

Proof of a conjecture of Kummer.

By PETER DÉNES in Budapest.

§ 1. Introduction.

E. KUMMER [1] stated without proof the following

Theorem. *If n is a non-negative rational integer, p an odd prime, $\zeta = e^{2\pi i/p}$, $\Omega(\zeta)$ the field of the p^{th} roots of unity over the rational number field, φ, φ_1 are integers, prime to p , in $\Omega(\zeta)$ satisfying the congruence*

$$(1) \quad \varphi \equiv \varphi_1 \pmod{p^{n+1}},$$

and if k is a rational integer, with $(p-1) \nmid k$, then

$$D_{kp^n} \log \varphi(e^v) \equiv D_{kp^n} \log \varphi_1(e^v) \pmod{p^{n+1}},$$

where the symbol $D_m \log \varphi(e^v)$ denotes the value of the m^{th} derivative of $\log \varphi(e^v)$ with respect to v at $v=0$. $\varphi(e^v)$ results by setting e^v instead of ζ in $\varphi(\zeta)$, and e is the Napierian base.

H. S. VANDIVER [2] ascertained that KUMMER has not published any proof of the above theorem and proved it first [3] in the special case $\varphi = \varphi_1$, $\varphi(1) = \varphi_1(1)$, $n=1$; then in a later paper [4] in the case $\varphi = \varphi_1$, $\varphi(1) \equiv \varphi_1(1) \pmod{p^{n+1}}$. In 1939 Vandiver has mentioned that J. V. USPENSKY has proved KUMMER's above conjecture with the only restriction $\varphi = \varphi_1$, but this paper was not yet published [5].

In this paper I give the complete proof of the above theorem (see § 3). Prof. VANDIVER informed me in a letter of August 31, 1951, when I communicated him my proof that the paper of USPENSKY has not been published because of his death and is not intended to be published in the near future. I am giving therefore firstly in § 2 a proof for the result of USPENSKY, i. e. for the case $\varphi = \varphi_1$ of the theorem, which certainly differs from USPENSKY's proof who attained his result by correcting the methods of KUMMER. On the other hand, the proof given in § 2 (see Lemma G) uses methods perfectly different from KUMMER's ones.

§ 2. Lemmas.

We are beginning with the proof of some lemmas.

Lemma A. *If k and m are rational integers, and $0 < k < m$, then*

$$D_k(1 - e^r)^m = 0.$$

The proof follows immediately from

$$\frac{d^k(1 - e^r)^m}{dt^k} = (-1)^k m(m-1) \cdots (m-k+1)(1 - e^r)^{m-k} \cdot e^{kr}.$$

Lemma B. *If $F(e^r)$ is a polynomial of e^r the coefficients of which are rational integers and $F_0 \neq 0$ denotes its value at $r = 0$, then for $k > 0$*

$$D_k \log F(e^r) = \sum_{i=1}^k \frac{(-1)^{i-1}}{i} D_k \left(\frac{F(e^r) - F_0}{F_0} \right)^i.$$

Proof. We remark that if $|F(e^r) - F_0| < |F_0|$, then

$$\log F(e^r) = \log F_0 + \sum_{i=1}^{\infty} \frac{(-1)^{i-1}}{i} \left(\frac{F(e^r) - F_0}{F_0} \right)^i.$$

Now, in

$$F(e^r) = \sum_{g=0}^t a_g e^{gr}$$

the coefficients a_0, \dots, a_t are rational integers. We transform this polynomial:

$$F(e^r) = \sum_{j=0}^t b_j (1 - e^r)^j$$

where b_0, \dots, b_t are also rational integers, namely

$$b_j = (1 - 1)^j \sum_{g=j}^t \binom{g}{j} a_g.$$

Thus we have

$$F(e^r) - F_0 = \sum_{j=1}^t b_j (1 - e^r)^j,$$

as $F_0 = b_0$. Hence

$$D_k \left[\frac{F(e^r) - F_0}{F_0} \right]^i = \frac{1}{F_0^i} \cdot D_k \sum_{j=i}^t B_j (1 - e^r)^j,$$

where B_i, \dots, B_{it} are rational integers. By Lemma A we see that for $i > k$

$$D_k \left(\frac{F(e^r) - F_0}{F_0} \right)^i = 0.$$

Since $\log F_0$ is a constant, we have the proof of Lemma B.

