

## Derivations and co-radical extensions of rings

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**Abstract.** A ring  $R$  is said to be *co-radical* over a subring  $A$  if for each  $x \in R$  there exists a polynomial  $g_x(t)$  (depending on  $x$ ) having integral coefficients so that  $x - x^2g_x(x) \in A$ . Herstein proved that a ring which is co-radical over its center must be commutative. In this paper we give a generalization of Herstein's theorem for the prime case in terms of derivations with assumptions on one-sided ideals.

### §1. Introduction and main results

Throughout this paper all rings are associative, not necessarily with unity. We denote by  $\mathbb{Z}[t]$  the polynomial ring with indeterminate  $t$  over  $\mathbb{Z}$ , the ring of integers. A ring  $R$  is called *co-radical* over a subring  $A$  if for each  $x \in R$  there exists a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $x - x^2g_x(x) \in A$ . In [8] HERSTEIN proved that a ring which is co-radical over its center must be commutative. In [4] CHACRON gave a generalization of Herstein's theorem for the semiprime case by the use of the *cohypercenter*  $T(R)$  of a ring  $R$ . An element  $a \in R$  belongs to  $T(R)$  if for each  $x \in R$  there exists a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $[a, x - x^2g_x(x)] = 0$ . Chacron proved that if  $R$  is a semiprime ring, then  $T(R)$  coincides with the center of  $R$ . In terms of derivations he just proved that if  $d$  is an inner derivation of the semiprime ring  $R$  satisfying  $d(x - x^2g_x(x)) = 0$  for all  $x \in R$ , then  $d = 0$ . For the general case of derivations, in a recent paper [3] BELL proved the theorem: Let  $R$  be a prime ring with  $\text{char } R \neq 2$ , and let  $d$  be a derivation of  $R$  such that  $d^3 \neq 0$ . If there exists a fixed integer  $n > 1$  such that  $d(x - x^n) \in \mathcal{Z}(R)$ , the center of  $R$ , for all  $x \in R$ , then  $R$  is commutative. The goal of this paper is to extend these results by proving the following theorems.

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**Theorem 1.** *Let  $R$  be a noncommutative prime ring and  $a, b \in R$ . Suppose that for each  $x \in R$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $a(x - x^2g_x(x))b = 0$ . Then either  $a = 0$  or  $b = 0$ .*

**Theorem 2.** *Let  $R$  be a noncommutative prime ring,  $\rho$  a right ideal of  $R$  and  $a, b \in R$ . Suppose that for each  $x \in \rho$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $a(x - x^2g_x(x))b = 0$ . Then  $a\rho b = 0$  unless  $\rho = eR$ , where  $e = e^2 \in R$ , such that  $eRe$  is a field.*

**Theorem 3.** *Let  $R$  be a prime ring,  $\rho$  a nonzero right ideal of  $R$  and  $d$  a nonzero derivation of  $R$ . Suppose that for each  $x \in \rho$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $d(x - x^2g_x(x)) = 0$ . Then  $R$  is commutative except when  $\rho = eR$ , where  $e = e^2 \in R$ , such that  $eRe$  is a field, and  $d = \text{ad}(b)$  and  $b\rho = 0$  for some  $b \in Q$ , the symmetric Martindale quotient ring of  $R$ .*

As an immediate consequence of Theorem 3 we have the following

**Theorem 4.** *Let  $R$  be a prime ring and let  $d$  be a nonzero derivation of  $R$ . Suppose that for each  $x \in R$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $d(x - x^2g_x(x)) = 0$ . Then  $R$  is commutative.*

Finally we will extend Theorem 4 to the central case. However, we cannot conclude the commutativity of the prime ring  $R$ . The following provides counterexamples.

*Examples.* Let  $R = M_2(C)$ , the 2 by 2 matrix ring over a field  $C$ ,  $b \in [R, R] \setminus C$  and  $d$  the inner derivation of  $R$  defined by the element  $b$ . If  $C$  is algebraic over  $\text{GF}(2)$ , the Galois field of two elements, then for each  $x \in R$ , there is a positive integer  $q = q(x) > 1$  (depending on  $x$ ) so that  $d(x - x^q) \in C$ .

PROOF. We denote by  $F$  the algebraic closure of  $C$  and let  $S = M_2(F)$ . Then  $R \subseteq S$ . Let  $x, y \in [R, R]$ . A direct computation proves that  $xy + yx = (x + y)^2 - x^2 - y^2 \in C$ . Since  $\text{char } R = 2$ , we have  $[x, y] \in C$ . That is,  $[[R, R], [R, R]] \subseteq C$ . In particular,  $[b, [R, R]] \subseteq C$ . Let  $x \in R$ . Then there exists an invertible matrix  $u \in S$  such that  $uxu^{-1}$  is an upper triangular matrix in  $S$ . Since  $F$  is algebraic over  $\text{GF}(2)$ , there exists a positive integer  $q = q(x) > 1$  such that  $uxu^{-1} - ux^qu^{-1}$  is a strictly upper triangular matrix in  $S$ . In particular, the trace of  $x - x^q \in R$  is zero. Therefore,  $x - x^q \in [R, R]$  and so  $[b, x - x^q] \in [b, [R, R]] \subseteq C$ , as desired. This proves our result.  $\square$

