this work the complex $\Omega^*_V(\Sigma) = \{\gamma \in \Omega^*(\Sigma \setminus F) \mid \exists \gamma_0 \in \Omega^*(F) \text{ with } \tau_0^* \gamma_0 = \gamma \text{ on } \Delta_0 \setminus F\}$ and the relative complexes $\Omega^*_V(M, \Sigma) = \{\omega \in \Omega^*_V(M) \mid \omega_1 \equiv 0\}$ and $\Omega^*_V(\Sigma, F) = \{\gamma \in \Omega^*_V(\Sigma) \mid \gamma_0 \equiv 0\}$.

Since $M$ is a manifold, controlled forms are in fact differential forms on $M$.

**Lemma 1.2.** Any controlled form of $M$ is the restriction of a differential form of $M$.

**Proof.** First, we construct a section $\sigma$ of the restriction $\rho : \Omega^*_V(M) \rightarrow \Omega^*_V(\Sigma)$ defined by $\rho(\omega) = \omega_1$. Let us consider a smooth function $f : [0, \infty[ \rightarrow [0, 1]$ verifying $f \equiv 0$ on $[3, \infty]$ and $f \equiv 1$ on $[0, 2]$. Notice that the compositions $f \circ \nu_k : M \rightarrow [0, 1]$ and $f \circ \nu_1 : M \setminus F \rightarrow [0, 1]$ are smooth invariant maps. So, for each $\gamma \in \Omega^*_V(\Sigma)$ we have

$$\sigma(\gamma) = (f \circ \nu_0) \cdot \tau_0^* \gamma_0 + (1 - (f \circ \nu_0)) \cdot (f \circ \nu_1) \tau_1^* \gamma \in \Omega^*(M). \quad (2)$$

This differential form is a controlled form since

(a) Since $(f \circ \nu_1) \equiv 1$ on $D_1$, $(f \circ \nu_0) \equiv 0$ on $M \setminus T_0$ and $(TM)$ then we have

$$\sigma(\gamma) = (f \circ \nu_0) \cdot \tau_1^* \tau_0^* \gamma_0 + (1 - (f \circ \nu_0)) \cdot \tau_1^* \gamma = \tau_1^* ((f \circ \nu_0) \cdot \tau_0^* \gamma_0 + (1 - (f \circ \nu_0))) \cdot \gamma$$

on $D_1 \setminus \Sigma$. This gives $(\sigma(\gamma))_1 = (f \circ \nu_0) \cdot \tau_0^* \gamma_0 + (1 - (f \circ \nu_0)) \cdot \gamma$. Since $\tau_0^* \gamma_0 = \gamma$ on $D_0 \setminus F$ then $(\sigma(\gamma))_1 = (f \circ \nu_0) \cdot \gamma + (1 - (f \circ \nu_0)) \cdot \gamma = \gamma$. 

The Gysin sequence for $S^1$-actions on manifolds 279
(b) Since \((f \circ \gamma_0) \equiv 1 \text{ on } D_0\) then we have \(\sigma(\gamma) = \tau_0^* \gamma_0\) on \(D_0 \setminus \Sigma\). This gives \(\sigma(\gamma)(0) = \gamma_0\).

c) We have \((\sigma(\gamma))_1 = \gamma = \tau_0^* \gamma_0 = \tau_0^* (\sigma(\gamma))_0\) on \(\Delta_0 \setminus F\).

This map \(\sigma\) is a section of \(\rho\) since \(\rho(\sigma(\gamma)) = (\sigma(\gamma))_1 = \gamma\).

In particular, \(\rho(\omega - \sigma(\rho(\omega))) = 0\) for each \(\omega \in \Omega^*_V(M)\). As \(\sigma(\rho(\omega)) \in \Omega^*(M)\) (cf. (2)) and coincides with \(\omega\) in the open set \((D_0 \cup D_1) \setminus \Sigma\) we conclude that \(\omega\) can be extended to \(M\). \(\Box\)

1.3. Invariant forms. We fix \(\{u_1, u_2, u_3\}\) a basis of the Lie algebra of \(\mathbb{S}^3\) with \([u_1, u_2] = u_3, [u_2, u_3] = u_1\) and \([u_3, u_1] = u_2\). We denote by \(X_i \in \mathfrak{X}_M(M)\) the fundamental vector field associated to \(u_i, i = 1, 2, 3\).

