

## De Branges–Rovnyak spaces and generalized Dirichlet spaces

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**Abstract.** We consider the relations between the generalized Dirichlet spaces  $\mathcal{D}(\mu)$  and de Branges–Rovnyak spaces  $\mathcal{H}(b)$ . Such relations were studied in the papers [10], [11], and more recently, in [2] and [3]. Here we obtain further results in this direction.

### 1. Introduction

Let  $H^2$  be the standard Hardy space of the open unit disk  $\mathbb{D}$ . For  $\mu$  a finite positive Borel measure on  $\mathbb{T} = \partial\mathbb{D}$  and  $f$  a holomorphic function in  $\mathbb{D}$ , the Dirichlet integral of  $f$  with respect to  $\mu$  is defined by

$$D_\mu(f) = \int_{\mathbb{D}} |f'(z)|^2 P\mu(z) dA(z),$$

where  $P\mu$  is the Poisson integral of  $\mu$ , and  $dA$  denotes area measure on  $\mathbb{D}$ , normalized to have unit total mass.

For  $\lambda \in \mathbb{T}$ , we define the local Dirichlet integral of  $f$  at  $\lambda$  by

$$D_\lambda(f) = \frac{1}{2\pi} \int_0^{2\pi} \left| \frac{f(\lambda) - f(e^{it})}{\lambda - e^{it}} \right|^2 dt,$$

where  $f(\lambda)$  is the nontangential limit of  $f$  at  $\lambda$ . If  $f(\lambda)$  does not exist, then we set  $D_\lambda(f) = \infty$ . It is known that if  $f \in H^2$ , then

$$D_\mu(f) = \int_{\mathbb{T}} D_\lambda(f) d\mu(\lambda) \quad (\text{see, e.g., [8]}).$$

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The generalized Dirichlet space  $\mathcal{D}(\mu)$  consists of those functions  $f \in H^2$  for which  $D_\mu(f)$  is finite. The space  $\mathcal{D}(\mu)$  is a Hilbert space with the norm

$$\|f\|_{\mathcal{D}(\mu)}^2 = \|f\|_2^2 + D_\mu(f).$$

If  $\mu = \delta_\lambda$ ,  $\lambda \in \mathbb{T}$ , then  $D_\mu(f) = D_\lambda(f)$  and  $\mathcal{D}(\delta_\lambda)$  is called the local Dirichlet space at  $\lambda$ . The more general case when  $\mu$  is a finitely atomic measure, that is,  $\mu = \sum_{j=1}^n \mu_j \delta_{\lambda_j}$ , where  $\lambda_1, \dots, \lambda_n$  are distinct points of  $\mathbb{T}$  and  $\mu_1, \dots, \mu_n$  are positive numbers, was considered by SARASON in [11]. In this case,

$$\|f\|_{\mathcal{D}(\mu)}^2 = \|f\|_2^2 + \sum_{j=1}^n \mu_j \left\| \frac{f(\lambda_j) - f(z)}{\lambda_j - z} \right\|_2^2.$$

It has been shown in [8] that if  $f(z) = \sum_{k=0}^\infty \hat{f}(k)z^k$  belongs to  $\mathcal{D}(\delta_\lambda)$ , then the series  $\sum_{k=0}^\infty \hat{f}(k)\lambda^k$  converges. Consequently, if  $f$  is in  $\mathcal{D}(\mu)$ , with  $\mu$  as above, then all the series  $\sum_{k=0}^\infty \hat{f}(k)\lambda_j^k$  converge.

In [11], the author considered the function

$$K_\mu(z) = 1 - \sum_{j=1}^n \mu_j \frac{\bar{\lambda}_j z}{(1 - \bar{\lambda}_j z)^2}, \tag{1.1}$$

and proved that if  $w_1, \dots, w_n$  are the zeros of  $K_\mu$  in  $\mathbb{D}$ , and

$$\tilde{a}(z) = \prod_{j=1}^n (1 - \bar{\lambda}_j z), \tag{1.2}$$

then

$$\mathcal{D}(\mu) = \mathcal{M}(\tilde{a}) \oplus_{\mathcal{D}(\mu)} K_{\tilde{B}}, \tag{1.3}$$

where  $K_{\tilde{B}} = H^2 \ominus \tilde{B}H^2$  is the model space corresponding to the finite Blaschke product  $\tilde{B}$  with zero sequence  $w_1, \dots, w_n$  and  $\mathcal{M}(\tilde{a}) = \tilde{a}H^2$ , see [11, Corollary 1].

