

## Modules that are finite sums of simple submodules

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A module  $M$  over a commutative ring  $R$  is said to be completely reducible [4, p. 167] if each submodule of  $M$  is a direct summand of  $M$ . Each submodule of a completely reducible module is completely reducible, and in a completely reducible module, the following five conditions are equivalent:

- (1)  $M$  is Noetherian.
- (2)  $M$  is Artinian.
- (3)  $M$  has finite length.
- (4)  $M$  is a finite sum of simple submodules, where a module  $N$  is *simple* if  $(0)$  and  $N$  are the only submodules of  $N$ .
- (5)  $M$  is a finite direct sum of simple submodules.

A finite sum of simple modules is completely reducible [4, p. 168], and hence each submodule of a finite sum of simple modules is again a finite sum of simple modules. It is the purpose of this paper to consider the following question.

*If each proper submodule of  $M$  is a finite sum of simple modules, is  $M$  a finite sum of simple modules?*

We prove that the answer to the preceding question is negative, and in Theorem 1 we determine, to within isomorphism, those modules for which each the proper submodule is a finite sum of simple modules. First we need a description of the simple  $R$ -modules; if  $X$  is a nonempty subset of an  $R$ -module  $M$ , then  $\text{Ann}(X)$  denotes the annihilator of  $X$  — that is,  $\text{Ann}(X) = \{r \in R \mid rx = 0 \text{ for each } x \text{ in } X\}$ . The following result is well known [4, p. 133].

**Result 1.** *A nonzero commutative ring  $R$  has no ideals other than  $(0)$  and  $R$  if and only if either  $R$  is the zero ring on a cyclic group of prime order or  $R$  is a field.*

There is an analogue of Result 1 for noncommutative rings [3; Exercise 2. p. 101]:

*A nonzero associative ring  $S$  has only two right ideals,  $(0)$  and  $S$ , if and only if either  $S$  is the zero ring on a cyclic group of prime order or  $S$  is a division ring.*

Result 1 enables us to determine all nonzero simple modules over a ring  $R$ .

**Proposition 1.** *Let  $M$  be a nonzero module over a commutative ring  $R$ . In order that  $M$  be simple, it is necessary and sufficient that one of the following conditions (1) or (2) is satisfied:*

(1) *Scalar multiplication is trivial and the additive group of  $M$  is cyclic of prime order.*

(2) *Scalar multiplication is not trivial,  $R/\text{Ann}(M)$  is a field, and  $M$  is a one-dimensional vector space over  $R/\text{Ann}(M)$  under the induced scalar multiplication.*

PROOF. It is clear that  $M$  is simple if (1) or (2) is satisfied. We show that if  $M$  is simple and (1) fails, then condition (2) is satisfied. Since (1) fails, scalar multiplication is nontrivial, for the only nonzero simple abelian groups are those of prime order. Thus, let  $m$  be an element of  $M$  such that  $\text{Ann}(m) \neq R$ . Since  $M$  is simple,  $M = Rm$  and the mapping  $r \rightarrow rm$  is an  $R$ -module homomorphism of  $R$  onto  $M$  with kernel  $\text{Ann}(M)$ . Therefore  $R/\text{Ann}(M)$  and  $M$  are isomorphic  $R$ -modules as well as isomorphic  $(R/\text{Ann}(M))$ -modules. It then follows from Result 1 that  $R/\text{Ann}(M)$  is a field and that  $M$  is a one-dimensional vector space over  $R/\text{Ann}(M)$ .

We note that in seeking to determine conditions under which each proper submodule of an  $R$ -module  $M$  is a finite sum of simple modules, there is no loss of generality in assuming that  $R$  has an identity element and  $M$  is unitary, for if  $R^*$  is the ring obtained from  $R$  by canonically adjoining an identity of characteristic 0 (see [1] or [2; p. 5]), then  $M$  is uniquely a unitary  $R^*$ -module in such a way that the scalar multiplication between elements of  $R$  and elements of  $M$  is retained [2; Exercise 4, p. 9], and under this multiplication the  $R$ -submodules of  $M$  are the same as the  $R^*$ -submodules of  $M$ . Hence in our statement of Theorem 1 we assume that  $R$  has an identity element and  $M$  is unitary.

