

## Matric criteria for the uniqueness of basis number and the equivalence of algebras over a ring.

Dedicated to the memory of Tibor Szele.

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### 1. Introduction.

C. J. EVERETT [1] has proved that a right free  $R$ -module over a ring  $R$  with identity has a unique basis number if the right ideals of  $R$  satisfy the ascending chain condition. By giving a symmetric form of one of EVERETT's theorems, we derive in Sec. 2, Theorem 2, a necessary and sufficient condition for the uniqueness of the basis number of a left (right) free  $R$ -module. By using results of BAER [2] and MCCOY [3], we prove that any one of the following conditions on  $R$  is sufficient for the uniqueness of the basis number.

1.  $R$  satisfies the ascending chain condition either for right or for left ideals.

2.  $R$  is commutative.

Since  $R$  has an identity, the descending chain conditions imply the ascending chain conditions.

In Sec. 3, algebras over a non-commutative ring are discussed, and a necessary and sufficient condition (Theorem 5) is obtained for equivalence in terms of a matrix transformation of the multiplication tables of the algebras. In Sec. 4, matrix conditions for the classification of groupoids are given and a necessary and sufficient condition for isomorphism (Theorem 9) in the form of a matrix transformation of the multiplication tables is derived.

### 2. Units for rectangular matrices.

Let  $R \neq 0$  be a ring with identity 1. We denote by  ${}_mR_n$  the set of all  $m \times n$  matrices and by  $R_n$  the set of all  $n \times n$  matrices with elements in  $R$ .

DEFINITION. A matrix  $A \in {}_mR_n$  is a *unit* if there exists a matrix  $B \in {}_nR_m$  such that  $AB = I_m$  and  $BA = I_n$ . We call  $B$  an *inverse* of  $A$ .

Let  $A$  be a unit in  ${}_mR_n$  with inverse  $B$ . Then if either  $AX=I_m$  or  $XA=I_n$  for  $X \in {}_nR_m$ , it follows that  $X=B$ . In particular, a unit has a unique inverse.

**DEFINITION.** A ring  $R$  is *regular for  $n$*  if  $C, D \in R_n$  and  $CD=I_n$  implies  $DC=I_n$ .

**Lemma 1.** *If  $R$  is regular for  $m$  and  $n$ ,  $m \neq n$ , then there are no units in  ${}_mR_n$*

**PROOF.** Assume that  $A \in {}_mR_n$  is a unit. Suppose first that  $n < m$ , and let  $B \in {}_nR_m$  be the inverse of  $A$ . Then  $A = \begin{pmatrix} A_1 \\ A_2 \end{pmatrix}$  where  $A_1 \in R_n$  and  $B = (B_1 B_2)$  where  $B_1 \in R_n$ .  $AB=I_m$  gives  $A_1B_1=I_n$ , and since  $R$  is regular for  $n$ , we have  $B_1A_1=I_n$ . But  $AB=I_m$  gives  $A_1B_2=0$  and  $A_2B_2=I_{m-n}$ . Since  $0=B_1A_1B_2=B_2$ , this contradicts  $A_2B_2=I_{m-n}$ .

Similarly, if  $m < n$ , the equation  $BA=I_n$  and the fact that  $R$  is regular for  $m$  leads to a contradiction.

By the lemma, there are no units in  ${}_mR_n$  for every  $m, n, m \neq n$  if  $R$  is regular for  $n$  for every  $n > 0$ . It follows from BAER's result ([2], p. 635), that any ring which satisfies the ascending chain condition either for left ideals or for right ideals is regular for  $n$  for every  $n > 0$ . (Since  $1 \in R$ , the descending chain condition implies the ascending chain condition).

**Lemma 2.** *If  $R$  is commutative, then there are no units in  ${}_mR_n$  for every  $m, n, m \neq n$ .*

**PROOF.** Assume that  $A \in {}_mR_n$  is a unit,  $m \neq n$ , and suppose  $n < m$ . There exists  $B \in {}_nR_m$  such that  $AB = \begin{pmatrix} A_1 \\ A_2 \end{pmatrix} (B_1 B_2) = \begin{pmatrix} A_1B_1 & A_1B_2 \\ A_2B_1 & A_2B_2 \end{pmatrix} = I_m$ , where  $A_1, B_1 \in R_n$ . Since  $A_1B_1=I_n$ ,  $A_1$  has rank  $n$ , as defined by MCCOY ([3], p. 159). For  $|A_1||B_1|=1$ , and if there exists  $a \neq 0$  in  $R$  such that  $a|A_1|=0$ , we would have  $0=a|A_1||B_1|=a$ . Now suppose  $B_2 \neq 0$ . Then there is a

column  $\begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{pmatrix} \neq \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$  of  $B_2$  such that  $A_1 \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_n \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$ , since  $A_1B_2=0$ .

But by MCCOY's theorem ([3], page 159), this implies that  $A_1$  has rank less than  $n$ , which is a contradiction. Hence  $B_2=0$ . But this contradicts  $A_2B_2=I_{m-n}$ , so that the assumption of the existence of a unit leads to contradiction.

