

## Categories of relations with standard factorizations

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§ 1. *Introduction.* In [10], D. PUPPE calls a category  $K$  an  $I$ -category (“Kategorie von Korrespondenzen” in [2] and [3], § 6.6, also called category of relations in [1], “catégorie ordonnée” in [6] or “catégorie à involution” in [7]) if the following conditions are fulfilled:

(a) For each pair of objects  $A, B$ , the set of morphisms  $K(A, B)$  is partially ordered and the partial order is compatible with the composition, i.e.  $f_1 \subset f_2 \Rightarrow f_1 g \subset f_2 g$ .

(b) There exists a contravariant functor  $\# : K \rightarrow K$  such that

$$(b1) (fg)^\# = g^\# f^\#;$$

$$(b2) f^{\#\#} = f;$$

$$(b3) A^\# = A;$$

$$(b4) f_1 \subset f_2 \Rightarrow f_1^\# \subset f_2^\#.$$

D. Puppe has defined a relation from the object  $A$  to the object  $B$  of an abelian category  $\mathcal{A}$  as a subobject of  $A \oplus B$  and has given (§ 2 in [10]) a construction of the category  $K(\mathcal{A})$  of relations over  $\mathcal{A}$  (“die  $I$ -Kategorie  $K(\mathcal{A})$  der Korrespondenzen über einer abelschen Kategorie  $\mathcal{A}$ ”) which satisfies the required (a) and (b) conditions. He has imposed a set of six axioms K1—K6 on a category of relations which give a characterization up to isomorphism of the category  $K(\mathcal{A})$  of relations over  $\mathcal{A}$ .

In a previous paper [8], S. MACLANE considered a special sort of categories of relations, namely “partially ordered categories”; a partially ordered category  $\mathcal{B}$  in the sense of MacLane is defined by three groups of axioms (I), (II), (III) (see [8]), from which we give here only the first one:

(I-a) To each morphism  $f: A \rightarrow B$  there is a unique morphism  $f^\#: B \rightarrow A$  with  $f^{\#\#} = f = ff^\#f$ ,  $(fg)^\# = g^\#f^\#$ .

(I-b) Each set  $\text{Rel}(A, B)$  of morphisms  $f: A \rightarrow B$  is a modular lattice under a partial order “ $\subset$ ” such that, for  $f, g: A \rightarrow B$ ,  $g \subset f$  implies  $g^\# \subset f^\#$ ,  $gh \subset fh$ .

The axioms (a), (b) and K1—K5 due to D. Puppe, imply all axioms from MacLane [8] and are clearly *more restrictive than the latter*, according to [10] § 6 (we must exclude the condition of modularity for the lattice  $\text{Rel}(A, B)$  from I-b).

In the preceding paper [14] we have shown that a partially ordered category  $\mathcal{B}$  can be embedded in a category of relations  $\bar{\mathcal{B}}$  in which every map of  $\mathcal{B}$  has a kernel and cokernel.

In our present paper we show that if we require besides axioms (I), (II), (III) of MacLane a natural condition of standard factorization of the morphisms, then we obtain a system equivalent to (a), (b) and K1–K5 from [10]. Moreover, this axiom of decomposition clearly valid for relations over an abelian category, allows us to cancel from (I-a) the equalities  $f^{*\#} = f = ff^*f$ , so that in the set of formal axioms such obtained these conditions of involution and regularity become theorems which we can prove ( $f = ff^*f$  is regular in the sense of VON NEUMANN or “difonctionelle” according to RIGUET [11]).

We note that the morphisms of a category of relations which satisfy simultaneously  $ff^* \subset 1$  and  $f^*f \supset 1$  are called maps (in [1], “Abbildungen” in [2], “eigentliche Morphismen” in [10], “graphs” in [8]). The reason for this terminology can be seen clearly from the typical examples of categories of relations given by Puppe and Brinkmann (in [10], [1], [3]), RS, RG, RAb, RMod: the objects  $A, B, C, \dots$  are all sets, all groups, abelian groups, left modules over a fixed ring, respectively; the set of morphisms from  $A$  to  $B$  is the set of all relations  $R \subset A \times B$  for RS and the set of all homomorphical relations  $R \subset A \oplus B$  for RG, RAb, RMod.