Lemma C. *If f_0 is a rational integer such that $f_0 \equiv F_0 \pmod{p}$, and $p \nmid f_0$, then for positive integers k, u*

$$D_k \log F(e^r) \equiv \sum_{i=1}^u (-1)^{i-1} \frac{1}{i} D_k \left[\frac{F(e^r) - f_0}{f_0} \right]^i \pmod{p^u}$$

holds, where w is a suitable positive integer.

Proof. By hypothesis we have

$$F_0 - f_0 = Mp$$

where M is a rational integer. Thus

$$F(e^v) - f_0 = Mp + \sum_{j=1}^t b_j (1 - e^v)^j,$$

and hence

$$\frac{1}{i} \cdot D_k \left[\frac{F(e^v) - f_0}{f_0} \right]^i = \frac{1}{i \cdot f_0^i} D_k \sum_{g=0}^i \sum_{j=i-g}^{(i-g)t} C_{gj} \cdot p^g (1 - e^v)^j,$$

where the C 's are rational integers. The members on the right-hand side in which the second index j of C is greater than k disappear according to Lemma A. The remaining members, for $j \leq k$, are multiplied by the g^{th} power of p . From the inequalities

$$k \geq j \geq i - g$$

we get

$$g_{\min} = i - k.$$

We set now $i = i^* p^r$ with $p \nmid i^*$. We see, by $p \nmid f_0$, that the remaining members are divisible by p^{g-r} and so Lemma C is true if

$$g - r > u,$$

i. e., — as the most inadventagous case is $g = g_{\min}$ — if

$$i^* p^r > k + u + r.$$

As $p \geq 3$, the inequality $p^z > k + u + z$ has for any value of $k + u$ a minimal solution $z = z_0$. Now putting

$$w = p^{z_0},$$

the members with $i \geq w$ on the right-hand side of

$$D_k \log F(e^v) = D_k \log f_0 + \sum_{i=1}^{\infty} \frac{(-1)^{i-1}}{i} D_k \left(\frac{F(e^v) - f_0}{f_0} \right)^i$$

are all divisible by p^u which completes the proof.

Lemma D. If k is a positive integer, with $(p-1) \nmid k$, $n \geq 0$ a rational integer, $h(e^v)$ a polynom of e^v

$$(2) \quad h(e^v) = \sum_{i=0}^t f_i \cdot e^{iv}$$

with rational integers f_0, \dots, f_t , and

$$(3) \quad g(e^v) = \sum_{i=0}^{p-1} e^{iv},$$

then

$$(4) \quad D_{kp^n} h(e^v) \cdot g(e^v) \equiv 0 \pmod{p^{n+1}}.$$

Proof. If i is a non-negative rational integer, then we get

$$D_{kp^n} e^{i \cdot v} \cdot g(e^v) = i^{kp^n} + (i+1)^{kp^n} + \dots + (i+p-1)^{kp^n},$$

and $i, i+1, \dots, i+p-1$ represent a complete system of residues mod p . If r is a primitive root mod p and w a member of this system, prime to p , then

$$w \equiv r^z \pmod{p}$$

and

$$w^{kp^n} \equiv r^{z \cdot kp^n} \pmod{p^{n+1}},$$

where the number z takes all the values $1, 2, \dots, p-1$. Hence, as $kp^n \geq n+1$, we have

$$D_{kp^n} e^{i \cdot v} \cdot g(e^v) \equiv \frac{r^{(p-1)kp^n} - 1}{r^{kp^n} - 1} \pmod{p^{n+1}},$$

where $(r^{kp^n} - 1)$ is prime to p , as $(p-1) \nmid k$ and

$$r^{(p-1)kp^n} \equiv 1 \pmod{p^{n+1}}.$$

Consequently

$$(5) \quad D_{kp^n} e^{i \cdot v} \cdot g(e^v) \equiv 0 \pmod{p^{n+1}}.$$

From (2) and (5) follows (4) immediately.