If  $m < n$ , the assumption of the existence of a unit in  ${}_mR_n$  and the equation  $BA=I_n$ , gives a contradiction in the same way.

Lemma 2 may also be obtained as a corollary of Lemma 1 by noticing that a commutative ring is regular for  $n$  for every  $n > 0$ .

Let  $N(R)$  be a free left  $R$ -module with basis  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n$ . Throughout, we will consider left modules. There are, of course, corresponding statements of the results for right modules. A theorem of EVERETT ([1] p. 313) states that

$\begin{pmatrix} \eta_{11} \\ \eta_{12} \\ \vdots \\ \eta_{1m} \end{pmatrix} = A \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \vdots \\ \varepsilon_n \end{pmatrix}$ ,  $m < n$ , is a basis of  $N(R)$  if and only if  $A \in {}_mR_n$  satisfies

1. The  $n \times n$  matrix  $\begin{pmatrix} A \\ 0 \end{pmatrix}$  has a left inverse  $B \in R_n$ , that is  $B \begin{pmatrix} A \\ 0 \end{pmatrix} = I_n$ .

2. For  $v \in {}_1R_m$ ,  $vA = 0$  implies  $v = 0$ .

If  $n \leq m$ , conditions 1. and 2. would be replaced by

1'. The  $m \times m$  matrix  $\begin{pmatrix} A & 0 \end{pmatrix}$  has a right inverse  $B \in R_m$ , that is,  $\begin{pmatrix} A & 0 \end{pmatrix} B = I_m$ .

2'. For  $v \in {}_nR_1$ ,  $Av = 0$  implies  $v = 0$ .

A symmetric statement of EVERETT's theorem can be given in terms of the concept of a unit in  ${}_mR_n$ .

**Theorem 1.** *Let  $N(R)$  be a free  $R$ -module with basis  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n$ . Then  $\eta_1, \eta_2, \dots, \eta_m$  is a basis of  $N(R)$  if and only if there exists a unit*

$A \in {}_mR_n$  such that  $\begin{pmatrix} \eta_{11} \\ \eta_{12} \\ \vdots \\ \eta_{1m} \end{pmatrix} = A \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \vdots \\ \varepsilon_n \end{pmatrix}$ .

PROOF. Elements  $\eta_1, \eta_2, \dots, \eta_m$  are in  $N(R)$  if and only if there exists

a matrix  $A \in {}_mR_n$  such that  $\begin{pmatrix} \eta_{11} \\ \eta_{12} \\ \vdots \\ \eta_{1m} \end{pmatrix} = A \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \vdots \\ \varepsilon_n \end{pmatrix}$ . If  $\eta_1, \eta_2, \dots, \eta_m$  is a basis of

$N(R)$ , there exists  $B \in {}_nR_m$  such that  $\begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \vdots \\ \varepsilon_n \end{pmatrix} = B \begin{pmatrix} \eta_{11} \\ \eta_{12} \\ \vdots \\ \eta_{1m} \end{pmatrix}$ , and it is a conse-

quence of the definition of a basis that  $AB = I_m$  and  $BA = I_n$ . Conversely,

if  $A$  is a unit, there exists  $B \in {}_nR_m$  such that  $B \begin{pmatrix} \eta_{11} \\ \eta_{12} \\ \vdots \\ \eta_{1m} \end{pmatrix} = \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \vdots \\ \varepsilon_n \end{pmatrix}$ , so that

$\eta_1, \eta_2, \dots, \eta_m$  spans  $N(R)$ . Further, we have that

$$0 = \sum_{i=1}^m r_i \eta_i = (r_1, r_2, \dots, r_m) \begin{pmatrix} \eta_{11} \\ \eta_{12} \\ \vdots \\ \eta_{1m} \end{pmatrix} = (r_1, r_2, \dots, r_m) A \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \vdots \\ \varepsilon_n \end{pmatrix}$$

implies  $(r_1, r_2, \dots, r_m)A = 0$ . Since  $AB = I_m$ ,  $(r_1, r_2, \dots, r_m) = 0$ , so that  $\eta_1, \eta_2, \dots, \eta_m$  is a basis.

An explicit statement of the equivalence of the above result to EVERETT's theorem is given in the following lemma.

**Lemma 3.** *A matrix  $A \in {}_mR_n$  is a unit if and only if  $A$  satisfies 1. and 2. above when  $m < n$  (1' and 2' when  $n \leq m$ ).*

PROOF. The proofs are entirely similar for the two cases. We assume  $m < n$ . Let  $A$  be a unit in  ${}_mR_n$  with inverse  $B \in {}_nR_m$ . Then  $(B \ 0) \in R_n$  and  $(B \ 0) \begin{pmatrix} A \\ 0 \end{pmatrix} = BA = I_n$ , satisfying 1. If  $vA = 0$  for  $v \in {}_1R_m$ , then  $0 = vA = vAB = vI_m = v$ , so that 2. is satisfied.