Homomorphic relations have been first studied apparently by J. LAMBEK in [5], defined as subalgebras of the direct product  $A \times B$  of two similar algebras (also [4]); submodules  $R \subset A \oplus B$  of the direct product of two modules are called additive relations in [8] and [9], “Korrespondenzen” in [10] and [3] and linear relations in our paper [13]; homomorphic relations between vector spaces are called linear relations in [12].

We have mentioned above that the axioms (a), (b), K1–K6 due to Puppe characterize the category  $K(\mathcal{A})$  of relations over an abelian category  $\mathcal{A}$ ; let us recall here that if  $K$  is a category which satisfies only axioms (a), (b), K1–K3 (called pseudoexact category of relations, in [1] and [3]) then the subcategory of maps is merely exact and determines in the same way  $K$  up to isomorphism (we speak of exactness in the sense of MITCHELL, see [3], no addition is required as in the exact categories of BUCHSBAUM).

If we examine all the axioms imposed on a partially ordered category  $\mathcal{B}$ , the latter appears to satisfy essential properties of a bimodular category (“catégorie bimodulaire” [7]), all valid in the standard model RMod; but these axioms do not suffice to characterize the relations in an abelian category (Beispiel  $A$  in § 7 from [10]), so that it seems us natural to complete the system (I), (II), (III) in order to obtain a supplemented system equivalent to (a), (b) and K1–K6 (more precisely to (a), (b) and K1–K5).

## § 2. Category of relations with standard factorizations.

*Definition.* A category  $R$  is called a category of relations with standard factorizations if the following axioms are satisfied:

(I') 1. Each  $R(A, B)$  is a lattice under a partial order relation  $\subset$  such that, for  $f, g \in R(A, B)$ ,  $g \subset f$  implies  $gh \subset fh$  whenever the composites are defined.

2. There is a lattice isomorphism  $\#: R(A, B) \rightarrow R(B, A)$  for each pair of objects  $A, B$  such that  $(fg)\# = g\#f\#$ .

(IV) Every morphism  $f \in R(A, B)$  may be expressed in the form  $f = m_2 e_2\# m_1\# e_1$

where  $m_i$  are faithful maps and  $e_i$  onto maps in  $R$ ; in this decomposition of  $f$  each factor is uniquely determined up to isomorphism.

*Remark.* We say that a morphism  $f \in R(A, B)$  is

- a) universally-defined iff  $f^* f \supset 1_A$ ;
- b) single-valued iff  $f f^* \subset 1_B$ ;
- c) faithful iff  $f^* f \subset 1_A$ ;
- d) onto iff  $f f^* \supset 1_B$ .

$f$  is called a map iff it is universally defined and single-valued.

**Theorem 1.** *In a category of relations with standard factorizations,  $*$  is involutive ( $f^{**} = f$ ) and each morphism is regular in the sense of von Neumann.*

**PROOF.** Let  $A$  be an arbitrary object of  $R$  and  $1_A$  the corresponding identity. Since  $*$ :  $R(A, A) \rightarrow R(A, A)$  is a lattice isomorphism, there is a unique  $f \in R(A, A)$  such that  $f^* = 1_A$ . Then we have

$$1_A^* 1_A = 1_A^* f^* = (f 1_A)^* = f^* = 1_A$$

and also

$$1_A^* 1_A = 1_A^*, \text{ hence } 1_A^* = 1_A.$$

Let now  $m$  be a faithful map in  $R$ , that is  $m^* m = 1$ ,  $mm^* \subset 1$ . We prove that  $m^{**} = m$ , by observing that  $(m^* m)^* = 1^*$  and then applying the above result  $m^* m^{**} = 1$  which implies  $mm^* m^{**} = m$ , hence  $m = (mm^*) m^{**} \subset m^{**}$ ; conversely,

$$m^{**} = m^{**} (m^* m) = (m^{**} m^*) m = (mm^*)^* m \subset 1^* m = m.$$

Let next  $e$  be an onto map in  $R$ , that is  $e^* e \supset 1$ ,  $ee^* = 1$ . The proof of the equality  $e^{**} = e$  is exactly dual to that of  $m^{**} = m$ , in the sense that all inclusions are reversed and the order of composition is changed.

