

## Special radicals in rings with involution

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**Abstract.** Special radicals were defined for rings with involution by SALAVOVÀ. In this paper we show that every special radical  $\mathcal{R}$  in the variety of rings induces a corresponding special radical  $\mathcal{R}_*$  in the variety of rings with involution, and  $\mathcal{R}_*(R) \subseteq \mathcal{R}(R)$  for any involution ring  $R$ . The reverse inclusion does not hold in general. This theory gives new characterisations for certain concrete radicals.

### 1. Preliminaries

We recall that an *involution* on a ring  $R$  is a mapping  $x \rightarrow x^*$  ( $x \in R$ ) such that  $(x+y)^* = x^*+y^*$ ,  $(xy)^* = y^*x^*$  and  $(x^*)^* = x$  for all  $x, y \in R$ . If  $x \in R$  and  $x^* = x$ , then  $x$  is called a *symmetric* element of  $R$ . A *\*-ideal* of  $R$  is an ideal of the ring  $R$  which is closed with respect to the  $*$  operation. If  $R, S$  are rings with involution, and  $f : R \rightarrow S$  is a ring *homomorphism* (*isomorphism*) such that  $f(x^*) = (f(x))^*$  for all  $x \in R$ , then  $f$  is called a *\*-homomorphism* (*\*-isomorphism*). Factor involution rings are defined as for rings, and the usual isomorphism theorems may be proved. If  $R$  is any ring and  $R^{\text{op}}$  is its antiisomorphic image, then  $R \oplus R^{\text{op}}$  is a ring with involution with  $(x, y)^* := (y, x)$  for all  $x, y \in R$ . This involution is called the *exchange involution*. For further properties of rings with involution, we refer to [4].

If  $R$  is a ring, the notation  $A \triangleleft R$  means “ $A$  is an ideal of  $R$ ”. If  $E \triangleleft R$  and  $0 \neq A \triangleleft R$  implies  $A \cap E \neq 0$ , then  $E$  is an *essential* ideal of  $R$ , denoted  $E \triangleleft R$ . Similarly, if  $R$  is a ring with involution,  $A \triangleleft *R$  means “ $A$  is a *\*-ideal* of  $R$ ”. If  $E \triangleleft *R$  and  $0 \neq A \triangleleft *R$  implies  $A \cap E \neq 0$ , then  $E$  is an *essential* *\*-ideal* of  $R$ , denoted  $E \triangleleft * \cdot R$ . In the sequel, the

varieties of rings and rings with involution will be denoted  $\underline{\text{Rng}}$  and  $\underline{\text{IR}}$ , respectively. For a detailed treatment of radical theory in  $\underline{\text{Rng}}$  (and related varieties, e.g.  $\underline{\text{IR}}$ ), we refer for example to [12]. We recall that a class  $\mathcal{M}$  of prime rings is called *special* if  $\mathcal{M}$  is *hereditary* (i.e.  $A \triangleleft R \in \mathcal{M}$  implies  $A \in \mathcal{M}$ ) and *essentially closed* (i.e.  $E \triangleleft \cdot R, E \in \mathcal{M}$  implies  $R \in \mathcal{M}$ ). This definition is due to Heyman and Roos [5]. The upper radical determined by  $\mathcal{M}$ ,  $\mathcal{UM} := \{R \mid R \text{ has no nonzero homomorphic image in } \mathcal{M}\}$  is called a *special radical*. In this case

$$\mathcal{UM}(R) = \bigcap \{P \triangleleft R \mid R/P \in \mathcal{M}\}.$$

SALAVOVÀ [8] introduced special radicals for rings with involution. If  $R \in \underline{\text{IR}}$ , then  $R$  is *\*-prime* if  $A, B \triangleleft *R, AB = 0$  implies  $A = 0$  or  $B = 0$ . A class  $\mathcal{M}$  in  $\underline{\text{IR}}$  is *special* if

- S1 :  $\mathcal{M}$  consists of \*-prime involution rings.
- S2 :  $\mathcal{M}$  is *\*-hereditary*, i.e.  $A \triangleleft *R \in \mathcal{M}$  implies  $A \in \mathcal{M}$ .
- S3 :  $A \triangleleft *R, A \in \mathcal{M}, R$  \*-prime implies  $R \in \mathcal{M}$ .

Using proofs similar to those of [5] for the ring case, it may be shown that condition S3 may be replaced by

- S4 :  $\mathcal{M}$  is *\*-essentially closed*, i.e.  $E \triangleleft * \cdot R, E \in \mathcal{M}$  implies  $R \in \mathcal{M}$ .