Conversely, let  $A \in {}_mR_n$  satisfy 1. and 2. Then by 1.,  $I_n = B \begin{pmatrix} A \\ 0 \end{pmatrix} = (B_1 B_2) \begin{pmatrix} A \\ 0 \end{pmatrix} = B_1 A$ , where  $B_1 \in {}_nR_m$ . Further, we have  $(AB_1 - I_m)A = 0$ , and by 2. this implies  $AB_1 - I_m = 0$ . Hence  $A$  is a unit with inverse  $B_1 \in {}_nR_m$ .

Using the fact that for every ring  $R$  with identity, there exists a free  $R$ -module with  $n$  basis elements for every  $n > 0$ , the following result is an immediate consequence of Theorem 1.

**Theorem 2.** *Every free  $R$ -module with a finite basis has a unique basis number if and only if there are no units in  ${}_mR_n$  for every  $m, n, m \neq n$ .*

It is a consequence of Lemma's 1 and 2 that the only rings  $R$  for which there exists a free  $R$ -module which has a basis of  $m$  elements and  $n$  elements  $m \neq n$  are non-commutative rings which satisfy neither chain condition for left ideals or for right ideals. EVERETT ([1], p. 313) has given an example of such a module over a ring of infinite matrices.

### 3. Algebras over $R$ .

As in Sec. 2., let  $R \neq 0$  be a ring with identity, and let  $N(R)$  be a free left  $R$ -module with basis  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n$ . If  $R$  is commutative, then  $N(R)$  is an algebra over  $R$  if and only if there exist elements  $\gamma_{jk}^{(i)} \in R$  such that multiplication (denoted by  $\times$ ) in  $N(R)$  is defined by

$$(*) \quad \alpha \times \beta = \left( \sum_{i=1}^n r_i \varepsilon_i \right) \times \left( \sum_{j=1}^n s_j \varepsilon_j \right) = \sum_{k=1}^n \left( \sum_{i,j=1}^n r_i s_j \gamma_{jk}^{(i)} \right) \varepsilon_k.$$

If  $R$  is not commutative the multiplication rule  $(*)$  does not imply the identity  $\alpha \times r \beta = r(\alpha \times \beta)$  for  $\alpha, \beta \in N(R)$ ,  $r \in R$ . However the weaker identity  $\varepsilon_i \times r \varepsilon_j = r(\varepsilon_i \times \varepsilon_j)$  holds. This suggests the following definition.

DEFINITION.  $N(R)$  is a (left) algebra of order  $n$  over  $R$  if

- i)  $N(R)$  is a (left) free  $R$ -module with a finite basis  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n$ .
- ii)  $N(R)$  is a ring (not necessarily associative) with respect to module addition,  $+$ , and a multiplication,  $\times$ .

- iii)  $(r\alpha) \times \beta = r(\alpha \times \beta)$  for  $r \in R, \alpha, \beta \in N(R)$ .
- iv)  $\varepsilon_i \times (r\varepsilon_j) = r(\varepsilon_i \times \varepsilon_j)$  for  $r \in R, i, j = 1, 2, \dots, n$ .

As in the commutative case, a routine calculation yields the following theorem.

**Theorem 3.** *A free  $R$ -module  $N(R)$  with basis  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n$  is an algebra over  $R$  if and only if there exist elements  $\gamma_{jk}^{(i)} \in R$  such that multiplication is defined by (\*).*

Let  $E$  be the ring of endomorphisms of  $N(R)$  as an additive abelian group and let  $\mathfrak{L}$  be the subring of linear transformations of the  $R$ -module  $N(R)$ .  $E$  is an  $R$ -module which satisfies  $(r\varphi)\psi = r(\varphi\psi)$  for  $r \in R, \varphi, \psi \in E$ . If  $N(R)$  is an algebra over  $R$ , then  $E$  contains:

- i) the scalar multiplications defined by  $S_r(\alpha) = r\alpha, r \in R, \alpha \in N(R)$ .
- ii) the right component multiplications defined by  $R_t(\alpha) = R_t \left( \sum_{i=1}^n r_i \varepsilon_i \right) = \sum_{i=1}^n r_i t \varepsilon_i, t \in R, \alpha \in N(R)$ .
- iii) the right multiplications defined by  $\beta_R(\alpha) = \alpha \times \beta, \alpha, \beta \in N(R)$ .
- iv) the left multiplications defined by  $\beta_L(\alpha) = \beta \times \alpha, \alpha, \beta \in N(R)$ .

With the above definitions, iii) in the definition of an algebra becomes  $\beta_R S_r = S_r \beta_R$  for  $r \in R, \beta \in N(R)$ . Hence  $\beta_R \in \mathfrak{L}$  for all  $\beta \in N(R)$ . Similarly iv) becomes  $\varepsilon_{iL} S_r = S_r \varepsilon_{iL}$ , and  $\varepsilon_{iL} \in \mathfrak{L}$  for  $i = 1, 2, \dots, n$ . It is also evident that  $R_t \in \mathfrak{L}$  for all  $t \in R$ .