Lemma E. *If $q > 0, s > 0, n \geq 0$ are rational integers and $h(e^v)$ is defined by (2), then*

$$(6) \quad D_{q(p-1)p^n} h(e^v) \cdot g^s(e^v) \equiv 0 \pmod{p^z},$$

where $z = \min [(s-1), (n+1)]$.

Proof. We put

$$\{g(e^v)\}^s = \sum_{i=0}^{s(p-1)} a_{s,i} \cdot e^{i \cdot v},$$

where $a_{s,0}, \dots, a_{s,s(p-1)}$ are rational integers and

$$A_{s,i} = \sum_{j=0}^{\lfloor \frac{(p-1)s+p-i}{p} \rfloor} a_{s,i+jp} \quad (i=0, 1, \dots, p-1),$$

where $[x]$ denotes the largest integer $\leq x$. Then

$$(7) \quad A_{s,i} = p^{s-1} \quad (i=0, 1, \dots, p-1).$$

(7) can be verified by induction. Assuming that it is true for $s-1$ instead of s , we get from

$$\{g(e^v)\}^s = \{g(e^v)\}^{s-1} \cdot (1 + e^v + \dots + e^{(p-1)v}),$$

$$A_{s,i} = A_{s-1,i} + A_{s-1,i+1} + \dots + A_{s-1,p-1} + A_{s-1,0} + \dots + A_{s-1,i-1} = p \cdot p^{s-2} = p^{s-1}.$$

As (7) is true also for $s=1$, we have the proof of (7). Moreover we have

$$(8) \quad D_{q(p-1)p^n} e^{j \cdot v} \cdot g^s(e^v) = \sum_{i=0}^{s(p-1)} a_{s,i} \cdot (i+j)^{q(p-1)p^n}.$$

If $(i+j)$ is divisible by p , then $(i+j)^{q(p-1)p^n} \equiv 0 \pmod{p^z}$, because of

$q(p-1)p^n > n+1 \cong z$; consequently, if we regard (8) as a congruence modulo p^z , all members fall out which are divisible by p . If, on the other hand, $p \nmid (i+j)$, then

$$(i+j)^{q(p-1)p^n} \equiv 1 \pmod{p^{n+1}},$$

or, as $z \leq n+1$,

$$(i+j)^{q(p-1)p^n} \equiv 1 \pmod{p^z}.$$

Thus, we get by (8)

$$D_{q(p-1)p^n} e^{jv} \cdot g^s(e^v) \equiv \sum_{i=1}^{s(p-1)} a_{s,i} \equiv (p-1)p^{s-1} \pmod{p^z},$$

and as $s-1 \cong z$, finally

$$D_{q(p-1)p^n} e^{jv} \cdot g^s(e^v) \equiv 0 \pmod{p^z}$$

which completes, by (2), the proof.

Lemma F. *If $c > 0$, $k > 0$, $m \geq 0$, $n \geq 0$ are rational integers, with $k < p-1$, and $h(e^v)$ is defined by (2), then*

$$(9) \quad D_{kp^n} h(e^v) \cdot g^{cp^m}(e^v) \equiv 0 \pmod{p^{m+n+1}}.$$

Proof. First we assume $p > 3$ and $m \geq 1$, or, if $p = 3$, either $c > 1$, or $m > 1$. (Thus, the cases $m = 0$, resp. $p = 3$ and $cm = 1$ are excluded for the present.) According to the law of differentiation of a product we have

$$(10) \quad D_{kp^n} h(e^v) \cdot g^{cp^m}(e^v) = \sum_{i=0}^{kp^n} \binom{kp^n}{i} \cdot D_i h(e^v) \cdot g^{c(p-2)p^{m-1}}(e^v) \cdot D_{kp^n-i} g^{2cp^{m-1}}(e^v).$$