Finally, if  $f$  is any morphism of  $R$  which can be factored as  $f = m_2 e_2^* m_1^* e_1$ , then

$$\begin{aligned} f^{**} &= (m_2 e_2^* m_1^* e_1)^{**} = (e_1^* m_1^* e_2^* m_2^*)^* = (e_1^* m_1 e_2 m_2^*)^* = \\ &= m_2^* e_2^* m_1^* e_1^{**} = m_2 e_2^* m_1^* e_1 = f. \end{aligned}$$

There is no difficulty in proving  $ff^* f = f$ , as

$$\begin{aligned} ff^* f &= (m_2 e_2^* m_1^* e_1) (e_1^* m_1 e_2 m_2^*) (m_2 e_2^* m_1^* e_1) = \\ &= (m_2 e_2^* m_1^*) (e_1 e_1^*) (m_1 e_2) (m_2^* m_2) (e_2^* m_1^* e_1) = \\ &= (m_2 e_2^* m_1^*) (m_1 e_2) (e_2^* m_1^* e_1) = (m_2 e_2^*) (m_1^* e_1). \end{aligned}$$

*Corollary.* In a category of relations with standard factorizations which satisfies also (II-a, b, c) and (III) from [8], all axioms due to MacLane are valid (again: we must exclude the condition of modularity from I-b).

Indeed, Theorem 1 shows that (I-a) is satisfied; obviously, (I-b) except the condition of modularity for the lattice  $R(A, B)$ , is contained in (I'). According to Puppe [10], § 6.1, the condition (II-d) from [8] can be proved using (I) and (II-a, b).

*Remark.* Clearly, the preceding corollary shows that the system of axioms (I'), (II), (III), (IV) is equivalent to (I), (II), (III), (IV) minus the condition of modularity from (I-b).

§ 3. *Categories of relations with standard factorizations which satisfy axiom (III) of MacLane.*

Let  $R$  be a category of relations with standard factorizations and denote by  $MR$  the subcategory of maps.

*Lemma.* *Suppose  $R$  satisfies also (III) from [8]; then there is a system of null morphisms in  $MR$ .*

*PROOF.* Denote by  $\omega_{AB}$  the least element from  $R(A, B)$  for each pair of objects  $A, B$  of  $R$ ; similarly, denote by  $\Omega_{AB}$  the greatest element from  $R(A, B)$  (there exist such morphisms since (III) holds).

For given objects  $A, B, C$  we put  $O_{AB} = \omega_{CB}\Omega_{AC} \in R(A, B)$ . Needless to say that  $O_{AB}$  depends only on  $A$  and  $B$  and not on  $C$ , as (III—2) is valid.  $O_{AB}$  is a map:

$$O_{AB}O_{AB}^{\#} = \omega\Omega\Omega^{\#}\omega^{\#} = \omega\Omega\Omega\omega = \omega\Omega\omega = \omega \subset 1.$$

$$O_{AB}^{\#}O_{AB} = \Omega^{\#}\omega^{\#}\omega\Omega = \Omega\omega\Omega = \Omega \supset 1.$$

For every  $f \in MR(B, D)$  we have

$$fO_{AB} = f\omega_{CB}\Omega_{AC} \supset \omega_{BD}\omega_{CB}\Omega_{AC} = \omega_{CD}\Omega_{AC} = O_{AD}$$

and also

$$fO_{AB} = f\omega_{CB}\Omega_{AC} = f\omega_{DB}\omega_{CD}\Omega_{AC} \subset ff^{\#}\omega_{CD}\Omega_{AC} \subset 1_D\omega_{CD}\Omega_{AC} = O_{AD}.$$

Thus  $fO_{AB} = O_{AD}$ , as required. Dually, using  $f^{\#}f \supset 1_E$  for all  $f \in MR(E, A)$ , we have  $O_{AB}f = O_{EB}$ .