In fact, the examples above are the only exceptional cases. Indeed, we will prove the following

**Theorem 5.** *Let  $R$  be a prime ring with center  $\mathcal{Z}(R)$  and  $d$  a nonzero derivation of  $R$ . Suppose that for each  $x \in R$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $d(x - x^2g_x(x)) \in \mathcal{Z}(R)$ . Then  $R$  is commutative except when  $RC \cong M_2(C)$  with  $C$  algebraic over  $\text{GF}(2)$ , where  $C$  denotes the extended centroid of  $R$ .*

## §2. Proofs of theorems

From now on,  $R$  will denote a prime ring with extended centroid  $C$  and symmetric Martindale quotient ring  $Q$ . We denote by  $\mathcal{Z}(R)$  the center of  $R$  and by  $J(R)$  the Jacobson radical of  $R$ . For  $p \in Q$  we denote by  $\text{ad}(p)$  the inner derivation of  $Q$  induced by the element  $p$ , that is,  $\text{ad}(p)(x) = [p, x] = px - xp$  for  $x \in Q$ . A derivation  $d$  of  $R$  is called  $X$ -inner if  $d = \text{ad}(p)$  for some  $p \in Q$ . Otherwise,  $d$  is called  $X$ -outer. It is well-known that each derivation of  $R$  can be uniquely extended to a derivation of  $Q$ . We first state a result due to CHACRON [5].

**Lemma 1.** *Let  $R$  be a prime ring and  $a, b \in R$ . Suppose that for each  $x \in R$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $a(x - x^2g_x(x))b = 0$ . If  $ab = 0$ , then either  $a = 0$  or  $b = 0$ .*

PROOF. See the proof of [5, Lemma 3]. □

**Lemma 2.** *Let  $R$  be a noncommutative prime ring and  $a \in R$ . Suppose that for each  $x \in R$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $a(x - x^2g_x(x)) = 0$ . Then  $a = 0$ .*

PROOF. Suppose first that  $J(R) \neq 0$ . Then, by assumption, for each  $x \in J(R)$  there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $a(x - x^2g_x(x)) = 0$ . Thus  $ax(1 - xg_x(x)) = 0$ . From the fact that  $xg_x(x) \in J(R)$  it follows that  $ax = 0$ . That is,  $aJ(R) = 0$  and so  $a = 0$  by the primeness of  $R$ .

Suppose next that  $J(R) = 0$ . We first consider the case that  $R$  is a right primitive ring. By the density theorem,  $R$  acts densely on  ${}_D V$ , where  ${}_D V$  is a left vector space over a division ring  $D$ . Suppose that there is a  $v \in V$  such that  $va$  and  $v$  are  $D$ -independent. Then we can choose an  $x \in R$  such that  $vax = v$  and  $vx = 0$ . Then  $vax^2 = 0$  and so  $0 = va(x - x^2g_x(x)) = vax = v$ , which is absurd. Therefore, for each  $v \in V$  we see that  $va$  and  $v$  are  $D$ -dependent. Now, a standard argument

proves that  $a$  is central in  $R$ . We turn next to the general case. Let  $P$  be a right primitive ideal of  $R$ . Then  $R/P$  is a right primitive ring preserving our assumptions. Thus  $\bar{a} = a + P$  is central in  $R/P$  and so  $[a, R] \subseteq P$ . Since  $J(R) = 0$ , the intersection of all right primitive ideals of  $R$  is zero. Therefore we have  $[a, R] = 0$ , implying that  $a \in \mathcal{Z}(R)$ . If  $a \neq 0$ , then for each  $x \in R$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $x - x^2g_x(x) = 0$ . In view of HERSTEIN's theorem [8],  $R$  is commutative, a contradiction. This proves the lemma.  $\square$