A controlled form \(\omega\) of \(M\) is an invariant form when \(L_{X_i}\omega = 0\) for each \(i = 1, 2, 3\). The complex of invariant forms is denoted by \(\Omega^*_V(M)\). The inclusion \(\Omega^*_V(M) \hookrightarrow \Omega^*_V(M)\) induces an isomorphism in cohomology. This is a standard argument based on the fact that \(\mathbb{S}^3\) is a connected compact Lie group (cf. [4, Theorem I, Ch. IV, vol. II]). So,

\[
H^*(\Omega^*_V(M)) = H^*(\Omega^*_V(M)) = H^*(M). 
\]

1.4. Basic forms. A controlled form \(\omega\) of \(M\) is a basic form when \(i_{X}\omega = i_{X}d\omega = 0\) for each \(X \in \mathfrak{X}_M(M)\). The complex of the basic forms is denoted by \(\Omega^*_V(M/\mathbb{S}^3)\). In a similar fashion we define \(\Omega^*_V(\Sigma/\mathbb{S}^3)\). In this work, we shall use the following relative versions of these complexes: \(\Omega^*_V(M/\mathbb{S}^3, \Sigma/\mathbb{S}^3) = \Omega^*_V(M/\mathbb{S}^3) \cap \Omega^*_V(M, \Sigma)\), as well as \(\Omega^*_V(\Sigma/\mathbb{S}^3, F) = \Omega^*_V(\Sigma/\mathbb{S}^3) \cap \Omega^*_V(\Sigma, F)\).

**Lemma 1.3.**

\[
H^*(\Omega^*_V(M/\mathbb{S}^3)) = H^*(M/\mathbb{S}^3) \quad \text{and} \quad H^*(\Omega^*_V(M/\mathbb{S}^3, \Sigma/\mathbb{S}^3)) = H^*(M/\mathbb{S}^3, \Sigma/\mathbb{S}^3).
\]

**Proof.** The orbit space \(M/\mathbb{S}^3\) is a stratified pseudomanifold. The family of tubular neighborhoods \(\mathcal{T}_{M/\mathbb{S}^3} = \{\pi(T_0), \pi(T_1)\}\) is a Thom–Mather system. Here, \(\pi : M \to M/\mathbb{S}^3\) denotes the canonical projection. Using this projection, we identify the complex of controlled forms of \(M/\mathbb{S}^3\) with \(\Omega^*_V(M/\mathbb{S}^3)\), and the same holds for \(\Sigma\).

Since \(H^*(\Omega^*_V(X)) = H^*(X)\) for any stratified pseudomanifold \(X\), then \(H^*(\Omega^*_V(M/\mathbb{S}^3)) = H^*(M/\mathbb{S}^3)\) and \(H^*(\Omega^*_V(\Sigma/\mathbb{S}^3)) = H^*(\Sigma/\mathbb{S}^3)\) (cf. [8]). In fact, the orbit spaces \(M/\mathbb{S}^3\) and \(\Sigma/\mathbb{S}^3\) are triangulable [9], and by [10], both of them possess good coverings. Moreover, any open covering of \(M/\mathbb{S}^3\) (resp. \(\Sigma/\mathbb{S}^3\)) possesses a subordinated partition of unity made up of controlled functions. So, we
The Gysin sequence for $S^3$-actions on manifolds 281

can proceed as in [1] and construct a commutative diagram
\[
\begin{array}{ccc}
\cdots & \longrightarrow & H^0(\Omega_V(M/S^3, \Sigma/S^3)) \\
\downarrow & \vert & \downarrow \\
\cdots & \longrightarrow & H^0(\Omega_V(M/S^3)) \longrightarrow H^0(\Omega_V(S^3/S^3)) \longrightarrow H^{i+1}(\Omega_V(M/S^3, \Sigma/S^3)) \longrightarrow \cdots \\
\end{array}
\]

where the vertical arrows are isomorphisms and the horizontal rows are the long exact sequences associated to the pair $(M/S^3, \Sigma/S^3)$. This gives
\[H^*(\Omega_V(M/S^3, \Sigma/S^3)) = H^*(M/S^3, \Sigma/S^3)^4.\]

\[\square\]

2. Gysin sequence

We construct the long exact sequence associated to the action $\Phi : S^3 \times M \to M$ relating the cohomology of $M$ and $M/S^3$. First of all, we shall use strongly that $\Phi$ is almost free\(^5\) in $M \setminus \Sigma$ to get a better description of the controlled forms of $M$.