**Theorem 1.** *Assume that  $R$  is a commutative ring with identity and  $M$  is a unitary  $R$ -module. If each proper submodule of  $M$  is a finite sum of simple submodules of  $M$ , then either (1)  $M$  is a finite sum of simple submodules, or (2)  $M$  is cyclic,  $R/\text{Ann}(M)$  is a local ring with maximal ideal  $P/\text{Ann}(M)$ , and  $P^2 \subseteq M$ . Conversely, each proper submodule of  $M$  is a finite sum of simple submodules if (1) or (2) is satisfied.*

PROOF. We assume that  $M$  is nonzero and that each proper submodule of  $M$  is a finite sum of simple submodules of  $M$ . Thus if  $N$  is a nonzero proper submodule of  $M$ , then  $N = S_1 + \dots + S_r$ , where each  $S_i$  is nonzero and simple. Proposition 1 implies that  $P_i = \text{Ann}(S_i)$  is a maximal ideal of  $R$  for each  $i$ , and hence  $\text{Ann}(N) = \bigcap_1^r P_i$  is a finite intersection of maximal ideals of  $R$ . Let  $\{P_\alpha\}$  be the family of maximal ideals of  $R$ , and for each  $\alpha$ , let  $N_\alpha$  be the submodule of  $M$  consisting of elements of  $M$  annihilated by  $P_\alpha$ . As we have just observed,  $\sum N_\alpha$  contains each proper submodule of  $M$ . Hence, if  $M$  is not cyclic, then  $M = \sum N_\alpha$ . We show that this implies that  $M$  is a finite sum of simple submodules; we consider separately the cases where exactly one, or more than one, of the submodules  $N_\alpha$  is nonzero.

*Case I.*  $M = N_\alpha$  for some  $\alpha$ . Then to within isomorphism,  $M$  is a vector space over  $R/P_\alpha$ . Since each proper subspace of  $M$  is finite-dimensional,  $M$  itself is finite-dimensional. Thus  $M$  is a finite sum of simple submodules.

*Case II.*  $M \supset N_\alpha$  for each  $\alpha$ . We choose  $\alpha$  so that  $N_\alpha \neq (0)$  and we show that  $M = N_\alpha \oplus \sum_{\beta \neq \alpha} N_\beta$ . Thus if  $m \in N_\alpha \cap (\sum N_\beta)$ , then for some finite set  $\{\beta_i\}_1^n$  of elements  $\beta \neq \alpha$ ,  $m \in N_\alpha \cap (N_{\beta_1} + \dots + N_{\beta_n})$ ; hence  $P_\alpha m = 0 = (P_{\beta_1} \cap \dots \cap P_{\beta_n})m$ , and since

$P_\alpha + (P_{\beta_1} \cap \dots \cap P_{\beta_n}) = R$ ,  $Rm = 0$  and  $m = 0$ . It follows that  $N_\alpha$  and  $\sum_{\beta \neq \alpha} N_\beta$  are proper submodules of  $M$ , and hence are finite sums of simple submodules. Consequently,  $M$  is a finite sum of simple submodules.

We have proved that condition (1) is satisfied if  $M$  is not cyclic. If  $M$  is cyclic, then as in the proof of Proposition 1,  $M$  is isomorphic, as an  $R$ -module or as an  $(R/\text{Ann}(M))$  module, to  $R/(\text{Ann}(M))$ . Hence to complete the proof of the first half of Theorem 1, we consider a ring  $S$  with identity such that each proper ideal of  $S$  is a finite sum of minimal ideals of  $S$ , and we seek to prove that either  $S$  is a finite sum of minimal ideals or  $S$  is local with nonzero maximal ideal  $P$  such that  $P^2 = (0)$ . If  $S$  has more than one maximal ideal, then  $S$  can be written as the sum of two proper ideals, and hence  $S$  is a finite sum of minimal ideals. Assume that  $S$  is quasi-local with maximal ideal  $P$ . Since  $P$  has finite length as an  $S$ -module,  $S$  also has finite length, so  $S$  is Noetherian; that is,  $S$  is a local ring. We have already observed that the annihilator of  $xS$ , for each nonzero element  $x$  of  $P$ , is a finite intersection of maximal ideals of  $S$ , and hence is  $P$ ; consequently  $P^2 = (0)$ . Thus either  $P = (0)$ ,  $S$  is a field, and  $S$  is a finite sum of minimal ideals, or we have the second of the desired conditions satisfied.