For  $\chi \in L^\infty(\mathbb{T})$ , let  $T_\chi$  denote the bounded Toeplitz operator on  $H^2$ , that is,  $T_\chi f = P(\chi f)$ , where  $P$  is the orthogonal projection of  $L^2(\mathbb{T})$  onto  $H^2$ . Given a function  $b$  in the unit ball of  $H^\infty$ , the de Branges–Rovnyak space  $\mathcal{H}(b)$  is the image of  $H^2$  under the operator  $(I - T_b T_{\bar{b}})^{1/2}$ . The space  $\mathcal{H}(b)$  is given the Hilbert space structure that makes the operator  $(I - T_b T_{\bar{b}})^{1/2}$  a coisometry of  $H^2$  onto  $\mathcal{H}(b)$ , namely,

$$\langle (I - T_b T_{\bar{b}})^{1/2} f, (I - T_b T_{\bar{b}})^{1/2} g \rangle_b = \langle f, g \rangle_2 \quad (f, g \in (\ker(I - T_b T_{\bar{b}})^{1/2})^\perp).$$

It is known [12, p. 10] that  $\mathcal{H}(b)$  is a Hilbert space with reproducing kernel

$$k_w^b(z) = \frac{1 - \overline{b(w)}b(z)}{1 - \overline{w}z} \quad (z, w \in \mathbb{D}).$$

Here, we are interested in the case when the function  $b$  is not an extreme point of the unit ball of  $H^\infty$ , that is, the case when the function  $\log(1 - |b|)$  is integrable on  $\mathbb{T}$  ([7, p. 138]). Then, there exists an outer function  $a \in H^\infty$  for which  $|a|^2 + |b|^2 = 1$  a.e. on  $\mathbb{T}$ . Moreover, if we suppose that  $a(0) > 0$ , then  $a$  is uniquely determined, and we say that  $(b, a)$  is a pair.

Recall now that the Smirnov class  $\mathcal{N}^+$  consists of the holomorphic functions in  $\mathbb{D}$  that are quotients of functions in  $H^\infty$  in which the denominators are outer functions. If  $(b, a)$  is a pair, then the quotient  $\varphi = \frac{b}{a}$  is in  $\mathcal{N}^+$ . Conversely, for every nonzero function  $\varphi \in \mathcal{N}^+$ , there exists a unique pair  $(b, a)$  such that  $\varphi = \frac{b}{a}$ . It is worth noting here that if  $\varphi$  is rational, then the functions  $a$  and  $b$  in the representation of  $\varphi$  are also rational (see [13]).

For a function  $\varphi$  that is holomorphic on  $\mathbb{D}$ , we define  $T_\varphi$  to be the operator of multiplication by  $\varphi$  on the domain  $\mathcal{D}(T_\varphi) = \{f \in H^2 : \varphi f \in H^2\}$ . We note that  $T_\varphi$  is bounded on  $H^2$  if and only if  $\varphi \in H^\infty$ . It was proved in [13] that  $\mathcal{D}(T_\varphi)$  is dense in  $H^2$  if and only if  $\varphi \in \mathcal{N}^+$ . Moreover, if  $\varphi$  is a nonzero function in  $\mathcal{N}^+$  with canonical representation  $\varphi = \frac{b}{a}$ , then  $\mathcal{D}(T_\varphi) = aH^2$ . In this case,  $T_\varphi$  has a unique adjoint  $T_\varphi^*$ . Following SARASON [13, p. 286], we define  $T_{\overline{\varphi}} = T_\varphi^*$ . The next theorem says that the de Branges–Rovnyak space  $\mathcal{H}(b)$  is the domain of  $T_{\overline{\varphi}}$ .

**Theorem 1.1** ([13]). *Let  $(b, a)$  be a pair, and let  $\varphi = \frac{b}{a}$ . Then the domain of  $T_{\overline{\varphi}}$  is  $\mathcal{H}(b)$  and for  $f \in \mathcal{H}(b)$ ,*

$$\|f\|_b^2 = \|f\|_2^2 + \|T_{\overline{\varphi}}f\|_2^2.$$

In 1997, D. SARASON [10] proved that for  $\lambda \in \mathbb{T}$  and

$$b_\lambda(z) = \frac{(1 - \alpha)\overline{\lambda}z}{1 - \alpha\overline{\lambda}z}, \quad \alpha = \frac{3 - \sqrt{5}}{2},$$

the space  $\mathcal{D}(\delta_\lambda)$  coincides with  $\mathcal{H}(b_\lambda)$ , with equality of norms. In 2010, N. CHEVROT, D. GUILLOT and T. RANSFORD [2] identified all the functions  $b$  and the measures  $\mu$  for which  $\mathcal{D}(\mu) = \mathcal{H}(b)$  with equality of norms.

In their recent paper [3], C. COSTARA and T. RANSFORD proved that if  $(b, a)$  is a rational pair, then  $\mathcal{D}(\mu) = \mathcal{H}(b)$ , without supposing equality of norms, if and only if:

- (i) the zeros of the function  $a$  on  $\mathbb{T}$  are all simple, and
- (ii) the support of  $\mu$  is exactly equal to this set of zeros.

In the proof of this result, the following theorem due to BALL and KRIETE [1] was used.