If  $\mathcal{M}$  is a special class in  $\underline{\text{IR}}$ , the upper radical determined by  $\mathcal{M}$ ,  $\mathcal{U}_*\mathcal{M} := \{R \mid R \text{ has no nonzero *-homomorphic image in } \mathcal{M}\}$ , is called a *special radical*. In this case, as for rings it is easily shown that

$$\mathcal{U}_*\mathcal{M}(R) = \bigcap \{P \triangleleft *R \mid R/P \in \mathcal{M}\}$$

for all  $R \in \underline{\text{IR}}$ .

LEE and WIEGANDT [6] have noted that not every special radical class  $\mathcal{R}$  in  $\underline{\text{Rng}}$  has the property that  $\mathcal{R}(R) \triangleleft *R$  for all  $R \in \underline{\text{IR}}$ , although most of the well-known specials do have this property. Examples of special radicals which do not, are the *right strongly prime* [3], and *superprime* [11] radicals. The following result gives necessary and sufficient conditions for a special radical in  $\underline{\text{Rng}}$  to have the above-mentioned property, and hence to be usable as a radical in  $\underline{\text{IR}}$ .

**Proposition 1.1** (cf. [6]). *Let  $\mathcal{R} = \mathcal{UM}$ , where  $\mathcal{M}$  is a special class in  $\underline{\text{Rng}}$ . Then the following are equivalent:*

- (a)  $\mathcal{R}(R) \triangleleft *R \quad \forall R \in \underline{\text{IR}}$
- (b)  $\mathcal{R}(R)^* = \mathcal{R}(R) \quad \forall R \in \underline{\text{IR}}$

- (c)  $R \in \mathcal{R} \implies R^{\text{op}} \in \mathcal{R} \quad \forall R \in \underline{\text{Rng}}$
- (d)  $R \in \mathcal{M} \implies \mathcal{R}(R^{\text{op}}) = 0 \quad \forall R \in \underline{\text{Rng}}$ .

PROOF. (a)  $\Leftrightarrow$  (b) is obvious. (b)  $\Leftrightarrow$  (c) is [6], Theorem 1. (c)  $\implies$  (d) follows from [6], Corollary 1. Hence we need only show (d)  $\implies$  (c). Let  $R \in \mathcal{R}$  and suppose  $R^{\text{op}} \notin \mathcal{R}$ . Then  $R^{\text{op}}$  has a nonzero homomorphic image,  $R^{\text{op}}/A$ , say, which is in  $\mathcal{M}$ . Hence  $\mathcal{R}(R^{\text{op}}/A)^{\text{op}} = 0$ , i.e.  $\mathcal{R}(R/A) = 0$ . This is impossible, since  $R \in \mathcal{R}$  and  $\mathcal{R}$  is homomorphically closed. Hence  $R^{\text{op}} \in \mathcal{R}$ , and (c) holds.

Special radicals satisfying the conditions of Proposition 1.1 will be called *symmetric*.

### 2. Special radicals in rings with involution

In this section we will show that every special radical in  $\underline{\text{Rng}}$  induces a uniquely determined special radical in  $\underline{\text{IR}}$ .

**Lemma 2.1** ([7], Proposition 2.13.35). *Let  $R \in \underline{\text{IR}}$ . Then  $R$  is  $*$ -prime if and only if there exists a prime ideal  $P$  of the ring  $R$  such that  $P \cap P^* = 0$ . Moreover,  $P$  may be chosen to be maximal in the class of ideals  $I$  of  $R$  such that  $I \cap I^* = 0$ .*

Let  $\mathcal{M}$  a special class in  $\underline{\text{Rng}}$ . Then we define

$$\mathcal{M}^* = \{R \in \underline{\text{IR}} \mid \exists P \triangleleft R \text{ with } P \cap P^* = 0 \text{ and } R/P \in \mathcal{M}\}.$$

**Theorem 2.2.** *Let  $\mathcal{M}$  be a special class in  $\underline{\text{Rng}}$ . Then  $\mathcal{M}^*$  is a special class in  $\underline{\text{IR}}$ .*