2.1. Decomposition of a differential form. We endow $M \setminus \Sigma$ with an $S^3$-invariant Riemannian metric $\mu_0$, which exists because $S^3$ is compact. We also fix a bi-invariant Riemannian metric $\nu$ on the Lie group $S^3$. Consider now the $\mu_0$-orthogonal $S^3$-invariant decomposition $T(M \setminus \Sigma) = D \oplus \xi$, where $D$ is the distribution generated by $\Phi$. Since the action $\Phi$ is almost free on $M \setminus \Sigma$, for each point $x \in M \setminus \Sigma$, the family $\{X_1(x), X_2(x), X_3(x)\}$ is a basis of $D_x$. We define the $S^3$-Riemannian metric $\mu$ on $M \setminus \Sigma$ by putting
\[
\mu(w_1, w_2) = \begin{cases} 
\mu_0(w_1, w_2) & \text{if } w_1, w_2 \in \xi_x \\
0 & \text{if } w_1 \in \xi_x, w_2 \in D_x \\
\delta_{i,j} & \text{if } w_1 = X_i(x), w_2 = X_j(x) 
\end{cases}
\]

We denote by $\chi_i = i_{X_i, \mu} \in \Omega^1(M \setminus \Sigma)$ the characteristic form associated to $u_i$, $i = 1, 2, 3$. Since $\chi_j(X_i) = \mu(X_i, X_j) = \delta_{ij}$, each differential form $\omega \in \Omega^*(M \setminus \Sigma)$ possesses a unique writing,
\[\omega = e \omega + \sum_{p=1}^{3} \chi_p \wedge e \omega + \sum_{1 \leq p < q \leq 3} \chi_p \wedge \chi_q \wedge e \omega + \chi_1 \wedge \chi_2 \wedge \chi_3 \wedge e \omega,\]

where the coefficients $e \omega$ are horizontal forms, that is, they verify $i_X(e \omega) = 0$ for each $X \in \mathfrak{X}_\Phi(M)$. This is the canonical decomposition of $\omega$. For example,

\[\text{Notice that this is not the five lemma.}\]
\[\text{All the isotropy subgroups are finite groups.}\]
\[ d\beta = \alpha(d\beta) + \chi_1 \wedge L_{X_1}\beta + \chi_2 \wedge L_{X_2}\beta + \chi_3 \wedge L_{X_3}\beta, \] for any horizontal form \( \beta \) (notice that this formula is no longer true if \( \beta \) is not horizontal). Since \( L_{X_i}\chi_j = \chi_{[i,j]} \), with \( 1 \leq i, j \leq 3 \), then

\[
L_{X_1}\chi_1 = L_{X_2}\chi_2 = L_{X_3}\chi_3 = 0, \quad L_{X_1}\chi_2 = -L_{X_2}\chi_1 = \chi_3 \\
L_{X_1}\chi_3 = -L_{X_3}\chi_1 = -\chi_2, \quad L_{X_2}\chi_3 = -L_{X_3}\chi_2 = \chi_1, \tag{4}
\]

and we have the canonical decompositions

\[
\begin{aligned}
d\chi_1 &= e_1 - \chi_2 \wedge \chi_3 \\
d\chi_2 &= e_2 + \chi_1 \wedge \chi_3 \\
d\chi_3 &= e_3 - \chi_1 \wedge \chi_2.
\end{aligned}
\tag{5}
\]

Here, the forms \( e_i \) are basic for \( i = 1, 2, 3 \). Notice that \( e_1 - \chi_2 \wedge \chi_3 \) is the Euler form of the action of the maximal torus with fundamental vector \( X_1 \), and that \( e_1^2 + e_2^2 + e_3^2 \) is the Euler form of the action \( \Phi \) (see section (2.3)).

Consider \( U \subset M \setminus \Sigma \) an equivariant open subset. If \( \omega \in \Omega^\ast (M \setminus \Sigma, U) \) then the coefficients of its canonical decomposition are horizontal forms of \( \Omega^\ast (M \setminus \Sigma, U) \).

The following Lemma is the key for the construction of the Gysin sequence. Given an action of \( Z_2 \) on a vector space \( E \) generated by the morphism \( h : E \to E \), we shall write

\[ E^{-Z_2} = \{ e \in E \mid h(e) = -e \}, \tag{6} \]

the subspace of antisymmetric elements. Notice that \( j \in S^3 \) acts naturally on \( M^{S^3} \).