**Theorem 1.2** ([1], [3]). *Let  $(b_1, a_1)$  and  $(b_2, a_2)$  be pairs. Then  $\mathcal{H}(b_1) \subset \mathcal{H}(b_2)$  if and only if the following two conditions both hold:*

- (i) *there exist  $g, h \in H^\infty$  such that  $b_2 = gb_1 + ha_2$ ,*
- (ii) *there exists  $\gamma > 0$  such that  $|a_1| \leq \gamma|a_2|$  m-a.e. on  $\mathbb{T}$ .*

In this paper, we will use also the following description of  $\mathcal{H}(b)$  obtained in [3]:

**Theorem 1.3** ([3]). *Let  $(b, a)$  be a rational pair, and let  $\lambda_1, \dots, \lambda_n$  be the zeros of  $a$  on  $\mathbb{T}$ , listed according to multiplicity. Then*

$$\mathcal{H}(b) = \left\{ p + \prod_{j=1}^n (z - \lambda_j)g : p \in P_{n-1}, g \in H^2 \right\}, \tag{1.4}$$

where  $P_{n-1}$  denotes the set of polynomials of degree at most  $n - 1$ .

Here, we mainly concentrate on pairs  $(b, a)$  for which  $\varphi = b/a = \prod_{j=1}^n (1 - \bar{\lambda}_j z)^{-1}$ , where  $\lambda_1, \dots, \lambda_n$  are distinct points from  $\mathbb{T}$ . We find an explicit formula for  $T_{\bar{\varphi}}f$ ,  $f \in H(\mathbb{D})$ , which implies the equality of the spaces  $\mathcal{D}(\mu) = \mathcal{H}(b)$  and some inequalities between their norms. Moreover, in Theorem 2.3, we obtain the following result on the structure of  $\mathcal{H}(b)$ :

$$\mathcal{H}(b) = \mathcal{M}(a) \oplus_{\mathcal{H}(b)} \text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\},$$

where  $k_{\lambda_j}^b$  are the corresponding kernel functions. Next, we show that

$$\text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\} = K_B,$$

where  $B$  is a Blaschke product of order  $n$ . From this, we get a description of  $\mathcal{H}(b)$  analogous to that obtained by Sarason for  $\mathcal{D}(\mu)$ , when  $\mu$  is a finitely atomic measure on  $\mathbb{T}$ . It turns out that in the special case when  $\varphi(z) = (1 - z^n)^{-1}$  and  $\mu = \frac{1}{n^2} \sum_{j=1}^n \delta_{e_j}$ , where  $e_j$  are the  $n$ -th roots of 1, the model space  $K_B$  equals to the model space  $K_{\bar{B}}$  in (1.3).

We remark that in view of Theorem 1.2, the same argument as in [3] can be used to show that some of the results obtained for these special  $\mathcal{H}(b)$  can be extended to the case when  $(b, a)$  are rational pairs such that  $\lambda_1, \dots, \lambda_n$  are the simple zeros of  $a$  on  $\mathbb{T}$ .

In Section 4, we apply Theorem 1.3 to show a relation between two spaces  $\mathcal{H}(b)$  and  $\mathcal{H}(b_1)$  in the case when the sets of zeros on  $\mathbb{T}$  of the corresponding functions  $a$  and  $a_1$  differ by a single point. In particular, we show that if  $\lambda$  is a zero of the function  $a$  of order  $k \geq 2$  and  $f \in \mathcal{H}(b)$ , then the derivative  $f^{(k-1)}$  has a nontangential limit at  $\lambda$ . We would like to mention that the existence of the nontangential limits of derivatives of the functions in  $\mathcal{H}(b)$  is discussed in [5] and [6].

After preparing this manuscript, we found that our Theorem 4.1 is contained in Theorem 1.2 in the recent work [4]. However, our approach and proofs are different than those in [4].

## 2. Main results

In this section, we deal with the function  $\varphi \in \mathcal{N}^+$  defined by

$$\varphi(z) = \frac{1}{\prod_{j=1}^n (1 - \bar{\lambda}_j z)}, \quad (2.1)$$

where  $\lambda_1, \dots, \lambda_n$  are distinct points from  $\mathbb{T}$ . By the Riesz–Fejér theorem (see [9, p. 118]), there is a unique polynomial  $r$  of degree  $n$ , without zeros in  $\overline{\mathbb{D}}$ , such that  $r(0) > 0$ , and

$$1 + \left| \prod_{j=1}^n (1 - \bar{\lambda}_j z) \right|^2 = |r(z)|^2 \quad \text{on } \mathbb{T}.$$

Then the functions in the corresponding pair  $(b, a)$  are given by

$$a(z) = \frac{\prod_{j=1}^n (1 - \bar{\lambda}_j z)}{r(z)}, \quad b(z) = \frac{1}{r(z)}.$$