PROOF. Let  $R \in \mathcal{M}^*$ , and let  $P \triangleleft R$  be such that  $P \cap P^* = 0$  and  $R/P \in \mathcal{M}$ . Then  $R/P$  is prime, whence  $R$  is  $*$ -prime by Lemma 2.1. Hence  $\mathcal{M}^*$  satisfies S1. Now suppose that  $A \triangleleft *R$ . Then  $A \cap P$  is a prime ideal of  $A$ , and  $(A \cap P) \cap (A \cap P)^* = (A \cap P) \cap (A \cap P^*) = A \cap P \cap P^* = 0$ . Moreover  $A/(A \cap P) \cong (A + P)/P \triangleleft R/P \in \mathcal{M}$ , whence  $A/(A \cap P) \in \mathcal{M}$ , since  $\mathcal{M}$  is hereditary. Thus  $A \in \mathcal{M}^*$ . Let  $E$  be an essential  $*$ -ideal of a ring with involution  $R$ . Let  $P \triangleleft E$  with  $E/P \in \mathcal{M}$ ,  $P \cap P^* = 0$ . Since  $P \triangleleft R \triangleleft R$  and  $E/P$  is prime,  $P \triangleleft R$ . We will show that  $E/P \triangleleft \cdot R/P$ . If  $P = E$ ,  $P^* = E^* = E$  so  $E = P \cap P^* = 0$ . Since  $E$  is an essential  $*$ -ideal of  $R$ ,  $R = 0$  and so  $E/P \triangleleft \cdot R/P$  trivially. Suppose that  $P \subset E$ . Now let  $0 \neq U \triangleleft R/P$ . Then  $U = I/P$  for some ideal  $I$  of  $R$  which properly contains  $P$ . Suppose  $E \cap I \subseteq P$ . Then  $EI \subseteq E \cap I \subseteq P$ , whence  $E \subseteq P$  or  $I \subseteq P$  since  $P$  is prime. This is impossible, since  $P \subset I$  and  $P \subset E$ . Thus

$E \cap I \not\subseteq P$ . Let  $x \in (E \cap I) - P$ . Then  $x + P \in (E/P) \cap (I/P)$ . Hence  $E/P \triangleleft R/P$ . Since  $\mathcal{M}$  is essentially closed,  $R/P \in \mathcal{M}$ , whence  $R \in \mathcal{M}^*$ . Thus  $\mathcal{M}^*$  satisfies S4.

**Theorem 2.3.** *Let  $\mathcal{M}_1$  and  $\mathcal{M}_2$  be special classes in  $\underline{\text{Rng}}$ . If  $\mathcal{UM}_1 = \mathcal{UM}_2$ , then  $\mathcal{U}_*\mathcal{M}_1^* = \mathcal{U}_*\mathcal{M}_2^*$  in  $\underline{\text{IR}}$ .*

PROOF. Suppose that  $R$  is a ring with involution and  $R \notin \mathcal{U}_*\mathcal{M}_1^*$ . Then  $R$  has a nonzero  $*$ -homomorphic image  $R'$  say, in  $\mathcal{U}_*\mathcal{M}_1^*$ . Then there exists  $P \triangleleft R'$  such that  $R'/P \in \mathcal{M}_1$  and  $P \cap P^* = 0$ . If  $P = R'$  then  $P^* = (R')^* = R'$ , whence  $R' = P \cap P^* = 0$ . This is impossible by our choice of  $R'$ , so  $P \neq R'$ . Thus  $R'/P$  is a nonzero homomorphic image of  $R'$  in  $\mathcal{M}_1$ . Hence  $R' \notin \mathcal{UM}_1$ . Since  $\mathcal{UM}_1 = \mathcal{UM}_2$ ,  $R'$  has a nonzero homomorphic image  $S$ , say, in  $\mathcal{M}_2$ . Clearly,  $S$  is a homomorphic image of  $R$ , whence  $S \cong R/Q$  for some proper ideal  $Q$  of the ring  $R$ . Consider the involution ring  $R/(Q \cap Q^*)$ . Then  $Q/(Q \cap Q^*) \triangleleft R/(Q \cap Q^*)$  and  $(Q/(Q \cap Q^*)) \cap (Q/(Q \cap Q^*))^* = 0$ .

Moreover  $(R/(Q \cap Q^*)) / (Q/(Q \cap Q^*)) \cong R/Q \in \mathcal{M}_2$ . Hence  $(R/(Q \cap Q^*)) \in \mathcal{M}_2^*$ , so  $R \notin \mathcal{U}_*\mathcal{M}_2^*$ . Thus  $\mathcal{U}_*\mathcal{M}_2^* \subseteq \mathcal{U}_*\mathcal{M}_1^*$ . The reverse inclusion is proved similarly.

Theorems 2.2 and 2.3 show that every special radical  $\mathcal{R}$  in  $\underline{\text{Rng}}$  induces a uniquely determined special radical in  $\underline{\text{IR}}$ . If  $\mathcal{M}$  is a special class in  $\underline{\text{Rng}}$  and  $\mathcal{R} = \mathcal{UM}$ , then we shall denote  $\mathcal{U}_*\mathcal{M}^*$  by  $R_*$ .