**Lemma 2.1.**

\[
H^\ast \left( \frac{\Omega_V(M)}{\Omega_V(M/\Sigma^3)} \right) = H^{\ast-3} (M/\Sigma^3, \Sigma/\Sigma^3) \oplus \left( H^{\ast-2} (M^{S^3}) \right)^{-Z_2}
\]

**Proof.** We consider the integration operator:

\[
f : \frac{\Omega_V(M)}{\Omega_V(M/\Sigma^3)} \to \Omega_V^{\ast-3} (M/\Sigma^3, \Sigma/\Sigma^3),
\]

given by:

\[
f (\langle \omega \rangle) = (-1)^{\deg \omega} i_{X_3} i_{X_2} i_{X_1} \omega.
\]

It is a well defined differential operator since

- the tubular neighborhoods of the Thom–Mather’s structure \( \Sigma \) are invariant,
- the operator \( i_{X_3} i_{X_2} i_{X_1} \) vanishes on \( \Sigma \), and
The associated connecting homomorphism 

\[ \text{To get (a), it suffices to prove the following facts:} \]

- \( i_X i_Y i_Z \omega = i_X d i_Y i_Z \omega = 0 \) for each \( X \in \mathfrak{X}(M) \).

Every form \( \gamma \in \Omega^*_V(M/S^3, \Sigma/S^3) \) vanishes in a neighborhood of \( \Sigma \). So, the product \( \chi_1 \wedge \chi_2 \wedge \chi_3 \wedge \gamma \) belongs to \( \Omega^*_V(M) \) (cf. (4)). Since \( i_X i_Y i_Z (\chi_1 \wedge \chi_2 \wedge \chi_3 \wedge \gamma) = \gamma \) then we have the short exact sequence

\[
\begin{array}{ccccccccc}
0 & \longrightarrow & \text{Ker}^* f & \longrightarrow & \Omega^*_V(M) / \Omega^*_V(M/S^3) & \longrightarrow & \Omega^{*-3}(M/S^3, \Sigma/S^3) & \longrightarrow & 0
\end{array}
\]

(7)

By Lemma 1.3, it suffices to prove the following:

(a) \( H^* (\text{Ker}^* f) = \left( H^{*-2}(M^3) \right)^{-\mathbb{Z}_2} \).

(b) The associated connecting homomorphism \( \delta \) vanishes.

For the sake of simplicity we put \( A^* (M) = \text{Ker}^* f \). In fact we have \( A^* (M) = \{ \omega \in \Omega^*_V(M) | i_X i_Y i_Z \omega = 0 \} \). Analogously, we define \( A^* (M, \Sigma) \), \( A^* (\Sigma) \) and \( A^* (\Sigma, F) \). To get (a), it suffices to prove the following facts:

(a1) \( H^* (A^* (M)) = H^* (A^* (\Sigma)) \).

(a2) \( H^* (A (\Sigma)) = H^* (A (\Sigma, F)) \).

(a3) \( H^* (A (\Sigma, F)) = \left( H^{*-2}(M^3) \right)^{-\mathbb{Z}_2} \).

Consider the inclusion \( L : A^* (M, \Sigma) \longrightarrow A^* (M) \) and the restriction \( R : A^* (M) \rightarrow A^* (\Sigma) \), which are differential morphisms. This gives the short sequence

\[
\begin{array}{ccccccccc}
0 & \longrightarrow & A^* (M, \Sigma) & \xrightarrow{L} & A^* (M) & \xrightarrow{R} & A^* (\Sigma) & \longrightarrow & 0
\end{array}
\]

Notice that \( R \circ L = 0 \). This short sequence is exact since:

- The operator \( R \) is an onto map. Consider \( \gamma \in \Omega^*_V(\Sigma) \). We know that \( \sigma(\gamma) \in \Omega^*_V(M) \) (cf. Lemma 1.2). The result comes from:

\[
\sim \sigma(\gamma) \in \Omega^*_V(M). \quad \text{Since} \; \tau_0, \tau_1 \; \text{are equivariant and} \; f^* \nu_0, f^* \nu_1 \; \text{are invariant.}
\]

\[
\sim i_X i_Y i_Z \sigma(\gamma) = 0. \quad \text{Since} \; \tau_0, \tau_1 \; \text{are equivariant and} \; \text{rank} \{ X_1(x), X_2(x), X_3(X) \} \leq 2 \; \text{for any} \; x \in \Sigma.
\]

\[
\sim R (\langle \sigma(\gamma) \rangle) = \langle \langle \sigma(\gamma) \rangle \rangle = \langle \gamma \rangle.
\]

\(^6\) \( L_{A^B} = i_B L_A + i_{\{A,B\}} \). \( \forall A, B \in \mathfrak{X}(M) \).
• Ker $R \subset \text{Im} \, L$. Consider $\omega \in \Omega^*_\Sigma(M)$ with $i_{X_j}i_{X_j}i_{X_j} \omega = 0$ and $i_{X_j} \omega_j = 0$ for $j \in \{1, 2, 3\}$. Since $\tau_0$ and $\tau_1$ are equivariant and $X_j = 0$ on $F$ then $i_{X_j} \sigma(\omega_j) = 0$ for $j \in \{1, 2, 3\}$. This gives $\langle \sigma(\omega_j) \rangle = 0$. Finally, we have $\langle \omega \rangle = \langle \omega - \sigma(\omega_j) \rangle = L(\langle \omega - \sigma(\omega_j) \rangle)$ since $\omega - \sigma(\omega_j) = \omega_1 - (\sigma(\omega_j)) = \omega_1 - \omega_1 = 0$.