We observe that  $b \in H(\overline{\mathbb{D}})$ , and since  $\lambda_j$ ,  $1 \leq j \leq n$ , are the zeros of  $a$  on  $\mathbb{T}$ , we have  $|b(\lambda_j)| = 1$  for every  $1 \leq j \leq n$ . It then follows (see [12, p. 48]) that  $b$  has an angular derivative in the sense of Carathéodory at every  $\lambda_j$ , and consequently, every function  $f \in \mathcal{H}(b)$  has a nontangential limit at  $\lambda_j$ ,  $1 \leq j \leq n$ . Moreover,

$$f(\lambda_j) = \langle f, k_{\lambda_j}^b \rangle_b,$$

where

$$k_{\lambda_j}^b(z) = \frac{1 - \overline{b(\lambda_j)}b(z)}{1 - \bar{\lambda}_j z}.$$

We first prove the following:

**Lemma 2.1.** *Let  $\varphi$  be given by (2.1). Then, for every  $f$  in  $H(\overline{\mathbb{D}})$ ,*

$$T_{\overline{\varphi}}f(z) = f(z) + \sum_{j=1}^n \overline{a}_j \lambda_j \frac{f(\lambda_j) - f(z)}{\lambda_j - z}, \quad (2.2)$$

where  $a_j = \left( \prod_{l=1, l \neq j}^n (1 - \overline{\lambda}_l \lambda_j) \right)^{-1}$ .

PROOF. Clearly,

$$\varphi(z) = \sum_{j=1}^n \frac{a_j}{1 - \overline{\lambda}_j z},$$

where  $a_j$  is defined in the Lemma. It is also known that  $T_{\overline{\varphi}}$  is well defined on  $H(\overline{\mathbb{D}})$ . Moreover, using the formula

$$T_{\overline{\varphi}}f(z) = \sum_{m=0}^{\infty} \sum_{l=0}^{\infty} \overline{\varphi}(l) \hat{f}(l+m) z^m \quad (\text{see, e.g., [13, Lemma 6.1]}), \quad (2.3)$$

one can easily check that

$$T_{\overline{\varphi}}f(z) = \sum_{j=1}^n \overline{a}_j T_{\overline{k}_{\lambda_j}} f(z),$$

where  $k_{\lambda_j}(z) = (1 - \overline{\lambda}_j z)^{-1}$ . Since  $k_{\lambda_j}(z) = \sum_{l=0}^{\infty} \overline{\lambda}_j^l z^l$ , we get, by (2.3),

$$T_{\overline{k}_{\lambda_j}} f(z) = \sum_{m=0}^{\infty} \left( \sum_{l=0}^{\infty} \overline{\lambda}_j^l \hat{f}(l+m) \right) z^m = \sum_{m=0}^{\infty} r_m z^m,$$

where  $r_m = \hat{f}(m) + \overline{\lambda}_j \hat{f}(m+1) + \overline{\lambda}_j^2 \hat{f}(m+2) + \dots$ . Consequently,

$$\begin{aligned} (\lambda_j - z) T_{\overline{k}_{\lambda_j}} f(z) &= \sum_{m=0}^{\infty} \lambda_j r_m z^m - \sum_{m=0}^{\infty} r_m z^{m+1} \\ &= \lambda_j f(\lambda_j) + \sum_{m=1}^{\infty} (\lambda_j r_m - r_{m-1}) z^m = \lambda_j f(\lambda_j) - \sum_{m=1}^{\infty} \hat{f}(m-1) z^m \\ &= \lambda_j f(\lambda_j) - z \sum_{m=0}^{\infty} \hat{f}(m) z^m = \lambda_j (f(\lambda_j) - f(z)) + (\lambda_j - z) f(z). \end{aligned}$$

Hence,

$$T_{\overline{k}_{\lambda_j}} f(z) = f(z) + \lambda_j \frac{f(\lambda_j) - f(z)}{\lambda_j - z}.$$

Since  $\sum_{j=1}^n a_j = 1$ , we get formula (2.2).  $\square$

**Theorem 2.2.** *Let  $(b, a)$  be a pair such that  $b/a = \varphi$ , where  $\varphi$  is given by (2.1). If  $f \in \mathcal{H}(b)$ , then*

$$T_{\bar{\varphi}}f(z) = f(z) + \sum_{j=1}^n \bar{a}_j \lambda_j \frac{f(\lambda_j) - f(z)}{\lambda_j - z}, \quad (2.4)$$

where  $f(\lambda_j)$  is the nontangential limit of  $f$  at  $\lambda_j$ , and  $a_j$  are such as in Lemma 2.1. In particular, the sum on the right side of (2.4) belongs to  $H^2$ .