**Lemma 2.4.** *Let  $R \in \underline{\text{IR}}$ . A subset  $Q$  of  $R$  is a  $*$ -ideal of  $R$  such that  $R/Q \in \mathcal{M}^*$  if and only if  $Q = P \cap P^*$  for some ideal  $P$  of the ring  $R$  such that  $R/P \in \mathcal{M}$ .*

PROOF. Suppose  $Q = P \cap P^*$ , where  $P \triangleleft R$ ,  $R/P \in \mathcal{M}$ . Then  $(R/Q)/(P/Q) \cong R/P \in \mathcal{M}$  and  $(P/Q) \cap (P/Q)^* = (P \cap P^*)/Q = 0$ . Hence  $R/Q \in \mathcal{M}^*$ . Conversely, suppose that  $Q \triangleleft^* R$  and that  $R/Q \in \mathcal{M}^*$ . Then there exists  $U \triangleleft R/Q$  such that  $(R/Q)/U \in \mathcal{M}$  and  $U \cap U^* = 0$ . Then  $U = P/Q$  for some ideal  $P$  of the ring  $R$  such that  $Q \subseteq P$ . Then  $R/P \cong (R/Q)/(P/Q) \in \mathcal{M}$  and since  $U \cap U^* = 0$ ,  $P \cap P^* = Q$ .

**Proposition 2.5.** *If  $\mathcal{R}$  is a special radical in  $\underline{\text{Rng}}$ , then  $\mathcal{R}_*(R) = \mathcal{R}(R) \cap (\mathcal{R}(R))^*$  for any  $R \in \underline{\text{IR}}$ .*

PROOF. Let  $\mathcal{R} = \mathcal{UM}$ , where  $\mathcal{M}$  is a special class in  $\underline{\text{Rng}}$ . Then

$$\begin{aligned} \mathcal{R}_*(R) &= \bigcap \{Q \triangleleft *R : R/Q \in \mathcal{M}^*\} \\ &= \bigcap \{P \cap P^* \mid P \triangleleft R \text{ and } R/P \in \mathcal{M}\} \quad (\text{by Lemma 2.4}) \\ &= \bigcap \{P \triangleleft R : R/P \in \mathcal{M}\} \cap \{P^* \mid P \triangleleft R \text{ and } R/P \in \mathcal{M}\} \\ &= \mathcal{R}(R) \cap (\mathcal{R}(R))^*. \end{aligned}$$

**Corollary 2.6.** *If  $\mathcal{R}$  is a symmetric special radical in  $\underline{\text{Rng}}$ , then  $\mathcal{R}_*(R) = \mathcal{R}(R)$  for all  $R \in \underline{\text{IR}}$ .*

### 3. Examples

From [6] we have that if  $\mathcal{R}$  denotes the prime or Jacobson radical for rings then  $\mathcal{R}(R)^* = \mathcal{R}(R)$  for any ring with involution  $R$ . Moreover, the definitions of  $*$ -prime and  $*$ -primitive involution rings coincide with those obtained from the corresponding classes in  $\underline{\text{Rng}}$ . We refer to [7] for details.

#### The nil radical

VAN DER WALT [10] characterised the nil radical  $\mathcal{N}$  in  $\underline{\text{Rng}}$  as the upper radical determined by the (special) class of  $s$ -prime rings. A ring  $R$  is  $s$ -prime if there exists a multiplicatively closed subset  $S$  of  $R - \{0\}$  such that  $0 \neq A \triangleleft R$  implies  $A \cap S \neq \emptyset$ . If  $P \triangleleft R$ , then  $P$  is called an  $s$ -prime ideal of  $R$  if  $R/P$  is an  $s$ -prime ring. The  $s$ -prime ideals of  $R$  may easily be characterised as follows:

**Lemma 3.1.** *Let  $R$  be a ring and  $P \triangleleft R$ . Then the following are equivalent:*

- (a)  $P$  is an  $s$ -prime ideal of  $R$ .
- (b)  $R - P$  contains a multiplicatively closed subset  $S$  such that  $A \triangleleft R$ ,  $A \not\subseteq P$  implies  $A \cap S \neq \emptyset$ .
- (c)  $R - P$  contains a multiplicatively closed subset  $S$  such that  $A \triangleleft R$ ,  $P \subset A$  implies  $A \cap S \neq \emptyset$ .

Let  $\mathcal{M}$  be the class of  $s$ -prime rings. Clearly  $R \in \mathcal{M}$  implies that  $R^{\text{op}} \in \mathcal{M}$ . Hence  $\mathcal{N}$  is a symmetric special radical by Proposition 1.1.

If  $R \in \underline{\text{IR}}$ , then  $R$  is called  $*$ - $s$ -prime if there exists a multiplicatively closed subset  $S$  of  $R$  such that  $0 \neq I \triangleleft *R$  implies  $I \cap S \neq \emptyset$ .