**Theorem 2.** *Let  $R$  be a category of relations with standard factorizations which satisfies (III).*

a) *every map has a kernel and a cokernel in the subcategory  $MR$ .*

b)  *$m \in MR(X, A)$  is a kernel in  $MR$  of the map  $f \in MR(A, B)$  iff  $\underline{m}$  is faithful and  $mm^{\#} = 1_A \cap f^{\#}\omega_{BB}f$ .*

c)  *$e \in MR(B, Y)$  is a cokernel in  $MR$  of the map  $f \in MR(A, B)$  iff  $\underline{e}$  is onto and  $e^{\#}e = 1_B \cup f\Omega_{AA}f^{\#}$ .*

*PROOF.* a<sub>1</sub>) Let  $s = 1_A \cap f^{\#}\omega_{BB}f \subset 1_A$  have the factorization  $s = m_2e_2^{\#}m_1^{\#}e_1$ . Consider  $m = m_2e_2^{\#} \in R(X, A)$ ; the result will now follow from the fact that  $m$  is a faithful map with  $mm^{\#} = s$ .

Indeed,  $mm^{\#} = ss^{\#} = s \subset 1_A$  and  $m^{\#}m = (e_2m_2^{\#})(m_2e_2^{\#}) = e_2e_2^{\#} = 1_X$ .

a<sub>2</sub>) Now  $m^{\#}m = 1_X$ , hence  $m$  is a monomorphism in  $R$ ; we have also

$$\begin{aligned} fm &= f(mm^{\#}m) = f(mm^{\#})m = f(1_A \cap f^{\#}\omega_{BB}f)m \subset f(f^{\#}\omega_{BB}f)m = \\ &= (ff^{\#})\omega_{BB}fm \subset \omega_{BB}fm \subset \omega_{BB}\Omega_{AB}\Omega_{XA} = \omega_{BB}\Omega_{XB} = O_{XB}, \end{aligned}$$

hence  $fm = O_{XB}$  (if  $f_1 \subset f_2$  are maps, then  $f_1 = f_2!$ ).

Finally, we show that  $fn = O_{YB}$  for  $n \in MR(Y, A)$  implies  $n = mx$  for some  $x \in MR(Y, X)$ . Take  $x = m^*n$ ;  $fn = O_{YB}$  implies

$$\begin{aligned} nn^* &= (f^*f)nn^* = f^*O_{YB}n^* = f^*\omega_{BB}(\omega_{BB}\Omega_{YB})n^* = f^*\omega_{BB}(fn)n^* = \\ &= (f^*\omega_{BB}f)(nn^*) \subset f^*\omega_{BB}f; \end{aligned}$$

then

$$\begin{aligned} x^*x &= (m^*n)^*(m^*n) = n^*(mm^*)n = n^*(1_A \cap f^*\omega_{BB}f)n \supset n^*(nn^*)n = \\ &= n^*(nn^*n) = n^*n \supset 1_Y \end{aligned}$$

and

$$xx^* = (m^*n)(m^*n)^* = (m^*n)(n^*m) \subset m^*m = 1_X,$$

so we conclude that  $x$  is a map; it remains to note that

$$mx = mm^*n \subset 1_A n = n \text{ implies } mx = n.$$

a<sub>3</sub>) Dually, one can prove that if  $q = 1_B \cup f\Omega_{BB}f^* = m_2e_2^*m_1^*e_1$ , then  $e = e_2m_2^*$  is an onto map and it is a cokernel in  $MR$  for  $f$ .

b) By a<sub>2</sub>) if  $m$  is a faithful map with  $mm^* = 1_A \cap f^*\omega_{BB}f$ , then  $m$  is a kernel of  $f$  in  $MR$ . Conversely, if  $n$  is a kernel of  $f$  in  $MR$ , then by a) there exist a kernel  $m$  of  $f$  with  $mm^* = 1_A \cap f^*\omega_{BB}f$  and we have necessarily  $n = mi$ , where  $i$  is an isomorphism. Now  $ii^{-1} = 1$ ,  $i^{-1}i = 1$  imply

$$i^*i = (i^{-1}i)i^*i = i^{-1}(ii^*i) = i^{-1}i = 1$$

and

$$ii^* = ii^*(ii^{-1}) = (ii^*i)i^{-1} = ii^{-1} = 1,$$

i.e.  $i$  is a faithful and onto map. Therefore  $n = mi$  as the composite of two faithful maps is also a faithful map and

$$nn^* = mi(mi)^* = mii^*m = mm^* = 1_A \cap f^*\omega_{BB}f.$$

c) The proof is dual to that of b).