We assume that Lemma F is true for $m-1$ instead of m . In (10), the number i should be divisible by the j^{th} power of p :

$$i = qp^j \quad (p \nmid q, 0 \leq j \leq n).$$

Therefore by $k < p$ the binomial coefficient $\binom{kp^n}{qp^j}$ is divisible by the $(n-j)^{\text{th}}$ power of p :

$$(11) \quad \binom{kp^n}{qp^j} \equiv 0 \pmod{p^{n-j}}.$$

If neither i , nor $(kp^n - i)$ is divisible by $p-1$, then we have, according to our assumption that Lemma F holds for $m-1$ instead of m ,

$$D_{qp^j} h(e^v) \cdot g^{c(p-2)p^{m-1}}(e^v) \equiv 0 \pmod{p^{m+j}}$$

and

$$D_{kp^n-qp^j} g^{2cp^{m-1}}(e^v) \equiv 0 \pmod{p^{m+j}}$$

from which follows by $m > 0$:

$$(12) \quad (n-j) + (m+j) + (m+j) \geq n+m+1.$$

If for example i is divisible by $(p-1)$, then Lemma E can be used. If $p > 3$, then $c(p-2)p^{m-1} \geq 2$, as $c > 0$ and $m > 0$; therefore $z \geq 1$. If $p = 3$ and $mc > 1$, then again $z \geq 1$, because of $c \cdot 3^{m-1} \geq 2$. Hence

$$D_{qp^j} h(e^v) \cdot g^{c(p-2)p^{m-1}}(e^v) \equiv 0 \pmod{p}, \text{ if } (p-1) \mid q.$$

Thus we have also in this case

$$(13) \quad (n-j) + (j+m) + 1 = n + m + 1,$$

and the same equation holds if $(kp^n - i)$ is divisible by $(p-1)$, because of $2cp^{m-1} \geq 2$. Both numbers i and $kp^n - i$ cannot be divisible at the same time by $(p-1)$, as $0 < k < p-1$. (12) and (13) give the exponent of the power of p , by which the members on the right-hand side of (10) are divisible by our assumptions.

Now in the case $p=3, mc=1$ we have

$$D_{3^{nk}} e^{nv} \cdot g^3(e^v) = u^{3^nk} + 3(u+1)^{3^nk} + 6(u+2)^{3^nk} + 7(u+3)^{3^nk} + \\ + 6(u+4)^{3^nk} + 3(u+5)^{3^nk} + (u+6)^{3^nk}$$

which can be transformed as a congruence modulo 3^{n+2} :

$$D_{3^{nk}} e^{nv} \cdot g^3(e^v) \equiv \\ \equiv \{ u^{3^nk} + 7u^{3^nk} + 7 \cdot 3 \cdot 3^n k \cdot u^{3^nk-1} + u^{3^nk} + 6 \cdot 3^n k u^{3^nk-1} \} + \\ + \{ 3(u+1)^{3^nk} + 6(u+1)^{3^nk} + 6 \cdot 3 \cdot 3^n k (u+1)^{3^nk-1} \} + \\ + \{ 6(u+2)^{3^nk} + 3(u+2)^{3^nk} + 3 \cdot 3 \cdot 3^n k (u+2)^{3^nk-1} \} \equiv \\ \equiv 9u^{3^nk} + 9(u+1)^{3^nk} + 9(u+2)^{3^nk} \equiv 0 \pmod{3^{n+2}}.$$

This proves, by (2), the validity of Lemma F in the case $p=3, m=1, c=1$.

Hence, Lemma F is proved by the assumptions that $m > 0$ and that it is true for $m-1$ instead of m ; to complete the induction, we must verify it for the case $m=0$:

$$D_{k;p^n} h(e^v) \cdot g^c(e^v) \equiv 0 \pmod{p^{n+1}}.$$

This follows, however, immediately from Lemma D, by setting in (4) instead of $h(e^v)$ the polynom $h(e^v) \{g(e^v)\}^{c-1}$.

Lemma G. *If n is a non-negative rational integer, k a positive integer, $(p-1) \nmid k$, φ is an integer, prime to p , in $\Omega(\zeta)$, and φ_1 is another form of φ , then*

$$D_{k;p^n} \log \varphi(e^v) \equiv D_{k;p^n} \log \varphi_1(e^v) \pmod{p^{n+1}}.$$

Proof. If φ is prime to p , also $\varphi(1)$ is prime to p ; hence Lemma B implies that $D_{k;p^n} \log \varphi(e^v)$ is a rational number the denominator of which is prime to p . Consequently, it is congruent modulo p^{n+1} with a rational integer.