**Lemma 3.2.** *Let  $R \in \underline{\mathbf{IR}}$ . Then  $R$  is  $*\text{-}s\text{-prime}$  if and only if there exists an  $s\text{-prime}$  ideal  $P$  of  $R$  such that  $P \cap P^* = 0$ .*

PROOF. Let  $R$  be  $*\text{-}s\text{-prime}$  and let  $S$  be the required multiplicatively closed system in  $R - \{0\}$ . If  $0 \neq I, J \triangleleft *R$ , there exists  $s \in I \cap S, s' \in J \cap S$ , and  $ss' \in S$  whence  $ss' \neq 0$ . Thus  $IJ \neq 0$ . Hence,  $R$  is  $*\text{-prime}$ . By Lemma 2.1, there exists a prime ideal  $P$  of  $\mathcal{R}$  such that  $P \cap P^* = 0$  and  $P$  is maximal with respect to this property. We show that either  $S \cap P = \emptyset$  or  $S^* \cap P = \emptyset$ . For suppose  $s \in S \cap P, s' \in S \cap P^*$ . Then  $ss' \in S$  and  $ss' \in PP^* \subseteq P \cap P^* = 0$ . Thus  $0 \in S$ , which is clearly impossible. Hence  $S \cap P = \emptyset$ , or  $S \cap P^* = \emptyset$ , so  $S \cap P = \emptyset$  or  $S^* \cap P = \emptyset$ . Since both  $S$  and  $S^*$  are multiplicatively closed, we may assume  $S \cap P \neq \emptyset$ . Let  $P \subset I \triangleleft R$ . By maximality of  $P, I \cap I^* \neq 0$ . Since  $I \cap I^* \triangleleft *R, I \cap I^* \cap S \neq \emptyset$ , whence  $I \cap S \neq \emptyset$ . Thus  $P$  is an  $s\text{-prime}$  ideal of  $R$ .

Conversely, let  $R \in \underline{\mathbf{IR}}$  and let  $P$  be an  $s\text{-prime}$  ideal of the ring  $R$  such that  $P \cap P^* = 0$ . Then by Lemma 3.1 (b), there exists a multiplicatively closed subset  $S$  of  $R - P$  such that  $I \triangleleft R, I \not\subseteq P$  implies  $I \cap S \neq \emptyset$ . Let  $0 \neq A \triangleleft *R$ . If  $A \subseteq P$ , then  $A^* \subseteq P^*$ , i.e.  $A \subseteq P^*$  whence  $A \subseteq P \cap P^* = 0$ . Thus  $A \not\subseteq P$ , whence  $A \cap S \neq \emptyset$ . Hence  $R$  is  $*\text{-}s\text{-prime}$ .

The above Lemma shows that the class of  $*\text{-}s\text{-prime}$  involution rings is precisely the class obtained by applying the techniques of Section 2 to the class of  $s\text{-prime}$  rings. Hence this class is special in  $\underline{\mathbf{IR}}$ . Since  $\mathcal{N}$  is symmetric, we have:

**Proposition 3.3.**  $\mathcal{N}(R) = \bigcap \{P \triangleleft R \mid R/P \text{ is } *\text{-}s\text{-prime}\}$  for all  $R \in \underline{\mathbf{IR}}$ .

We note that  $\underline{\mathbf{IR}}$  is a variety of  $\Omega\text{-groups}$  [2] where  $\Omega$  consists of the multiplication and  $*\text{-operators}$ . BUYS and GERBER [1] introduced a concept of nilpotence for  $\Omega\text{-groups}$ . An element  $x$  of  $R \in \underline{\mathbf{IR}}$  is  $*\text{-nilpotent}$  in the sense if there exists  $n \in \mathbb{N}$  such that  $x_1 \dots x_n = 0$ , where  $x_i = x$  or  $x^*$  for  $1 \leq i \leq n$ . If  $I \triangleleft *R$ , we define  $I$  to be *weakly nil* if every element of  $I$  is  $*\text{-nilpotent}$ , and

$$\mathcal{N}_W(R) = \sum \{I \triangleleft R \mid I \text{ is weakly nil}\}.$$

Clearly,  $\mathcal{N}(R) \subseteq \mathcal{N}_W(R)$ .

**Lemma 3.4.** *Let  $I \triangleleft *R \in \underline{\mathbb{R}}$ . Then the following are equivalent:*

- (a)  *$I$  is weakly nil.*
- (b) *Every symmetric element of  $I$  is nilpotent.*
- (c)  *$xx^*$  is nilpotent for all  $x \in I$ .*

PROOF. (a)  $\implies$  (b) and (b)  $\implies$  (c) are trivial. Suppose (c) holds. Let  $x \in I$ . Then  $(xx^*)^n = 0$  for some  $n \in \mathbb{N}$ , i.e.  $xx^*xx^* \dots xx^* = 0$ . Hence  $I$  is weakly nil.

*Remark.* It follows from Lemma 3.4 that the inclusion  $\mathcal{N}_W(R) \subseteq \mathcal{N}(R)$  is equivalent to a conjecture of MCCRIMMON [4]: If every symmetric element of  $R \in \underline{\mathbb{R}}$  is nilpotent, then  $R$  is a nil ring.