Theorem 3 can now be restated in the following way.

**Theorem 4.** *A free  $R$ -module  $N(R)$  with basis  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n$  is an algebra over  $R$  if and only if there exists an  $R$ -homomorphism  $\Phi: N(R) \rightarrow E$  with the property that  $\Phi[\varepsilon_i] \in \mathfrak{L}$  for  $i = 1, 2, \dots, n$ , such that multiplication is defined by  $\beta \times \alpha = \Phi[\beta](\alpha)$ .*

PROOF. If  $N(R)$  is an algebra, let  $\Phi$  be defined by  $\Phi[\beta] = \beta_L$ . It follows from the right distributive law and iii) in the definition of an algebra that  $\Phi$  is an  $R$ -homomorphism. It has already been noted that iv) implies  $\Phi[\varepsilon_i] = \varepsilon_{iL} \in \mathfrak{L}$ . By the definition of  $\Phi, \beta \times \alpha = \Phi[\beta](\alpha)$ .

Conversely, if an  $R$ -homomorphism  $\Phi$  is given satisfying the stated conditions, multiplication is defined by  $\beta \times \alpha = \Phi[\beta](\alpha)$ . Since  $\Phi[\beta] \in E$  and since  $\Phi$  is a homomorphism, both distributive laws are satisfied. Since  $\Phi$  is an  $R$ -homomorphism,  $(r\beta) \times \alpha = \Phi[r\beta](\alpha) = \{r\Phi[\beta]\}(\alpha) = r\{\Phi[\beta](\alpha)\} = r(\beta \times \alpha)$ . Since  $\Phi[\varepsilon_i] \in \mathfrak{L}$ ,

$$\varepsilon_i \times r\varepsilon_j = \Phi[\varepsilon_i](r\varepsilon_j) = r\{\Phi[\varepsilon_i](\varepsilon_j)\} = r(\varepsilon_i \times \varepsilon_j).$$

This completes the proof.

If  $R$  is commutative, then  $\varepsilon_i \times r\varepsilon_j = r(\varepsilon_i \times \varepsilon_j)$  is equivalent to  $\alpha \times r\beta = r(\alpha \times \beta)$  for all  $\alpha, \beta \in N(R)$ , in the presence of the other postulates for an algebra.

In this case,  $R_t = S_t$ , and  $S_t, \beta_R$ , and  $\beta_L$  are in  $\mathfrak{L}$ .

Since an  $R$ -homomorphism  $\Phi$  of a free  $R$ -module is completely determined by the images of the basis elements, the algebra  $N(R)$  is completely determined by the choice of the  $\Phi[\varepsilon_i] \in \mathfrak{L}$ . Moreover an arbitrary choice of the  $\Phi[\varepsilon_i] \in \mathfrak{L}$  defines an  $R$ -homomorphism  $\Phi$ . Let  $\Gamma_i = (\gamma_{jk}^{(i)})$ ,  $i = 1, 2, \dots, n$  be the matrix of the linear transformation  $\Phi[\varepsilon_i]$ . Then  $\varepsilon_i \times \varepsilon_j = \sum_{k=1}^n \gamma_{jk}^{(i)} \varepsilon_k$ , and

the  $n^2 \times n$  matrix  $\Gamma = \begin{pmatrix} \Gamma_1 \\ \Gamma_2 \\ \vdots \\ \Gamma_n \end{pmatrix}$  is the multiplication table of the algebra, which

we will denote by  $[N(R), \varepsilon_i, \Gamma]$ .

Let  $M(R)$  be a free  $R$ -module with basis  $\eta_{i1}, \eta_{i2}, \dots, \eta_{im}$ , and let  $[M(R), \eta_i, \mathcal{A}]$  be an algebra, where  $\mathcal{A}_i = (\delta_{jk}^{(i)})$ ,  $i = 1, 2, \dots, m$ .

**Theorem 5.** *The algebra  $[M(R), \eta_i, \mathcal{A}]$  is isomorphic to the algebra  $[N(R), \varepsilon_i, \Gamma]$  if and only if there exists a unit  $A \in {}_m R_n$  such that  $\mathcal{A} = (A \otimes A) \Gamma B$ , where  $B \in {}_n R_m$  is the inverse of  $A$  and  $\otimes$  denotes the left Kronecker product of matrices.*