We turn to a proof of the converse, which is quite easy. As previously remarked, (1) implies that each submodule of  $M$  is a finite sum of simple submodules, and if (2) is satisfied, then we need only observe that each proper ideal of the local ring  $R/\text{Ann}(M)$  is a finite sum of minimal ideals. Thus  $P/\text{Ann}(M)$  has this property, for  $P$  is finitely generated and  $P^2 \subseteq \text{Ann}(M)$  so that  $P/\text{Ann}(M)$  is a finite-dimensional vector space over the field  $R/P$ . Since each proper ideal of  $R/\text{Ann}(M)$  is contained in  $P/\text{Ann}(M)$ , it follows that each proper ideal of  $R/\text{Ann}(M)$  is a finite sum of minimal ideals, and our proof of Theorem 1 is complete.

We observe that if  $R$  is a local ring with nonzero maximal ideal  $P$  such that  $P^2 = (0)$ , then each proper submodule of the  $R$ -module  $R$  is a finite sum of simple submodules, while  $R$  itself is not completely reducible; moreover, both  $P$  and  $R/P$  are finite sums of simple modules in this case. Thus the answer to the question posed at the beginning of the paper is negative. We conclude with a positive result concerning completely reducible modules.

**Proposition 2.** *Assume that the  $R$ -module  $M$  is the sum of a finite family  $\{M_i\}_1^n$  of submodules. If each  $M_i$  is completely reducible, then so is  $M$ .*

Before embarking upon the proof, we establish a lemma that allows us to reduce to the case where  $M$  is the direct sum of the family  $\{M_i\}_1^n$ .

**Lemma 1.** *If  $\{M_i\}_1^n$  is a finite family of completely reducible submodules of an  $R$ -module  $M$ , then  $\sum_1^n M_i$  is the direct sum of a finite family of completely reducible submodules.*

**PROOF.** It suffices to consider the case  $n=2$ . The submodule  $M_1 \cap M_2$  is a direct summand of  $M_1$ , say  $M_1 = N_1 \oplus (M_1 \cap M_2)$ . It then follows that  $M_1 + M_2 = N_1 \oplus M_2$ , where  $N_1$ , as a submodule of  $M_1$ , is completely reducible.

**PROOF OF PROPOSITION 2.** By Lemma 1 and induction, it suffices to show that each submodule  $N$  of  $M_1 \oplus M_2$  is a direct summand of  $M_1 \oplus M_2$ . We consider

first the case where  $N \cap M_1 = N \cap M_2 = (0)$ . If  $\varrho$  is the projection map of  $M_1 \oplus M_2$  onto  $M_1$ , then  $\varrho(N)$  is a direct summand of  $M_1$  — say  $M_1 = \varrho(N) \oplus U$ ; we prove that  $M_1 \oplus M_2 = N \oplus U \oplus M_2$ . If  $m_1 \in M_1$  and if  $m_1 = \varrho(n) + u$  is the decomposition of  $m_1$  with respect to the direct decomposition  $\varrho(N) \oplus U$  of  $M_1$ , then  $m_1 = n + u_1 + (\varrho(n) - n)$ , where  $\varrho(n) - n \in M_2$ , and hence  $M_1 \oplus M_2 = N + U + M_2$ . To show that the sum  $N + U + M_2$  is direct, we need only prove that  $N \cap (U + M_2) = (0)$ . Thus if  $n = u + m_2$  is in  $N \cap (U + M_2)$ , where  $u \in U$  and  $m_2 \in M_2$ , then  $\varrho(n) = u \in \varrho(N) \cap U = (0)$  and  $n = m_2 \in N \cap M_2 = (0)$ .

In the general case,  $N \cap M_i$  is a direct summand of  $M_i$ , say  $M_i = (N \cap M_i) \oplus N_i$ . Then  $M_1 \oplus M_2 = (N \cap M_1) \oplus (N \cap M_2) \oplus N_1 \oplus N_2$  and  $N = (N \cap M_1) \oplus (N \cap M_2) \oplus [N \cap (N_1 \oplus N_2)]$ . The modules  $N_i$  are completely reducible and  $N \cap (N_1 \oplus N_2)$  is such that  $[N \cap (N_1 \oplus N_2)] \cap N_i = N \cap N_i = N \cap (N_i \cap M_i) = (N \cap M_i) \cap N_i = (0)$ . By the case previously considered,  $N_1 \oplus N_2 = [N \cap (N_1 \oplus N_2)] \oplus N_3$  for some submodule  $N_3$ . Therefore  $M_1 \oplus M_2 = N \oplus N_3$ , and this completes the proof of Proposition 2.

### References

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