A ring with involution  $R$  is called *strongly  $s$ -prime* if there exists a subset  $S$  of  $R - \{0\}$  which is closed with respect to the multiplication and  $*$ -operators such that  $0 \neq I \triangleleft *R$  implies  $I \cap S \neq \emptyset$ . It follows from [2], Theorem 3.29 that the class  $\mathcal{M}_S$  of strongly  $s$ -prime involution rings is special. From [1], Theorem 3.13 and Corollary 3.16, we have

**Proposition 3.5.** *Let  $R \in \underline{\mathbb{R}}$ . Then*

$$\mathcal{N}_W(R) = \bigcap \{I \triangleleft *R \mid R/I \text{ is strongly } s\text{-prime}\}.$$

We do not know whether or not  $\mathcal{N}_W$  can be obtained from a special radical class of rings using the methods of Section 2. However, we do have the following result.

**Proposition 3.6.** *If there exists a hereditary symmetric radical class of rings  $\mathcal{R}$  such that  $\mathcal{N}_W(R) = \mathcal{R}(R) \cap \mathcal{R}(R)^*$  for every  $R \in \underline{\mathbb{R}}$ , then  $\mathcal{R} = \mathcal{N}$ .*

PROOF. Let  $R \in \mathcal{N}$ . Then  $R^{\text{op}} \in \mathcal{N}$ , whence  $R \oplus R^{\text{op}} \in \mathcal{N}_W$ . Hence  $\mathcal{R}(R \oplus R^{\text{op}}) = R \oplus R^{\text{op}}$ , so  $R \oplus R^{\text{op}} \in \mathcal{R}$ . Since  $R \cong (R, 0) \triangleleft R \oplus R^{\text{op}}$  and  $\mathcal{R}$  is hereditary,  $R \in \mathcal{R}$ . Hence  $\mathcal{N} \subseteq \mathcal{R}$ . Conversely, let  $R \in \mathcal{R}$ . Since  $\mathcal{R}$  is symmetric,  $R^{\text{op}} \in \mathcal{R}$ . Hence  $R \oplus R^{\text{op}} \in \mathcal{R}$ , so  $\mathcal{N}_W(R \oplus R^{\text{op}}) = \mathcal{R}(R \oplus R^{\text{op}}) \cap \mathcal{R}(R \oplus R^{\text{op}})^* = R \oplus R^{\text{op}}$ . If  $x \in R$ ,  $(x, x)$  is a symmetric element of  $R \oplus R^{\text{op}}$  whence  $(x, x)^n = (0, 0)$  for some  $n \in \mathbb{N}$ , by Lemma 3.4 (b), and so  $x^n = 0$ . Thus  $R \in \mathcal{N}$  so  $\mathcal{R} \subseteq \mathcal{N}$ .

### The antisimple radical

It is well known that a ring  $R$  is *subdirectly irreducible* if and only if the intersection of the nonzero ideals of  $R$  is nonzero. The intersection  $H(R)$  is called the *heart* of  $R$ . Similarly, if  $R \in \underline{\mathbb{R}}$ , then  $R$  is subdirectly

irreducible in  $\underline{\mathbb{R}}$  if and only if the intersection of the nonzero  $*$ -ideals of  $R$  is nonzero. This intersection is denoted  $H_*(R)$ .

The *antisimple radical*  $\mathcal{A}$  in  $\underline{\text{Rng}}$  is the upper radical determined by the special class of all prime subdirectly irreducible (psdi) rings [9]. Accordingly, we will define a  $*$ -psdi involution ring to be one which is subdirectly irreducible and prime. As for rings these are precisely the involution rings  $R$  which are subdirectly irreducible and for which  $H_*(R)$  is idempotent.

**Lemma 3.7.** *Let  $R \in \underline{\mathbb{R}}$ . Then  $R$  is  $*$ -psdi if and only if there exists  $P \triangleleft R$  such that  $P \cap P^* = 0$  and  $R/P$  is a psdi ring.*

PROOF. Let  $R$  be  $*$ -psdi. Since  $R$  is  $*$ -prime, there exists a prime ideal  $P$  of  $R$  such that  $P \cap P^* = 0$ , and  $P$  is maximal with respect to this property. Let  $H = H_*(R)$ . Then  $H \not\subseteq P$  for if  $H \subseteq P$ , then  $H = H^* \subseteq P^*$ , whence  $H \subseteq P \cap P^* = 0$ . This is impossible since  $R$  is  $*$ -psdi. Hence  $H \not\subseteq P$ , so  $0 \neq (H + P)/P \triangleleft R/P$ . We claim  $H(R/P) = (H + P)/P$ . For if  $0 \neq U \triangleleft R/P$ , then  $U = I/P$ , where  $P \subset I \triangleleft R$ . By our choice of  $P$ ,  $I \cap I^* \neq 0$ . Since  $I \cap I^* \triangleleft *R$ ,  $H \subseteq I \cap I^* \subseteq I$ , so  $H + P \subseteq I$  whence  $(H + P)/P \subseteq I/P$ . Thus  $H(R/P) = (H + P)/P$  and so  $R/P$  is subdirectly irreducible. Since  $R/P$  is prime, it is psdi, as required.