PROOF. Let  $f \in \mathcal{H}(b)$ . It follows from Theorem 1.1 that  $T_{\bar{\varphi}}f \in H^2$ . Since polynomials are dense in  $\mathcal{H}(b)$  (see, e.g., [12, p. 25]), we can choose a sequence of polynomials  $\{p_m\}$  such that  $p_m \rightarrow f$  in  $\mathcal{H}(b)$ . Then  $p_m \rightarrow f$  and  $T_{\bar{\varphi}}p_m \rightarrow T_{\bar{\varphi}}f$  in  $H^2$ . This implies that  $p_m(z) \rightarrow f(z)$  and  $T_{\bar{\varphi}}p_m(z) \rightarrow T_{\bar{\varphi}}f(z)$  for every  $z \in \mathbb{D}$ . Moreover, since the functionals  $f \mapsto f(\lambda_j)$  are bounded on  $\mathcal{H}(b)$  (see [12, pp. 48–49]), we see that  $p_m(\lambda_j) \rightarrow f(\lambda_j)$  for each  $1 \leq j \leq n$ . From this and Lemma 2.1, for every  $z \in \mathbb{D}$ ,

$$\begin{aligned} T_{\bar{\varphi}}f(z) &= \lim_{m \rightarrow \infty} T_{\bar{\varphi}}p_m(z) = \lim_{m \rightarrow \infty} \left( p_m(z) + \sum_{j=1}^n \bar{a}_j \lambda_j \frac{p_m(\lambda_j) - p_m(z)}{\lambda_j - z} \right) \\ &= f(z) + \sum_{j=1}^n \bar{a}_j \lambda_j \frac{f(\lambda_j) - f(z)}{\lambda_j - z}. \quad \square \end{aligned}$$

**Theorem 2.3.** *Let  $(b, a)$  be a pair such that  $b/a = \varphi$ , where  $\varphi$  is given by (2.1). We have*

$$\mathcal{H}(b) = \mathcal{M}(a) \oplus_{\mathcal{H}(b)} \text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\}.$$

In particular,  $\mathcal{M}(a)$  is a closed subspace of  $\mathcal{H}(b)$ .

PROOF. Let  $V = \text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\} \subset \mathcal{H}(b)$ . Since  $\mathcal{M}(a) \subset \mathcal{H}(b)$  (see, e.g., [12, p. 24]), it is enough to show that  $\mathcal{M}(a) = V^\perp$  in  $\mathcal{H}(b)$ .

Note that if  $f \in \mathcal{M}(a)$ , then  $f(\lambda_j) = \langle f, k_{\lambda_j}^b \rangle_b = 0$  for every  $1 \leq j \leq n$ . So  $\mathcal{M}(a) \subset V^\perp$ . On the other hand, if  $f \in V^\perp$ , then  $f(\lambda_j) = 0$  for each  $1 \leq j \leq n$ . Thus, by Theorem 2.2,

$$T_{\bar{\varphi}}f(z) = \sum_{j=1}^n \bar{a}_j \left( 1 - \frac{1}{1 - \bar{\lambda}_j z} \right) f(z) = - \left( \sum_{j=1}^n \bar{a}_j \frac{\bar{\lambda}_j z}{1 - \bar{\lambda}_j z} \right) f(z) = g(z) \in H^2.$$

Moreover, for  $|z| = 1$  we have

$$\bar{a}_1 \frac{\bar{\lambda}_1 z}{1 - \bar{\lambda}_1 z} + \dots + \bar{a}_n \frac{\bar{\lambda}_n z}{1 - \bar{\lambda}_n z} = \frac{-z^n}{\prod_{j=1}^n (z - \lambda_j)},$$

and consequently,

$$z^n f(z) = \prod_{j=1}^n (z - \lambda_j)g(z),$$

which shows that  $f \in \mathcal{M}(a)$ . □

As a corollary from the last Theorem we get the following result, due to COSTARA and RANSFORD [2].

**Corollary 2.4.** *Let  $(b, a)$  be a pair such that  $b/a = \varphi$ , where  $\varphi$  is given by (2.1). Then*

$$\mathcal{H}(b) = \mathcal{D}(\mu),$$

where  $\mu = \sum_{j=1}^n \mu_j \delta_{\lambda_j}$  and  $\mu_j > 0$ .

PROOF. To see that  $\mathcal{H}(b) \subset \mathcal{D}(\mu)$ , it is enough to observe that  $\mathcal{M}(a) = \mathcal{M}(\tilde{a}) \subset \mathcal{D}(\mu)$ , where  $\tilde{a}$  is given by (1.2) and each of the functions  $k_{\lambda_j}^b \in H(\overline{\mathbb{D}}) \subset \mathcal{D}(\mu)$ . The other inclusion is an immediate consequence of Theorem 2.2. □

It is known that if  $\mathcal{H}(b) = \mathcal{D}(\mu)$ , then the norms  $\|\cdot\|_b$  and  $\|\cdot\|_{\mathcal{D}(\mu)}$  are equivalent ([3]). Moreover, the authors in [2] gave necessary and sufficient conditions for equality of these norms.