First we assume $k < p-1$. The two forms of φ satisfy a relation

$$\varphi_1(\zeta) = \varphi(\zeta) + \psi(\zeta) \cdot g(\zeta)$$

where $\psi(\zeta)$ is an integer in $\Omega(\zeta)$ and

$$g(\zeta) = 1 + \zeta + \dots + \zeta^{p-1}.$$

From this follows

$$(14) \quad \varphi_1(e^v) = \varphi(e^v) + \psi(e^v) \cdot g(e^v)$$

and

$$(15) \quad \varphi_1(1) \equiv \varphi(1) \pmod{p}.$$

According to Lemma C, we have, putting $\varphi(1) = \varphi_0$,

$$D_{kp^n} \log \varphi(e^n) \equiv \sum_{i=1}^n (-1)^{i-1} \cdot \frac{1}{i} \cdot D_{kp^n} \left[\frac{\varphi(e^n) - \varphi_0}{\varphi_0} \right]^i \pmod{p^{n+1}}$$

and, by (14), (15),

$$D_{kp^n} \log \varphi_1(e^n) \equiv \sum_{i=1}^n (-1)^{i-1} \cdot \frac{1}{i} \cdot D_{kp^n} \left[\frac{\varphi(e^n) - \varphi_0 + \psi(e^n)g(e^n)}{\varphi_0} \right]^i \pmod{p^{n+1}}$$

where w is a suitable natural number (in accordance with Lemma C).

Denoting by $\chi_{ji}(e^n)$ the following polynom of e^n

$$\chi_{ji}(e^n) = [\varphi(e^n) - \varphi_0]^{i-j} [\psi(e^n)]^j \quad (j = 1, 2, \dots, i),$$

we get

$$(16) \quad D_{kp^n} \log \varphi_1(e^n) - D_{kp^n} \log \varphi(e^n) \equiv \sum_{i=1}^n \sum_{j=1}^i (-1)^{i-1} \cdot \frac{1}{i} \binom{i}{j} D_{kp^n} \chi_{ji}(e^n) \cdot g^j(e^n) \pmod{p^{n+1}}.$$

The terms of the sum in (16) have, by

$$\frac{1}{i} \binom{i}{j} = \frac{1}{j} \binom{i-1}{j-1},$$

the following form

$$(17) \quad (-1)^{i-1} \cdot \frac{1}{j} \binom{i-1}{j-1} D_{kp^n} \chi_{ji}(e^n) \cdot g^j(e^n).$$

Supposing that j is divisible exactly by the a^{th} power of p , (17) is divisible owing to Lemma F by p^b with

$$b = -a + (n + a + 1) = n + 1.$$

Hence, all terms on the right-hand side of (16) are divisible by p^{n+1} . Thus we have the proof of Lemma G for $k < p-1$.

Turning to the general case, consider a polynom

$$\xi(e^n) = x_0 + x_1 e^n + \dots + x_u e^{un}$$

of e^n where x_0, \dots, x_u are rational integers. If j is a non-negative integer, the congruence

$$(18) \quad D_{kp^n} \xi(e^n) \equiv D_{[k+j(p-1)]p^n} \xi(e^n) \pmod{p^{n+1}}$$

follows easily from the evident relation

$$x_i^{kp^n} \equiv x_i^{[k+j(p-1)]p^n} \pmod{p^{n+1}}.$$

From (18) we infer that (17) is divisible by p^{n+1} also for $k > p-1$, $(p-1) \nmid k$. This completes the proof of Lemma G.

§ 3. Proof of the theorem.

Making use of Lemma G we can prove the validity of the conjecture of KUMMER.