Conversely, Let  $P \triangleleft R$  be such that  $P \cap P^* = 0$  and  $R/P$  is psdi. Let  $H(R/P) = H/P$ , where  $P \subset H \triangleleft R$ . If  $H \subseteq P^*$ , then  $H^* \subseteq P \subset H$ , whence  $H^* \subset H$ , which is impossible. Hence,  $H \not\subseteq P^*$ , whence  $H^* \not\subseteq P$ . Since  $P$  is prime,  $HH^* \not\subseteq P$ , whence  $HH^* \neq 0$ . Suppose  $0 \neq I \triangleleft *R$ . As before,  $I \not\subseteq P$ , whence  $P \subset I + P$ , so  $0 \neq (I + P)/P \triangleleft R/P$ . Hence,  $H/P \subseteq (I + P)/P$  and so  $H \subseteq I + P$ . Thus  $HH^* \subseteq q(I + P)(I^* + P^*) = (I + P)(I + P^*) = I^2 + PI + IP^* + PP^* = I^2 + PI + IP^* \subseteq I$  (since  $PP^* \subseteq P \cap P^* = 0$ ). It follows that  $H_*(R) = HH^*$ , and so  $R$  is subdirectly irreducible in  $\underline{\mathbb{R}}$ . Since  $P$  is a prime ideal of  $R$ ,  $R/P$  is  $*$ -prime by Lemma 2.1. Hence  $R$  is  $*$ -psdi.

As before, the above Lemma shows that the class of  $*$ -psdi involution rings is special. It is easily seen that if  $R$  is psdi, then so is  $R^{\text{op}}$ , and so  $\mathcal{A}$  is symmetric. Hence:

**Proposition 3.8.**  $\mathcal{A}(R) = \bigcap \{P \triangleleft R \mid R/P \text{ is } * \text{-psdi}\}$  for all  $R \in \underline{\mathbb{R}}$ .

**The Behrens radical**

Let  $\mathcal{M}$  be the class of all psdi rings whose hearts contain a nonzero idempotent element. Then  $\mathcal{M}$  is a special class, and the upper radical  $\mathcal{B}$  determined by  $\mathcal{M}$  is known as the *Behrens radical*. We refer to [9] for details of this radical. Clearly,  $\mathcal{B}$  is symmetric.



**Proposition 3.9.** *Let  $R \in \underline{\mathbb{R}}$ . Then  $R \in \mathcal{M}^*$  if and only if  $R$  is  $*$ -psdi and  $H_*(R)$  contains a nonzero idempotent element.*

PROOF. Suppose  $R \in \mathcal{M}^*$ . Then there exists  $P \triangleleft R$  such that  $P \cap P^* = 0$  and  $R/P \in \mathcal{M}$ . Since  $R/P$  is psdi,  $R$  is  $*$ -psdi by Lemma 3.7. Let  $H(R/P) = H/P$ , where  $P \subset H \triangleleft R$ . By the proof of Lemma 3.7,  $H_*(R) = HH^*$ . If  $P = 0$ , then  $H = H(R)$ , whence  $H \subseteq HH^*$ . But  $HH^* \subseteq H$ , so  $H(R) = H_*(R)$  has a nonzero idempotent element, so does  $H_*(R)$ . Suppose  $P \neq 0$ . Let  $e \in H$  be such that  $0 \neq e + P = (e + P)^2$ . Since  $P \neq 0$ ,  $P^* \not\subseteq P$ , so  $0 \neq (P + P^*)/P \triangleleft R/P$ . Hence,  $e + P \in H/P \subseteq (P + P^*)/P$ , and so there exists  $p \in P$  such that  $e + P = p^* + P$ . Hence  $(p^* + P)^2 = p^* + P$  whence  $(p^*)^2 - p^* \in P$ . Since  $(p^*)^2 - p^* \in P^*$  and  $P \cap P^* = 0$ ,  $(p^*)^2 = p^*$ . It follows that  $p^2 = p$ . Let  $f = p + p^*$ . Then  $f^2 = (p + p^*)^2 = p^2 + pp^* + pp^* + (p^*)^2 = p^2 + (p^*)^2$  (since  $pp^*, p^*p \in P \cap P^* = 0$ )  $= p + p^* = f$ . Hence  $f$  is idempotent. Moreover,  $f + P = p + p^* + P = p^* + P = e + P$ . Hence  $f - e \in P \subseteq H$ . Since  $e \in H$ ,  $f \in H$ . Moreover,  $f^* = (p + p^*)^* = p^* + p = p + p^* = f$ . Moreover,  $f = f^* = ff^* \in HH^* = H_*(R)$ . Thus  $H_*(R)$  contains an idempotent element.