Now, we will get (a1) by proving that $\mathcal{H}^*(\mathcal{A}(M, \Sigma)) = 0$. By definition of Verona’s forms we have $\mathcal{A}^*(M, \Sigma) = \mathcal{A}^*(M, D)$ excision $\mathcal{A}^*(M \setminus \Sigma, D \setminus \Sigma)$, where $D = D_0 \cup D_1$. A straightforward calculation gives:

\[
\mathcal{H}^*(\mathcal{A}(M \setminus \Sigma, D \setminus \Sigma)) = \Omega^*(M \setminus \Sigma, D \setminus \Sigma) \setminus \{i_{X_j}i_{X_j}i_{X_j} \omega = 0 \text{ and } i_{X_j} d\omega = 0 \text{ for } j \in \{1, 2, 3\}\}
\]

Let $\omega$ be a differential form of $\Omega^*(M \setminus \Sigma, D \setminus \Sigma)$ verifying $i_{X_j}i_{X_j}i_{X_j} \omega = 0$ and $i_{X_j} d\omega = 0$ for $j \in \{1, 2, 3\}$. Then

\[
\omega = d \left( \chi_1 \wedge i_{X_j}i_{X_j} \omega - \chi_2 \wedge i_{X_j}i_{X_j} \omega + \chi_3 \wedge i_{X_j}i_{X_j} \omega \right)
\]

\[
+ -e_1 \wedge i_{X_j}i_{X_j} \omega + e_2 \wedge i_{X_j}i_{X_j} \omega - e_3 \wedge i_{X_j}i_{X_j} \omega + \omega
\]

(cf. (5)) with $\beta \in \Omega^{-1}(M \setminus \Sigma, D)$, verifying $i_{X_j}i_{X_j}i_{X_j} \beta = 0$, and $\alpha \in \Omega^*(M \setminus \Sigma, D)$. This implies $\mathcal{H}^*(\mathcal{A}(M \setminus \Sigma, D \setminus \Sigma)) = 0$ and then $\mathcal{H}^*(\mathcal{A}(M, \Sigma)) = 0$.

(a2)

Consider the inclusion $L : \mathcal{A}^*(\Sigma, F) \hookrightarrow \mathcal{A}^*(\Sigma)$ which is a differential morphism. It suffices to prove that $L$ is an onto map.

Let us consider a smooth function $f : [0, \infty) \to [0, 1]$ verifying $f \equiv 0$ on $[3, \infty]$ and $f \equiv 1$ on $[0, 2]$. Notice that the composition $f \circ \nu_0 : M \to [0, 1]$ is a smooth invariant map. So, for each $\gamma \in \Omega^*(F)$ we have $\sigma(\gamma) = (f \circ \nu_0) \tau_0 \gamma \in \Omega^*(M)$. This differential form verifies

$\leadsto \sigma(\gamma) \in \Omega^*_\Sigma(M)$. Since $(f \circ \nu_0) \equiv 1$ on $\Delta_0$ then $\sigma(\gamma) = \tau_0 \gamma$ on $\Delta_0 \setminus F$. This gives $(\sigma_0(\gamma))_0 = \gamma$.

$\leadsto \sigma(\gamma) \in \Omega^*_\Sigma(M)$. Since $\tau_0$ is an equivariant map and $f \circ \nu_0$ is an invariant map.

$\leadsto i_{X_j} \sigma(\gamma) = 0$ for $j \in \{1, 2, 3\}$ since $\tau_0$ is an equivariant map and $X_j = 0$ on $F$.
Then \( \langle \sigma(\gamma) \rangle = 0 \) on \( A^*(\Sigma) \).