PROOF. Suppose first that  $[M(R), \eta_i, \mathcal{A}]$  and  $[N(R), \varepsilon_i, \Gamma]$  are isomorphic. Then under the given  $R$ -isomorphism the basis  $\eta_{i1}, \eta_{i2}, \dots, \eta_{im}$  of  $M(R)$  corresponds to a basis  $\eta_{i1}^1, \eta_{i2}^1, \dots, \eta_{im}^1$  of  $N(R)$ . By Theorem 1, there exists a

unit  $A = (a_{ij}) \in {}_m R_n$  such that  $\begin{pmatrix} \eta_{i1}^1 \\ \eta_{i2}^1 \\ \vdots \\ \eta_{im}^1 \end{pmatrix} = A \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \vdots \\ \varepsilon_n \end{pmatrix}$ . We have:

$$\begin{aligned} (\eta_i \times \eta_j)^1 &= \left( \sum_{k=1}^m \delta_{jk}^{(i)} \eta_{ik} \right)^1 = \sum_{k=1}^m \delta_{jk}^{(i)} \eta_{ik}^1 = \sum_{k=1}^m \delta_{jk}^{(i)} \left( \sum_{l=1}^n a_{kl} \varepsilon_l \right) = \sum_{l=1}^n \left( \sum_{k=1}^m \delta_{jk}^{(i)} a_{kl} \right) \varepsilon_l. \\ \eta_i^1 \times \eta_j^1 &= \left( \sum_{t=1}^n a_{it} \varepsilon_t \right) \times \left( \sum_{s=1}^n a_{js} \varepsilon_s \right) = \sum_{l=1}^n \left( \sum_{s,t=1}^n a_{it} a_{js} \gamma_{st}^{(l)} \right) \varepsilon_l. \end{aligned}$$

Therefore, since  $(\eta_i \times \eta_j)^1 = \eta_i^1 \times \eta_j^1$ , we have

$$\sum_{k=1}^m \delta_{jk}^{(i)} a_{kl} = \sum_{s,t=1}^n a_{it} a_{js} \gamma_{st}^{(l)} = \sum_{t=1}^n a_{it} \left( \sum_{s=1}^n a_{js} \gamma_{st}^{(l)} \right)$$

for  $i = 1, 2, \dots, n$ ,  $j = 1, 2, \dots, n$ , and  $l = 1, 2, \dots, n$ . For  $i$  fixed, this gives

$$\mathcal{A}_i A = \sum_{t=1}^n a_{it} A \Gamma_t = (a_{i1} A, a_{i2} A, \dots, a_{in} A) \begin{pmatrix} \Gamma_1 \\ \Gamma_2 \\ \vdots \\ \Gamma_n \end{pmatrix}.$$

Therefore  $\begin{pmatrix} \mathcal{A}_1 \\ \mathcal{A}_2 \\ \vdots \\ \mathcal{A}_m \end{pmatrix} A = (A \otimes A) \begin{pmatrix} \Gamma_1 \\ \Gamma_2 \\ \vdots \\ \Gamma_n \end{pmatrix}$ , or  $\mathcal{A} = (A \otimes A) \begin{pmatrix} \Gamma_1 \\ \Gamma_2 \\ \vdots \\ \Gamma_n \end{pmatrix} B$ , where  $B \in {}_n R_m$

is the inverse of  $A$ .

Conversely, let  $A$  be a unit in  ${}_m R_n$  and suppose  $\mathcal{A} = (A \otimes A) \Gamma B$ . Then

by Theorem 1,  $\eta_1^1, \eta_2^1, \dots, \eta_m^1$  defined by  $\begin{pmatrix} \eta_1^1 \\ \eta_2^1 \\ \vdots \\ \eta_m^1 \end{pmatrix} = A \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \vdots \\ \varepsilon_n \end{pmatrix}$  is a basis of  $N(R)$ .

Then the mapping  $\lambda$  defined by  $\lambda \left( \sum_{i=1}^m r_i \eta_i \right) = \sum_{i=1}^m r_i \eta_i^1$  is a  $(+, \times)$   $R$ -isomorphism of  $M(R)$  onto  $N(R)$ . It is clear that  $\lambda$  is an  $R$ -isomorphism of the module  $M(R)$  onto the module  $N(R)$ , and the equation  $\mathcal{A} = (A \otimes A) \Gamma B$  is just the condition that  $\lambda(\eta_i \times \eta_j) = \lambda(\eta_i) \times \lambda(\eta_j)$ .

**Theorem 6.** *The algebra  $[N(R), \varepsilon_i, \Gamma]$  is associative if and only if  $\varepsilon_{jR} R_t \varepsilon_{iL} = \varepsilon_{iL} \varepsilon_{jR} R_t$  for  $i, j = 1, 2, \dots, n$  and for every  $t \in R$ .*

PROOF. If  $[N(R), \varepsilon_i, \Gamma]$  is associative, then

$$(\varepsilon_i \times \alpha) \times (t \varepsilon_j) = \varepsilon_i \times (\alpha \times t \varepsilon_j)$$

for  $i, j = 1, 2, \dots, n, t \in R$ , and  $\alpha \in N(R)$ .