**Corollary.** *If a non-empty category  $R$  of relations with standard factorizations satisfies (III) then K1 and K4 from [10] are valid.*

Indeed  $1_A \in R(A, A)$  is an identity and  $m \in MR(X, A)$  its kernel in  $MR$ , so  $X$  is a null object in  $MR$ ; moreover, (III) assures that  $X$  satisfies all conditions from K1. Clearly, K4 is contained in (I').

§ 4. **Theorem 3.** *In a non-empty category of relations with standard factorizations which satisfies also the conditions (II-a, b, c) and (III) from [8], axioms K1, K2, K3, K4, K5 due to Puppe are all valid.*

**PROOF.** Axioms K1 and K4 are fulfilled according to the corollary of Theorem 2.

Axiom K2 is also valid; by the corollary of Theorem 1 all conditions (I), (II), (III) of Mac Lane are fulfilled, so that one can prove the validity of K2 (see [10], § 6, 3, this proof makes use essentially of II-c!).

The proof of K3-a; for  $u \in R(O, A)$  one can construct, just as in a<sub>1</sub>) from the proof of Theorem 2, a faithful map  $m \in MR(X, A)$  with  $mm^* = s = uu^* \cap 1_A \subset 1_A$ ; then

$$m\Omega_{OX} \supset mm^*u = (uu^* \cap 1_A)u = (\text{II-a})u$$

and

$$m\Omega_{OX} = mm^*m\Omega_{OX} \subset uu^*m\Omega_{OX} = u1_O = u, \text{ so that } m\Omega_{OX} = u.$$

The proof of K3-b: for  $u \in R(O, A)$  one can construct, as in a<sub>3</sub>) from the proof of Theorem 2, an onto map  $e \in MR(A, Y)$  with  $e^\# e = q = 1_B \cup uu^\# \supset 1_B$ ; then

$$e^\# \omega_{OY} \subset e^\# eu = (1_B \cup uu^\#)u = (\text{II-b})u$$

and

$$e^\# \omega_{OY} = e^\# ee^\# \omega_{OY} \supset uu^\# e^\# \omega_{OY} = u1_O = u, \text{ so that } e^\# \omega_{OY} = u.$$

For axiom K5; if K1, K2, K3, K4 are fulfilled K5 is equivalent to (II-a)+(II-b) (see [10], § 6.10).

**Corollary.** *The system of axioms (I'), (II), (III), (IV) is equivalent to conditions (a), (b) from the Introduction together with axioms K1 → K5 of D. PUPPE.*

PROOF. Clearly, by Theorem 3, (I'), (II-a, b, c), (III), (IV) imply (a), (b), K1 → K5.

Conversely, (a), (b), K1 → K5 ⇒ (I-a, b), (II-a, b, c), (III) according to Puppe and (I-a, b) ⇒ (I').

Theorem 4.7 from [10] shows that if we have (a), (b), K1, K2, K3, then every morphism  $f$  can be factored as  $f = m_1^\# e_2 m_2 e_1^\#$ , where  $m_i$  are I—D—K regular and  $e_i$  are I—D—B regular; but I—D—K regularity is equivalent to the definition of faithful maps and I—D—B regularity is equivalent to the definition of onto maps (1.18 in [10]); hence if we take the factorization of  $f^\#$  in the form  $f^\# = \bar{m}_1^\# \bar{e}_2 \bar{m}_2 \bar{e}_1^\#$ , we obtain  $f = \bar{e}_1 \bar{m}_2^\# \bar{e}_2^\# \bar{m}_1$  as in axiom (IV).

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(Received March 5, 1976.)