Let \( \langle \omega \rangle \) be a class of \( A^*(\Sigma) \). We can write: \( \langle \omega \rangle = \langle \omega - \sigma(\langle \omega \rangle) \rangle = L(\langle \omega - \sigma(\langle \omega \rangle) \rangle) \) since \( \langle \omega - \sigma(\langle \omega \rangle) \rangle_0 = \omega_0 - (\sigma(\langle \omega \rangle))_0 = \omega_0 - \omega_0 = 0 \). This proves that \( L \) is an onto map.

\[ (a3) \]

By definition of Verona’s differential forms we have

\[ A^*(\Sigma, F) = A^*(\Sigma, \Delta_0) \xrightarrow{\text{exclusion}} A^*(\Sigma \setminus F, \Delta_0 \setminus F) = \frac{\Omega^*(\Sigma \setminus F, \Delta_0 \setminus F)}{\Omega^*((\Sigma \setminus F) / S^3, (\Delta_0 \setminus F) / S^3)} . \]

The isotropy subgroup of a point of \( \Sigma \setminus F \) is conjugated to \( S^1 \) or \( N(S^1) \) (cf. [2, Theorem 8.5, pag. 153]). We consider the manifold \( \Gamma = (\Sigma \setminus F)^{S^1} \). A straightforward calculation gives that \( \Sigma \setminus F \) is \( G \)-equivariant diffeomorphic to

\[ S^3 \times_{N(S^1)} \Gamma = (S^3 / S^1) \times_{N(S^1) / S^1} \Gamma = S^2 \times_{Z_2} \Gamma. \]

Notice that \( \Gamma / Z_2 = (\Sigma \setminus F) / S^3 \). Let \( \Gamma_0 \) be the open subset \( \Gamma \cap \Delta_0 \) of \( \Gamma \). Analogously we have \( \Delta_0 \setminus F = S^3 \times_{Z_2} \Gamma_0 \) and \( \Gamma_0 / Z_2 = (\Delta_0 \setminus F) / S^3 \).

The \( Z_2 \)-action on \( S^2 \) is generated by \( (x_0, x_1, x_2) \mapsto (x_0, -x_1, -x_2)^7 \). Then, the \( Z_2 \)-action on \( H^0(S^2) \) (resp. \( H^2(S^2) \)) is the identity \( \text{Id} \) (resp. \( -\text{Id} \)). The \( Z_2 \)-action on \( \Gamma \) is induced by \( \Phi(j, -) \). The Künneth formula gives

\[ H^*(\Omega^*(\Sigma \setminus F, \Delta_0 \setminus F)) = H^*(\Omega^*(S^2 \times Z_2 \Gamma, S^2 \times Z_2 \Gamma_0)) = H^*(\Omega^*(S^2 \times \Gamma, S^2 \times \Gamma_0))^{Z_2} = H^*(\Omega^*(S^2 \times \Gamma, S^2 \times \Gamma_0))^{Z_2} = (H^*(S^2) \otimes H^*(\Gamma, \Gamma_0))^{Z_2} = (H^0(S^2) \otimes H^*(\Gamma, \Gamma_0))^{Z_2} \oplus (H^2(S^2) \otimes H^*(\Gamma, \Gamma_0))^{Z_2} = (H^*(\Gamma, \Gamma_0))^{Z_2} \oplus (H^*(\Gamma, \Gamma_0))^{Z_2} = H^*(\Gamma / Z_2, \Gamma_0 / Z_2) \oplus (H^*(\Gamma, \Gamma_0))^{Z_2} = H^*((\Sigma \setminus F) / S^3, (\Delta_0 \setminus F) / S^3) \oplus (H^*(\Gamma, \Gamma_0))^{Z_2} , \]

and then

\[ H^*(A(\Sigma \setminus F, \Delta_0 \setminus F)) = H^*(\frac{\Omega^*(\Sigma \setminus F, \Delta_0 \setminus F)}{\Omega^*((\Sigma \setminus F) / S^3, (\Delta_0 \setminus F) / S^3)}) = (H^*(\Gamma, \Gamma_0))^{Z_2} = (H^e((\Sigma \setminus F)^{S^1}, (\Delta_0 \setminus F)^{S^1}))^{Z_2}. \]

\[ \text{retraction} \]

\[ (H^e((\Sigma \setminus F)^{S^1}, (\Delta_0 \setminus F)^{S^1}))^{Z_2} \rightarrow (H^e(\Sigma^{S^1}, F^{S^1}))^{Z_2} . \]

\[ (a3) \]

This map is induced by \( j : S^3 \to S^3 \) defined by \( j(u) = u \cdot j \) (see [1, Example 17.23]).
Consider the long exact sequence associated to the $\mathbb{Z}_2$-invariant pair $(\Sigma^{S_1}, F^{S_1})$:

$$\cdots \to (H^{i-1}(F^{S_1}))^{\mathbb{Z}_2} \to (H^i(\Sigma^{S_1}, F^{S_1}))^{\mathbb{Z}_2} \to (H^i(\Sigma^{S_1}))^{\mathbb{Z}_2} \to (H^i(F^{S_1}))^{\mathbb{Z}_2} \to \cdots.$$ 