Using the trivial identity  $(t \varepsilon_j)_R = \varepsilon_{jR} R_t$ , we have

$$\begin{aligned} (\varepsilon_i \times \alpha) \times (t \varepsilon_j) &= (t \varepsilon_j)_R \varepsilon_{iL} (\alpha) = \varepsilon_{jR} R_t \varepsilon_{iL} (\alpha), \\ \varepsilon_i \times (\alpha \times t \varepsilon_j) &= \varepsilon_{iL} (t \varepsilon_j)_R (\alpha) = \varepsilon_{iL} \varepsilon_{jR} R_t (\alpha). \end{aligned}$$

Conversely if the identity  $\varepsilon_{jR} R_t \varepsilon_{iL} = \varepsilon_{iL} \varepsilon_{jR} R_t$  holds in  $\mathfrak{Q}$ , we have with

$$\beta = \sum_{i=1}^n r_i \varepsilon_i \text{ and } \gamma = \sum_{j=1}^n t_j \varepsilon_j$$

$$\begin{aligned} (\beta \times \alpha) \times \gamma &= \left( \sum_{i=1}^n r_i \varepsilon_i \times \alpha \right) \times \sum_{j=1}^n t_j \varepsilon_j - \left[ \sum_{i=1}^n r_i (\varepsilon_i \times \alpha) \right] \times \sum_{j=1}^n t_j \varepsilon_j = \\ &= \sum_{j=1}^n \left\{ \left[ \sum_{i=1}^n r_i (\varepsilon_i \times \alpha) \right] \times (t_j \varepsilon_j) \right\} = \sum_{j=1}^n \left\{ \sum_{i=1}^n r_i [(\varepsilon_i \times \alpha) \times (t_j \varepsilon_j)] \right\} = \\ &= \sum_{j=1}^n \left\{ \sum_{i=1}^n r_i [\varepsilon_{jR} R_t \varepsilon_{iL} (\alpha)] \right\} = \sum_{i=1}^n \left\{ \sum_{j=1}^n r_i [\varepsilon_{iL} \varepsilon_{jR} R_t (\alpha)] \right\} = \\ &= \sum_{i=1}^n \left\{ \sum_{j=1}^n r_i [\varepsilon_i \times (\alpha \times t_j \varepsilon_j)] \right\} = \sum_{i=1}^n \left\{ \sum_{j=1}^n [(r_i \varepsilon_i) \times (\alpha \times t_j \varepsilon_j)] \right\} = \\ &= \sum_{i=1}^n \left\{ (r_i \varepsilon_i) \times \left[ \sum_{j=1}^n \alpha \times (t_j \varepsilon_j) \right] \right\} = \left( \sum_{i=1}^n r_i \varepsilon_i \right) \times \left[ \sum_{j=1}^n \alpha \times (t_j \varepsilon_j) \right] = \\ &= \left( \sum_{i=1}^n r_i \varepsilon_i \right) \times \left( \alpha \times \sum_{j=1}^n t_j \varepsilon_j \right) = \beta \times (\alpha \times \gamma). \end{aligned}$$

The matrix of the linear transformation  $\varepsilon_{iL}$  is  $\Gamma_i = (\gamma_{jk}^{(i)})$ . The matrix of the linear transformation  $\varepsilon_{jR}$  is the submatrix of  $\Gamma$  whose  $l$ -th row is the  $j$ -th row of  $\Gamma_l$ , that is the matrix  $A_j = (\lambda_{lk}^{(j)})$ , where  $\lambda_{lk}^{(j)} = \gamma_{jk}^{(i)}$ . The condition for associativity given in Theorem 6 can be written as the matrix identity.

$$1. \Gamma_i(tI_n)A_j = (tI_n)A_j\Gamma_i, \quad i, j = 1, 2, \dots, n \text{ and every } t \in R.$$

If  $R$  is commutative, 1. is equivalent to

$$2. \Gamma_i A_j = A_j \Gamma_i \text{ for } i, j = 1, 2, \dots, n$$

which is the usual associativity condition for the multiplication constants in matrix form. More generally, 1. and 2. are equivalent whenever the  $\varepsilon_{iL}$  commute with the right component multiplications  $R_i$ . In this connection the following statement is of some interest.

**Theorem 7.** *Let  $[N(R), \varepsilon_i, \Gamma]$  be an associative algebra such that for some  $j$ ,  $\varepsilon_{jR}$  is a non-singular linear transformation. Then each  $\varepsilon_{iL}$  commutes with every  $R_i$ .*

PROOF. Since  $[N(R), \varepsilon_i, \Gamma]$  is associative, 1. and 2. are both satisfied. Now 2. implies

$$(tI_n)\Gamma_i A_j = (tI_n)A_j \Gamma_i \text{ for } i, j = 1, 2, \dots, n, \text{ and every } t \in R.$$

This identity combined with 1. gives

$$\Gamma_i(tI_n)A_j = (tI_n)\Gamma_i A_j.$$

By hypothesis, for some  $j$ , the matrix  $A_j$  has an inverse. Hence  $\Gamma_i(tI_n) = (tI_n)\Gamma_i$  for  $i = 1, 2, \dots, n$  and every  $t \in R$ . This is the matrix form of the statement of the theorem.