The integer φ of the field $\Omega(\zeta)$ has the form

$$\varphi = d_0 + d_1\zeta + \dots + d_t\zeta^t$$

where t, d_0, \dots, d_t are rational integers. If $t \leq p-2$, φ is given in its normal form and in this case we apply a star to distinguish it from any other form:

$$(19) \quad \varphi^* = a_0 + a_1\zeta + \dots + a_{p-2}\zeta^{p-2}.$$

Here a_0, \dots, a_{p-2} denote rational integers.

Another integer φ_1 of $\Omega(\zeta)$ has also a normal form:

$$(20) \quad \varphi_1^* = b_0 + b_1\zeta + \dots + b_{p-2}\zeta^{p-2}$$

with rational integers b_0, \dots, b_{p-2} . If the congruence (1) is true we have

$$\varphi_1^* - \varphi^* \equiv (b_0 - a_0) + (b_1 - a_1)\zeta + \dots + (b_{p-2} - a_{p-2})\zeta^{p-2} \equiv 0 \pmod{p^{n+1}}$$

and, by setting $\lambda = 1 - \zeta$, we can write

$$(21) \quad \varphi_1^* - \varphi^* \equiv c_0 + c_1\lambda + c_2\lambda^2 + \dots + c_{p-2}\lambda^{p-2} \equiv 0 \pmod{p^{n+1}}$$

where c_0, \dots, c_{p-2} are rational integers. We show that in (21) c_0, \dots, c_{p-2} are all divisible by p^{n+1} . In fact, applying (21) as a congruence modulo the principal ideal (λ) , we obtain that c_0 is divisible by (λ) and, as c_0 is a rational integer, it is divisible by p . Similarly modulo $(\lambda)^2$ we find that c_1 is divisible by p , and so on, up to c_{p-2} . Now, dividing the integers c_0, \dots, c_{p-2} by p and regarding (21) as a congruence modulo p^n , we can repeat the applied method and find that the c 's are divisible by p^2 . This process can be repeated $(n+1)$ -times, and finally we obtain

$$c_0 \equiv \dots \equiv c_{p-2} \equiv 0 \pmod{p^{n+1}}.$$

Thus we get by

$$a_k - b_k = (-1)^k \sum_{i=k}^{p-2} \binom{i}{k} \cdot c_i \quad (k = 0, 1, \dots, p-2),$$

$$a_k \equiv b_k \pmod{p^{n+1}} \quad (k = 0, 1, \dots, p-2).$$

Hence we have

$$(22) \quad \varphi_1^*(e^v) = \varphi^*(e^v) + p^{n+1} \cdot h(e^v),$$

where $h(e^v)$ is a polynom of e^v of a degree $\leq p-2$ with rational integer coefficients.

Taking the first derivative of $[\log \varphi_1^*(e^v) - \log \varphi^*(e^v)]$ with respect to v , we get by (22)

$$\frac{d \log \varphi_1^*(e^v)}{dv} - \frac{d \log \varphi^*(e^v)}{dv} = \frac{\frac{d\varphi_1^*}{dv}}{\varphi_1^*} - \frac{\frac{d\varphi^*}{dv}}{\varphi^*} = p^{n+1} \frac{\varphi^*(e^v) \frac{dh(e^v)}{dv} - h(e^v) \frac{d\varphi^*(e^v)}{dv}}{\{\varphi^*(e^v)\}^2 + p^{n+1} \varphi^*(e^v) h(e^v)}$$

and, continuing the differentiation, the m^{th} derivative is

$$(23) \quad \frac{d^m \log \varphi_1^*(e^v)}{d v^m} - \frac{d^m \log \varphi^*(e^v)}{d v^m} = p^{n+1} \frac{H(e^v)}{[\{\varphi^*(e^v)\}^2 + p^{n+1} \varphi^*(e^v) h(e^v)]^n}$$

where $H(e^v)$ is a polynomial of e^v with rational integer coefficients. Setting $v=0$ in (23), the denominator on the right-hand side is prime to p , as φ is prime to p . Hence

$$(24) \quad D_m \log \varphi_1^*(e^v) \equiv D_m \log \varphi^*(e^v) \pmod{p^{n+1}}$$

for any rational integer $m > 0$. (24) yields, by Lemma *G*, the proof of the theorem.

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