In our case, we get the following:

**Proposition 2.5.** *If  $\mu = \sum_{j=1}^n |a_j|^2 \delta_{\lambda_j}$ , where  $a_j = \left(\prod_{l=1, l \neq j}^n (1 - \bar{\lambda}_l \lambda_j)\right)^{-1}$  and  $b$  is as above, then*

$$\|f\|_b \leq \sqrt{n+2} \|f\|_{\mathcal{D}(\mu)}.$$

PROOF. We have

$$\begin{aligned} \|f\|_b^2 &= \|f\|_2^2 + \|T_{\bar{\varphi}} f\|_2^2 \leq \|f\|_2^2 + \left( \|f\|_2 + \sum_{j=1}^n |a_j| \left\| \frac{f(\lambda_j) - f(z)}{\lambda_j - z} \right\|_2 \right)^2 \\ &\leq \|f\|_2^2 + (n+1) \left( \|f\|_2^2 + \sum_{j=1}^n |a_j|^2 \left\| \frac{f(\lambda_j) - f(z)}{\lambda_j - z} \right\|_2^2 \right) \leq (n+2) \|f\|_{\mathcal{D}(\mu)}^2. \quad \square \end{aligned}$$

Recall that  $b(z) = 1/r(z)$ , where  $r(z)$  is a polynomial of degree  $n$  with zeros  $w'_1, \dots, w'_n \in \mathbb{C} \setminus \overline{\mathbb{D}}$ . We now state a result that is analogous to Sarason's result mentioned in the Introduction.

**Corollary 2.6.** *Let  $(b, a)$  be a pair such that  $b/a = \varphi$ , where  $\varphi$  is given by (2.1). We have*

$$\mathcal{H}(b) = \mathcal{M}(a) \oplus_{\mathcal{H}(b)} K_B,$$

where  $K_B$  is the model space corresponding to the finite Blaschke product with zero sequence  $w_k = 1/\overline{w'_k}$ ,  $k = 1, \dots, n$ .

PROOF. In view of Theorem 2.3, it is enough to show that

$$\text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\} = K_B.$$

To this end, we observe that

$$k_{\lambda_j}^b(z) = \frac{1 - \overline{b(\lambda_j)}b(z)}{1 - \overline{\lambda_j}z} = \frac{1 - \frac{b(z)}{\overline{b(\lambda_j)}}}{1 - \overline{\lambda_j}z} = \frac{1 - \frac{r(\lambda_j)}{r(z)}}{1 - \overline{\lambda_j}z} = \frac{r(z) - r(\lambda_j)}{(1 - \overline{\lambda_j}z)r(z)} = \frac{P(z)}{r(z)},$$

where  $P(z)$  is a polynomial of degree at most  $n - 1$ . Since there are constants  $c$  and  $c'$  such that

$$r(z) = c \prod_{k=1}^n (z - w'_k) = c' \prod_{k=1}^n (1 - \overline{w_k}z),$$

we see that

$$k_{\lambda_j}^b(z) = \frac{P(z)}{c' \prod_{k=1}^n (1 - \overline{w_k}z)} \in K_B.$$

Consequently,

$$\text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\} \subset K_B.$$

Since both the spaces  $K_B$  and  $\text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\}$  have dimension  $n$ , the other inclusion follows.  $\square$

### 3. A special case: $\varphi(z) = \frac{1}{1-z^n}$

If  $\varphi(z) = \frac{1}{1-z^n}$ , then the poles  $\lambda_j = e_j$  of  $\varphi$ ,  $j = 1, \dots, n$ , are the  $n$ -th roots of 1. To find the canonical representation  $\varphi = b/a$ , we observe first that in this case

$$R(e^{it}) = 1 + |1 - e^{int}|^2 = 3 - e^{-int} - e^{int},$$

and consider the polynomial

$$\begin{aligned} W(z) &= z^n(3 - z^{-n} - z^n) = -z^{2n} + 3z^n - 1 \\ &= -\left(z^n - \frac{3 - \sqrt{5}}{2}\right)\left(z^n - \frac{3 + \sqrt{5}}{2}\right) = -(z^n - \alpha)\left(z^n - \frac{1}{\alpha}\right), \end{aligned}$$

where  $\alpha = \frac{3-\sqrt{5}}{2}$ . Clearly,  $W(z)$  has  $n$  distinct zeros in  $\mathbb{D}$ ,

$$w_k = \sqrt[n]{\alpha} e_k,$$

and  $n$  distinct zeros outside  $\overline{\mathbb{D}}$ ,

$$w'_k = \frac{1}{\overline{w_k}} = \frac{1}{\sqrt[n]{\alpha}} e_k, \quad k = 1, \dots, n.$$

Hence,

$$W(z) = - \prod_{k=1}^n (z - w'_k)(z - w_k) = \alpha z^n \prod_{k=1}^n (z - w'_k) \left( \frac{1}{z} - \overline{w'_k} \right),$$

and

$$r(z) = -\sqrt{\alpha} \prod_{k=1}^n (z - w'_k) = -\sqrt{\alpha} \left( z^n - \frac{1}{\alpha} \right) = \frac{1}{\sqrt{\alpha}} (1 - \alpha z^n)$$

is a polynomial satisfying  $r(0) > 0$ , and

$$|r(z)|^2 = 1 + |1 - z^n|^2 \quad \text{on } \mathbb{T}.$$

Consequently, see, e.g., [13],

$$a(z) = \frac{(1 - \alpha)(1 - z^n)}{1 - \alpha z^n}$$

and

$$b(z) = \frac{1 - \alpha}{1 - \alpha z^n}.$$

We observe that in this case formula (2.4) simplifies to

$$T_{\overline{\varphi}} f(z) = f(z) + \frac{1}{n} \sum_{j=1}^n e_j \frac{f(e_j) - f(z)}{e_j - z}.$$