Let  $B$  be the set of basis elements  $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$  of the algebra  $[N(R), \varepsilon_i, \Gamma]$ . Then  $B$  is a groupoid if  $\varepsilon_{iL}(B) \subset B$  for  $i = 1, 2, \dots, n$ . An algebra over  $R$  is a groupoid algebra if it possesses a basis  $B$  which is a groupoid. When  $[N(R), \varepsilon_i, \Gamma]$  is said to be a groupoid algebra, we will mean that the basis  $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$  is a groupoid.

**Theorem 8.** *If  $[N(R), \varepsilon_i, \Gamma]$  is a groupoid algebra, then conditions 1. and 2. are equivalent.*

PROOF. Since  $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$  is a groupoid, the matrix  $\Gamma_i$  of the linear transformation  $\varepsilon_{iL}$  has  $1 \in R$  in exactly one position in each row and zeros elsewhere. Hence the matrices  $\Gamma_i$  commute with the scalar matrices  $tI_n$ , and therefore 2. implies 1. On the other hand 1. always implies 2.



### 4. Groupoids.

If  $N = \{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$  is a groupoid, then a multiplication table for  $N$

can be given by an  $n^2 \times n$  incidence matrix  $= \begin{pmatrix} \Gamma_1 \\ \Gamma_2 \\ \vdots \\ \Gamma_n \end{pmatrix}$  where  $\Gamma_i = (\gamma_{jk}^{(i)})$  is an

$n \times n$  matrix,  $i = 1, 2, \dots, n$  and  $\gamma_{jk}^{(i)} = \begin{cases} 1 \in R & \text{if } \varepsilon_i \varepsilon_j = \varepsilon_k \\ 0 \in R & \text{otherwise.} \end{cases}$

$\Gamma_i$  has  $1 \in R$  in exactly one position in each row and zeros elsewhere. We will denote a groupoid, defined for the ordered set of elements  $\{\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n\}$  by  $[\varepsilon_i, \Gamma]$ . It is convenient to classify groupoids  $N = [\varepsilon_i, \Gamma]$  according to the properties of the matrix  $\Gamma$ . Some of the properties are trivial consequences of the definitions previously given for the submatrices  $\Gamma_i$  and  $A_j$  of  $\Gamma$ .

I.  $N$  is a semigroup if and only if  $\Gamma_i A_j = A_j \Gamma_i$ ,  $i, j = 1, 2, \dots, n$ .

II.  $N$  is commutative if and only if  $\Gamma_j = A_j$ ,  $j = 1, 2, \dots, n$ .

III.  $N$  is a right quasi-group if and only if each  $\Gamma_i$ ,  $i = 1, 2, \dots, n$  is a permutation matrix.

PROOF. If  $N$  is a right quasi-group, then for every  $i$  and  $k$ , there exists a  $j$  such that  $\varepsilon_i x = \varepsilon_k$  has a solution  $x = \varepsilon_j$ . Hence for every  $k$ , the matrix  $\Gamma_i$  must have  $\gamma_{jk}^{(i)} = 1$  for some  $j$ . Since there is exactly one 1 in each row of  $\Gamma_i$ ,  $\Gamma_i$  is a permutation matrix. Conversely, if each  $\Gamma_i$  is a permutation matrix, the equation  $\varepsilon_i x = \varepsilon_k$  has a solution for every  $i$  and  $k$ .

By an argument similar to the above we have

IV.  $N$  is a left quasi-group if and only if each  $A_j$ ,  $j = 1, 2, \dots, n$  is a permutation matrix.

V.  $N$  is a loop if and only if  $\Gamma$  satisfies the following conditions:

i)  $\Gamma_i$  is a permutation matrix,  $i = 1, 2, \dots, n$ .

ii)  $\sum_{i=1}^n \Gamma_i = \mathfrak{S}_n$  where  $\mathfrak{S}_n$  is a matrix with 1 in every position.

iii) For some  $j$ ,  $\Gamma_j = A_j = I_n$ .

PROOF. If  $N$  is a loop, then by III, i) is satisfied. By IV, each  $A_j$  is a permutation matrix, so that the sum of the  $j$ -th rows of the matrices  $\Gamma_i$  is the row vector  $(1, 1, \dots, 1)$ . Hence  $\sum_{i=1}^n \Gamma_i = \mathfrak{S}_n$ . Since  $N$  has an identity  $\varepsilon_j$ ,  $\Gamma_j = I_n$  and  $A_j = I_n$ . Conversely, suppose that i), ii), and iii) are satisfied. Then  $N$  is a right quasi-group by III. Together, i) and ii) imply that each  $A_j$  is a permutation matrix, so that  $N$  is a left quasi-group by IV. Finally, iii) implies that  $\varepsilon_j$  is an identity element of  $N$ .

Since an associative quasi-group is a group, we combine the above results to obtain

VI.  $N$  is a group if and only if  $\Gamma$  satisfies the conditions:

i) Each  $\Gamma_i$  is a permutation matrix,  $i = 1, 2, \dots, n$ .

ii)  $\sum_{i=1}^n \Gamma_i = \mathfrak{S}_n$ .

iii)  $\Gamma_i A_j = A_j \Gamma_i$ ,  $i = 1, 2, \dots, n$ ,  $j = 1, 2, \dots, n$ .