Conversely, suppose  $R$  is  $*$ -psdi and that  $H = H_*(R)$  contains an idempotent element,  $e$ , say. Then by Lemma 3.7, there exists  $P \triangleleft R$  such that  $R/P$  is psdi and  $P \cap P^* = 0$ . Moreover, by the proof of that Lemma,  $H(R/P) = (H + P)/P$ . Then  $e + P \in H(R/P)$  and  $(e + P)^2 = e^2 + P = e + P$ , so  $R/P \in \mathcal{M}$ . Hence  $R \in \mathcal{M}^*$ .

**Corollary 3.10.**  $\mathcal{B}(R) = \bigcap \{P \triangleleft R \mid R/P \text{ is } * \text{-psdi and } H_*(R/P) \text{ contains a nonzero idempotent element}\}$  for all  $R \in \underline{\mathbb{R}}$ .

### The Brown–McCoy radical

It is well known that the *Brown–McCoy radical* for rings is the upper radical  $\mathcal{G}$  determined by the class of simple rings with unity. An ideal  $P$  of a ring  $R$  is called modular if the factor ring  $R/P$  has a unity. Clearly,  $R/P$  is a simple ring with unity if and only if  $P$  is a maximal modular ideal of  $R$ . An involution ring  $R$  will be called  *$*$ -simple* if  $R$  has no  $*$ -ideals except  $\{0\}$  and  $R$ .

**Lemma 3.11** ([7] Lemma 2.13.23). *Let  $R \in \underline{\mathbb{R}}$ . Let  $R$  is  $*$ -simple if and only if there exists a maximal ideal  $P$  of  $R$  such that  $P \cap P^* = 0$ .*

**Theorem 3.12.** *Let  $R \in \underline{\mathbb{R}}$ . Then the following are equivalent:*

- (a)  *$R$  is  $*$ -simple with unity.*
- (b) *There exists a modular maximal ideal  $P$  of the ring  $R$  such that  $P \cap P^* = 0$ .*
- (c) *Either  $R$  is a simple ring with unity or  $R$  is  $*$ -isomorphic to  $S \oplus S^{\text{op}}$  with the exchange involution, where  $S$  is a simple ring with unity.*

PROOF. (a)  $\implies$  (b): Since  $R$  is  $*$ -simple, by Lemma 3.11 there exists a maximal ideal  $P$  of the ring  $R$  such that  $P \cap P^* = 0$ . Let  $e$  be the identity of  $R$ . Then  $e + P$  is the identity of  $R/P$ . Hence  $P$  is modular.

(b)  $\implies$  (c): Let  $P$  be a modular maximal ideal of the ring  $R$  such that  $P \cap P^* = 0$ . If  $P = 0$ , then  $R$  is a simple ring with unity. Suppose  $P \neq 0$ . Then  $P \neq P^*$ , whence  $P \subset P + P^*$ . By maximality of  $P$ ,  $R = P + P^*$ . It follows that  $R$  is isomorphic to  $P \oplus P^* \cong P \oplus P^{\text{op}}$ . The isomorphism  $\alpha : R \rightarrow P \oplus P^*$  is given by  $\alpha(x) = (p, q)$ , where  $p$  and  $q$  are the unique elements of  $P$  and  $P^*$  respectively such that  $x = p + q$ . Then  $(\alpha(x))^* = (p, q)^* = (q^*, p^*) = \alpha(x^*)$ . Hence  $\alpha$  is a  $*$ -isomorphism of  $R$  onto  $P \oplus P^*$ . Now  $P$  is isomorphic to  $R/P^*$ , which is a simple ring with unity since  $P^*$  is a modular maximal ideal of  $R$ . Hence,  $P$  is a simple ring with unity, as required.

(c)  $\implies$  (a): If  $R$  is a simple ring with unity, it is  $*$ -simple. If  $R = S \oplus S^{\text{op}}$  where  $S$  is a simple ring with unity, then the  $*$ -ideals of  $R$  are of the form  $A \oplus A^{\text{op}}$ , where  $A \triangleleft S$ . Since  $S$  is simple,  $R$  is  $*$ -simple. Since  $S$  has a unity, so does  $S^{\text{op}}$ . Hence  $R$  has a unity.

In view of Theorem 3.11, and the fact that  $\mathcal{G}$  is clearly symmetric, we have:

**Proposition 4.9.**  $\mathcal{G}(R) = \bigcap \{P \triangleleft R \mid R/P \text{ is } * \text{-simple with unity}\}$  for all  $R \in \underline{\mathbb{R}}$ .

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