We note that if  $b$  is as above, then, by Corollary 2.6,

$$\mathcal{H}(b) = \mathcal{M}(a) \oplus_{\mathcal{H}(b)} K_B,$$

where  $K_B$  is the model space corresponding to the finite Blaschke product with zero sequence  $w_k = \sqrt[n]{\alpha} e_k$ ,  $k = 1, \dots, n$ .

On the other hand, by SARASON's result [11], we know that if  $\mu = \sum_{j=1}^n \mu_j \delta_{e_j}$ , then

$$\mathcal{D}(\mu) = \mathcal{M}(a) \oplus_{\mathcal{D}(\mu)} K_{\tilde{B}},$$

where  $K_{\tilde{B}}$  is the model space corresponding to the finite Blaschke product  $\tilde{B}$  with zeros  $\tilde{w}_1, \dots, \tilde{w}_n$ , which are the zeros of the function  $K_\mu$  defined in the Introduction. We now show that in this case, the coefficients  $\mu_j$  can be chosen so that  $B = \tilde{B}$ .

The following Theorem was proved in [14].

**Theorem.** *Let  $w_1, \dots, w_n$  be a finite sequence of points in  $\mathbb{D} \setminus \{0\}$  (repetitions allowed). Then there exist distinct points  $\lambda_1, \dots, \lambda_n$  on the unit circle and positive numbers  $\mu_1, \dots, \mu_n$  such that  $w_1, \dots, w_n, 1/\bar{w}_1, \dots, 1/\bar{w}_n$  is the zero sequence of the function  $K_\mu(z)$  given by (1.1).*

It follows from the proof of this Theorem that if one can find  $\lambda_1, \dots, \lambda_n$  on  $\mathbb{T}$  such that

$$\frac{\prod_{l=1}^n \lambda_l}{\prod_{j=1}^n w_j} > 0 \tag{3.1}$$

and

$$\sum_{m \neq l} \frac{\bar{\lambda}_l \lambda_m - \lambda_l \bar{\lambda}_m}{|\lambda_l - \lambda_m|^2} = \sum_{j=1}^n \frac{\bar{\lambda}_l w_j - \lambda_l \bar{w}_j}{|\lambda_l - w_j|^2} \quad (l = 1, \dots, n), \tag{3.2}$$

then  $\mu_1, \dots, \mu_n$  are uniquely determined and given by

$$\mu_l = \frac{\prod_{j=1}^n |\lambda_l - w_j|^2}{\prod_{j=1}^n |w_j| \prod_{m \neq l} |\lambda_l - \lambda_m|^2}.$$

We first show that if  $w_k = \sqrt[n]{\alpha} e_k$  and  $\lambda_k = e_k$ ,  $k = 1, \dots, n$ , then conditions (3.1) and (3.2) are fulfilled. Clearly, (3.1) holds. It is enough to prove (3.2) for  $e_l = e_1 = 1$ , that is

$$\sum_{m=2}^n \frac{e_m - \bar{e}_m}{|1 - e_m|^2} = \sum_{j=1}^n \frac{w_j - \bar{w}_j}{|1 - w_j|^2}.$$

Symmetry arguments show that both sides of this equality are equal to zero. Now, a calculation gives

$$\mu_l = \frac{1}{n^2}, \quad l = 1, \dots, n.$$

This means that if  $\mu = \frac{1}{n^2} \sum_{j=1}^n \delta_{e_j}$ , then  $B = \tilde{B}$ , which proves our claim.

#### 4. Characterization of $\mathcal{H}(b)$ in terms of the zeros of $a$

In this section, using Theorem 1.2, we generalize Theorem 2.3 as follows:

**Theorem 4.1.** *Let  $(b, a)$  be a rational pair, and let  $\lambda_1, \dots, \lambda_n$  be the simple zeros of  $a$  on  $\mathbb{T}$ . Then*

$$\mathcal{H}(b) = \mathcal{M}(a) \oplus_{\mathcal{H}(b)} \text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\}.$$