**Theorem 9.** *The groupoid  $N = [\varepsilon_i, \Gamma]$  is isomorphic to the groupoid  $M = [\eta_i, \mathcal{A}]$  if and only if there exists a permutation matrix  $I_\Omega$  such that  $(I_\Omega \otimes I_\Omega) \Gamma I_\Omega = \mathcal{A}$ .*

PROOF. The groupoids  $N$  and  $M$  are isomorphic if and only if for a suitable ordering of the elements  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n$  of  $N$ , the incidence matrices of  $N$  and  $M$  are identical, that is, if and only if there exists a permutation  $\Omega$  of  $(1, 2, \dots, n)$  such that  $N = \{\varepsilon_{\Omega(1)}, \varepsilon_{\Omega(2)}, \dots, \varepsilon_{\Omega(n)}\}$  and  $M = \{\eta_1, \eta_2, \dots, \eta_n\}$  have identical incidence matrices. Let  $I_\Omega$  be the permutation matrix associated with  $\Omega$ . Then the theorem follows when we observe that  $(I_\Omega \otimes I_\Omega) \Gamma I_\Omega$  is the incidence matrix of  $N = \{\varepsilon_{\Omega(1)}, \varepsilon_{\Omega(2)}, \dots, \varepsilon_{\Omega(n)}\}$ . Since  $\Omega = (ij)(kl) \dots (st)$ ,  $I_\Omega = I_{(ij)} I_{(kl)} \dots I_{(st)}$ , and  $I_\Omega \otimes I_\Omega = (I_{(ij)} \otimes I_{(ij)})(I_{(kl)} \otimes I_{(kl)}) \dots (I_{(st)} \otimes I_{(st)})$ , it suffices to prove this latter result for the case where  $\Omega = (ij)$  is a transposition. But if  $\varepsilon_i$  and  $\varepsilon_j$  are interchanged, then the submatrices  $\Gamma_i$  and  $\Gamma_j$  are interchanged in  $\Gamma$  after which the  $i$ -th and  $j$ -th rows and the  $i$ -th and  $j$ -th columns are interchanged in each  $\Gamma_l$ ,  $l = 1, 2, \dots, n$ . This operation is accomplished by the matrix product

$$(I_{(ij)} \otimes I_{(ij)}) \Gamma I_{(ij)} = \begin{matrix} & & i & & j & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ i & & & & & & \\ & & & & & & \\ & & & & & & \\ j & & & & & & \\ & & & & & & \end{matrix} \begin{pmatrix} I_{(ij)} & & & & & & \\ & \ddots & & & & & \\ & & I_{(ij)} & & & & \\ & & & 0 \cdots I_{(ij)} & & & \\ & & & \vdots & & & \\ & & & I_{(ij)} \cdots 0 & & & \\ & & & & & I_{(ij)} & \\ & & & & & & \ddots \\ & & & & & & I_{(ij)} \end{pmatrix} \begin{pmatrix} \Gamma_1 \\ \vdots \\ \Gamma_i \\ \vdots \\ \Gamma_j \\ \vdots \\ \Gamma_n \end{pmatrix} I_{(ij)} = \begin{pmatrix} I_{(ij)} \Gamma_1 I_{(ij)} \\ \vdots \\ I_{(ij)} \Gamma_j I_{(ij)} \\ \vdots \\ I_{(ij)} \Gamma_i I_{(ij)} \\ \vdots \\ I_{(ij)} \Gamma_n I_{(ij)} \end{pmatrix}.$$

It should be recalled that for permutation matrices,  $I' = I^{-1}$ .

EXAMPLE. Using the results of III, IV, and V, a quasi-group (both a right and left quasi-group) must have either an incidence matrix  $\Gamma = \begin{pmatrix} \Gamma_1 \\ \Gamma_2 \\ \Gamma_3 \end{pmatrix}$

where  $\Gamma_1, \Gamma_2, \Gamma_3$  are the matrices  $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$  in some order,

or an incidence matrix  $\bar{\Gamma} = \begin{pmatrix} \bar{\Gamma}_1 \\ \bar{\Gamma}_2 \\ \bar{\Gamma}_3 \end{pmatrix}$  where  $\bar{\Gamma}_1, \bar{\Gamma}_2, \bar{\Gamma}_3$  are the matrices  $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix},$

$\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$  in some order. Using Theorem 9 it is easy to check that

there are exactly five non-isomorphic quasi-groups among the possible twelve. They are given by:

$$\Gamma = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \text{ the group of order 3.}$$

$$\Gamma = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, \text{ a quasi-group with left identity.}$$

$$\Gamma = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \text{ a quasi-group with right identity.}$$

$$\Gamma = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \text{ a quasi-group without identity.}$$

$$\Gamma = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \text{ a quasi-group without identity.}$$

The second and third quasi-groups exhibited above are anti-isomorphic.

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