Since the action of $\mathbb{Z}_2$ on $F^{S_1} = F$ is trivial, then $(H^i(F^{S_1}))^{\mathbb{Z}_2} = 0$. On the other hand, we have $\Sigma^{S_1} = M^{S_1}$. This gives $(H^i(\Sigma^{S_1}, F^{S_1}))^{\mathbb{Z}_2} = (H^i(S^{S_1}))^{\mathbb{Z}_2}$. 

(b) Notice that the connecting morphism $\delta$ is defined by $\delta(\zeta) = \pm [\langle d(\chi_1 \wedge \chi_2 \wedge \chi_3) \wedge \zeta \rangle]$. We have $\delta \equiv 0$ since $\zeta_1 = 0$ (cf. (a1)). □

**Theorem 2.2.** Given any smooth action $\Phi : S^3 \times M \to M$ we have the Gysin sequence

$$\cdots \to H^i(M) \to H^{i-3}(M/S^3, \Sigma/S^3) \oplus (H^{i-2}(M^{S_1}))^{\mathbb{Z}_2} \to H^{i+1}(M/S^3) \to H^{i+1}(M) \to \cdots$$

where $\Sigma$ is the subset of points of $M$ whose isotropy group is infinite, the $\mathbb{Z}_2$-action is induced by $j \in S^3$ and $(-)^{\mathbb{Z}_2}$ denotes the subspace of antisymmetric elements.

**Proof.** Consider the short exact sequence

$$0 \to \Omega^*_V(M/S^3) \to \Omega^*_V(M) \to \Omega^*_V(M) \to 0,$$

take its associated long exact sequence and then, apply Lemma 1.3, (3) and Lemma 2.1. □

**2.2. Example.** Consider the connected sum $M = \mathbb{C}P^2 \# \mathbb{C}P^2 \cong (S^3 \times [0, 1]) / \sim$, with

$$((z_1, z_2), i) \sim ((z \cdot z_1, z \cdot z_2), i), \quad i = 0, 1,$$

for all $z \in S^1$ and $(z_1, z_2) \in S^3$ in complex coordinates. The product of $S^3$ induces on $M$ the action:

$$g \cdot [h, t] = [g \cdot h, t], \quad \forall g, h \in S^3, \forall t \in [0, 1].$$

For this action, we have:

$$\Sigma = (S^3 \times [0, 1]) / \sim \cong S^2 \times [0, 1], \quad F = \emptyset,$$

$$M/S^3 \cong [0, 1], \quad \Sigma/S^3 \cong [0, 1], \quad M^{S^1} \cong \{N, S\} \times [0, 1],$$
where $N$ and $S$ stand for the North and South poles of $S^2$. The $\mathbb{Z}_2$-action on $M^{S^1}$ is determined by $j \in S^3$, which induces the antipodal map on $S^2$, and so, interchanges its poles. Thus, the exotic term that appears in the central part of the Gysin Sequence is not trivial:

$$H^2(M) \xrightarrow{\cong} (H^0(M^{S^1}))^{-\mathbb{Z}_2} = (H^0([N,S] \times \{0,1\}))^{-\mathbb{Z}_2} \cong \mathbb{R} \oplus \mathbb{R}.$$ 

2.3. Morphisms. We describe the morphisms of the Gysin sequence.

$$\circledast : H^*(M/S^3) \rightarrow H^*(M)$$

It is the pull-back $\pi^*$ of the canonical projection $\pi : M \rightarrow M/S^3$ (cf. Lemma 1.3).

$$\circledcirc : H^*(M) \rightarrow H^{*-3}(M/S^3, \Sigma/S^3) \oplus (H^{*-2}(M^{S^1}))^{-\mathbb{Z}_2}$$

We have already seen that the first component of this morphism is induced by $f_{S^3}[\omega] = [ix_1^i x_2^i x_3^i]$. For the second component we keep track of the isomorphisms given by Lemma 2.1 and we get that it is defined by: $[\omega] \mapsto$ class $(f_{S^3}(\omega_1 - \sigma^{*}(\omega_1)))$.

$$\circledast : H^{*-3}(M/S^3, \Sigma/S^3) \oplus (H^{*-2}(M^{S^1}))^{-\mathbb{Z}_2} \rightarrow H^{*-1}(M/S^3)$$