PROOF. Let  $(b_0, a_0)$  be the rational pair such that the function  $\varphi$  defined by (2.1) has the canonical representation  $\varphi = \frac{b_0}{a_0}$ . It then follows from the construction of the canonical representation (see, e.g., [13, p. 283]) that

$$a(z) = q(z)a_0(z),$$

where  $q(z)$  is a rational holomorphic non-vanishing function in  $\overline{\mathbb{D}}$ . Consequently,

$$\mathcal{M}(a) = \mathcal{M}(a_0).$$

Moreover, using Theorem 1.2, one can show that

$$\mathcal{H}(b) = \mathcal{H}(b_0).$$

Now, let  $V = \text{span}\{k_{\lambda_1}^b, \dots, k_{\lambda_n}^b\} \subset \mathcal{H}(b)$ . As in the proof of Theorem 2.3, we get  $\mathcal{M}(a) \subset V^\perp$ . Now, if  $f \in V^\perp$ , then  $f(\lambda_j) = 0$ , and since  $f \in \mathcal{H}(b_0)$ , by Theorem 2.3,  $f \in \mathcal{M}(a_0) = \mathcal{M}(a)$ . This shows that  $V^\perp = \mathcal{M}(a)$ .  $\square$

Our next result is a corollary of Theorem 1.3.

**Theorem 4.2.** *Let  $(b, a)$  and  $(b_1, a_1)$  be rational pairs, and let  $\lambda, \lambda_1, \dots, \lambda_n$  and  $\lambda_1, \dots, \lambda_n$  be the zeros of  $a$  and  $a_1$  on  $\mathbb{T}$ , respectively, listed according to multiplicity. If  $f \in \mathcal{H}(b)$ , then*

$$F(z) = \frac{f(\lambda) - f(z)}{\lambda - z} \in \mathcal{H}(b_1).$$

Conversely, if  $f \in H^2$  is such that the nontangential limit  $f(\lambda)$  exists and  $F \in \mathcal{H}(b_1)$ , then  $f \in \mathcal{H}(b)$ .

PROOF. Assume that  $f \in \mathcal{H}(b)$ . Then, by (1.4),

$$f(z) = p(z) + (z - \lambda) \prod_{j=1}^n (z - \lambda_j)g,$$

where  $p$  is a polynomial of degree  $n$  and  $g \in H^2$ . So

$$F(z) = \frac{f(\lambda) - f(z)}{\lambda - z} = \frac{p(\lambda) - p(z)}{\lambda - z} + \prod_{j=1}^n (z - \lambda_j)g(z) = p_1(z) + \prod_{j=1}^n (z - \lambda_j)g(z),$$

where  $p_1$  is a polynomial of degree  $n - 1$ . By (1.4) again,  $F \in \mathcal{H}(b_1)$ . The other claim can be proved analogously.  $\square$

It follows immediately from Theorem 1.3 that if  $f \in \mathcal{H}(b)$  and  $\lambda$  is a zero of  $a$  on  $\mathbb{T}$ , then the nontangential limit of  $f$  at  $\lambda$  exists. For the case when  $\lambda$  is a zero of order  $k \geq 2$ , we get immediately from the above theorem the following:

**Corollary 4.3.** *If  $(b, a)$  is a rational pair and  $\lambda$  is a zero of the function  $a$  of order  $k \geq 2$  and  $f \in \mathcal{H}(b)$ , then the derivative  $f'$  has a nontangential limit at  $\lambda$ .*

We remark that by the result in [12, p. 46], the derivative  $f'$  has a nontangential limit at  $\lambda$  if and only if  $f$  has a nontangential limit  $f(\lambda)$ , and the difference quotient  $(f(\lambda) - f(z))/(\lambda - z)$  has a nontangential limit at  $\lambda$ .

**Corollary 4.4.** *Let  $(b, a)$  be a rational pair, and let  $\lambda$  be a zero of the function  $a$  of order  $k$ . If  $f \in \mathcal{H}(b)$ , then there is a function  $h$  in  $H^2$  such that*

$$f(z) = f(\lambda) + f'(\lambda)(z - \lambda) + \cdots + \frac{f^{(k-1)}(\lambda)}{(k-1)!}(z - \lambda)^{k-1} + (z - \lambda)^k h(z).$$

PROOF. By formula (1.4),

$$f(z) = p_{n+k-1}(z) + (z - \lambda)^k \prod_{j=1}^n (z - \lambda_j)g(z),$$

where  $\lambda_1, \dots, \lambda_n$  are the other zeros of  $a$  and  $g \in H^2$ . Clearly,

$$f^{(j)}(\lambda) = p_{n+k-1}^{(j)}(\lambda), \quad j = 0, 1, \dots, k - 1.$$

Consequently,

$$\begin{aligned} f(z) &= \sum_{j=0}^{k-1} \frac{f^{(j)}(\lambda)}{j!} (z - \lambda)^j + (z - \lambda)^k \left( p_{n-1}(z) + \prod_{j=1}^n (z - \lambda_j)g(z) \right) \\ &= \sum_{j=0}^{k-1} \frac{f^{(j)}(\lambda)}{j!} (z - \lambda)^j + (z - \lambda)^k h(z). \end{aligned}$$

Finally, we remark that the function  $h$  is in the space  $\mathcal{H}(\tilde{b})$ , where the zeros of the corresponding function  $\tilde{a}$  are  $\lambda_1, \dots, \lambda_n$ . □

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