A straightforward calculation using sequences (7) and (8) gives that the connecting morphism $\circledast$ of the Gysin sequence sends:

- $[\zeta] \in H^{*-3}(M/S^3, \Sigma/S^3)$ to $[(c_1^2 + c_2^2 + c_3^2) \wedge \zeta]$, and
- $[\xi] \in (H^{*-2}(M^{S^1}))^{-\mathbb{Z}_2} = (H^{*-2}(\Sigma^1, F^1))^{-\mathbb{Z}_2}$ to $[d\sigma \wedge \epsilon \wedge \tau^1 \xi]$ where $\epsilon$ is an Euler form of the restriction $\Phi_1 : S^1 \times (\tau^{-1}(\Sigma^1)) \rightarrow (\tau^{-1}(\Sigma^1))$ of $\Phi$.

Since $c_1^2 + c_2^2 + c_3^2$ is not a Veronese form, then it does not define a class of $H^4(M/S^3)$. Nevertheless, it does generate a class in the intersection cohomology group $H_2^1(M/S^3)$ (as in the semi-free case of [7]).

2.4. Remarks. (a) We have $(H^*(M^{S^1}))^{-\mathbb{Z}_2} = H^*(M^{S^1})/H^*(M^{S^1}/\mathbb{Z}_2)$. Let us see that. The correspondence $\omega \mapsto \left(\frac{\omega + \omega}{2}, \frac{\omega - \omega}{2}\right)$ establishes the isomorphism $\Omega^*(M^{S^1}) = (\Omega^*(M^{S^1}))^{\mathbb{Z}_2} \oplus (\Omega^*(M^{S^1}))^{-\mathbb{Z}_2} = \Omega^*(M^{S^1}/\mathbb{Z}_2) \oplus (\Omega^*(M^{S^1}))^{-\mathbb{Z}_2}$ and hence, $H^*(M^{S^1}) = H^*(M^{S^1}/\mathbb{Z}_2) \oplus (H^*(M^{S^1}))^{-\mathbb{Z}_2}$. This gives the claim.

(b) Let us suppose that the action is semi-free, almost free or free. Then, $j$ acts trivially on $M^{S^1} = F$, and hence, we have a long exact sequence

$$\cdots \rightarrow H^i(M) \rightarrow H^{i-3}(M/S^3, F) \rightarrow H^{i+1}(M/S^3) \rightarrow H^{i+1}(M) \rightarrow \cdots$$
(c) Let us suppose that there is not a point of \(M\) whose isotropy subgroup is conjugated to \(S^1\). Then, we have a long exact sequence
\[
\cdots \to H^i(M) \to H^{i-3}(M/S^3, \Sigma/S^3) \to H^{i+1}(M/S^3) \to H^{i+1}(M) \to \cdots
\]
since \(j\) acts trivially on \(M/S^1 = \{x \in M \mid S^3 x = S^3 \text{ or } N(S^1)\}\).

2.5. Actions over \(S^1\). Using the Gysin sequence we have constructed, we now give a list of all the different cohomologies of a \(S^3\)-manifold \(M\) having the circle as orbit space. By geometrical reasons, the orbit space is composed by just one stratum, the whole circle. Following the nature of the orbits, we distinguish four cases.

(a) All orbits are of dimension 3. We have \(P_M = 1 + t + t^3 + t^4\). This is the case of the manifold \(S^3 \times S^1\), where \(S^3\) acts by multiplication on the left factor.

(b) All orbits are isomorphic to \(S^2\). We distinguish two cases following whether the covering \(M/S^3 \to M/S^3/\mathbb{Z}_2 = M/M/S^3\) is trivial or not. In the first case we have \(P_M = 1 + t + t^2 + t^3\). This is the case of the manifold \(S^2 \times S^1\), where \(S^3\) acts by multiplication on the left factor. In the second case we have \(P_M = 1 + t\), as is the case of the manifold \(S^2 \times \mathbb{Z}_2 S^1\) where \(S^3\) acts by multiplication on the left factor.

(c) All orbits are isomorphic to \(\mathbb{RP}^2\). In this case, we have \(P_M = 1 + t\). This is the case of the manifold \(\mathbb{RP}^2 \times S^1\) where \(S^3\) acts by multiplication on the left factor.

(d) All orbits are points. We have \(P_M = 1 + t\). This corresponds to the manifold \(S^1\) where \(S^3\) acts ineffectively.

References


\[\text{In fact, we give the Poincaré polynomial } P_M \text{ of } M.\]
The Gysin sequence for $S^3$-actions on manifolds


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