PROOF of Theorem 1. Clearly, we may assume that  $a = b$ . Denote by  $\rho$  the right ideal of  $R$  generated by  $a$ , that is,  $\rho = aR + \mathbb{Z}a$ . Set  $\bar{\rho} = \rho/\rho \cap \ell_R(\rho)$ , where  $\ell_R(\rho)$  is the left annihilator of  $\rho$  in  $R$ . It is clear that  $\bar{\rho}$  is still a prime ring. By assumption, for each  $\bar{x} \in \bar{\rho}$ , there is a polynomial  $g_{\bar{x}}(t) \in \mathbb{Z}[t]$  (depending on  $\bar{x}$ ) so that  $\bar{a}(\bar{x} - \bar{x}^2g_{\bar{x}}(\bar{x})) = 0$ . In view of Lemma 2, either  $\bar{a} = 0$  or  $[\bar{\rho}, \bar{\rho}] = 0$ . The first case gives  $a^2 = 0$ , implying that  $a = 0$  by Lemma 1. The latter case implies that  $[\rho, \rho]\rho = 0$  and hence  $R$  is a prime GPI-ring. In view of MARTINDALE's theorem [14],  $RC$  is a strongly primitive ring, where  $C$  is the extended centroid of  $R$ . If  $RC$  is a division ring, then there is nothing to prove. Suppose that  $RC$  is not a division ring. Denote by  $H$  the socle of  $RC$ . Then  $H$  is a simple ring with minimal one-sided ideals and possesses nontrivial idempotents. Let  $e$  be an idempotent in  $H$ . Choose a nonzero ideal  $I$  of  $R$  so that  $eI + Ie + eIe \subseteq R$ . For  $x \in I$  we have  $ex(1 - e) \in R$ . Since  $ex(1 - e)$  is an element of square zero, by assumption we have  $aex(1 - e)a = 0$ . Thus  $(1 - e)ae = 0$  follows. Analogously,  $ea(1 - e) = 0$  and hence  $[a, e] = 0$ . Denote by  $E$  the additive subgroup of  $H$  generated by all idempotents in  $H$ . In view of [9, Corollary p. 18],  $[H, H] \subseteq E$ . Thus  $[a, [H, H]] = 0$ . By [9, Corollary p. 9], the subring generated by  $[H, H]$  is equal to  $H$  and so  $[a, H] = 0$ . Thus  $a$  is central in  $R$ . If  $a \neq 0$ , then for each  $x \in R$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $x - x^2g_x(x) = 0$ . In view of HERSTEIN's theorem [8],  $R$  is a commutative ring, a contradiction. This proves the theorem.  $\square$

The following lemma is due to BABKOV [1, Lemma 7].

**Lemma 3.** *Let  $R$  be a noncommutative prime ring,  $\rho$  a nonzero right ideal of  $R$  and  $a \in R$ . Suppose that for each  $x \in \rho$ , there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $(x - x^2g_x(x))a = 0$ . Then  $a = 0$*

unless  $R$  is a primitive ring with nonzero socle and its associated division ring is a field.

For simplicity, we say that a ring  $R$  has the property  $(*)$  if it is a primitive ring with nonzero socle and its associated division ring is a field. We are now ready to give the proof of Theorem 2.

PROOF of Theorem 2. Suppose that  $R$  does not satisfy the property  $(*)$ . By assumption we have that  $axb = 0$  for each  $x \in \rho$  with  $x^2 = 0$ .

We first consider the case that  $ab = 0$ . Let  $x \in \rho$ . We claim that  $axb = 0$ . Suppose not. By assumption, there is a polynomial  $h(x) = x + r_2x^2 + \cdots + r_kx^k$  with  $k \geq 2$  and  $r_kx^k \neq 0$ , where each  $r_i$  is an integer, satisfying

$$(1) \quad a(x + r_2x^2 + \cdots + r_kx^k)b = 0.$$

By (1), each element in  $xbRa(1 + r_2x + \cdots + r_kx^{k-1})$  lies in  $\rho$  and has square zero. Thus we have  $axbRa(1 + r_2x + \cdots + r_kx^{k-1})b = 0$ , implying  $a(1 + r_2x + \cdots + r_kx^{k-1})b = 0$  as  $axb \neq 0$ . Since  $ab = 0$ , we have  $a(r_2 + r_3x + \cdots + r_kx^{k-2})xb = 0$ . Repeating the same process we eventually conclude that  $r_kaxb = 0$  and so  $axb = 0$  follows, a contradiction. Hence,  $a\rho b = 0$  follows, as desired.

We next consider the general case. Let  $x \in \rho$ ; then  $xa \in \rho$ . By assumption, there is a polynomial  $g_{xa}(t) \in \mathbb{Z}[t]$  so that  $a(xa - xaxg_{xa}(xa))b = 0$  and so  $(ax - (ax)^2g_{xa}(ax))(ab) = 0$ . Since  $R$  does not satisfy the property  $(*)$ , applying Lemma 3 to the right ideal  $a\rho$  we conclude that  $ab = 0$ . Therefore we have  $a\rho b = 0$  by the first case.

Finally, when  $a\rho b \neq 0$  we must prove that  $\rho = eR$ , where  $e = e^2 \in R$  is such that  $eRe$  is a field. Indeed, suppose that  $a\rho b \neq 0$ ; then  $R$  has the property  $(*)$ . Denote by  $H$  the socle of  $R$ . If  $\rho$  is a minimal right ideal of  $R$ , then we are done. Thus we may assume that  $\rho$  is not minimal, nor is  $\rho H$ . Since  $a\rho b \neq 0$ , we have  $a\rho H b \neq 0$  and so there exists an idempotent  $g \in \rho H$  such that  $ag \neq 0$ . Let  $r \in R$ ; then  $gr(1 - g)$  is an element in  $\rho$  with square zero. By assumption,  $agr(1 - g)b = 0$ . Then  $agR(1 - g)b = 0$  and so  $b = gb \in \rho$ . Let  $\overline{\rho H} = \rho H / \rho H \cap \ell_R(\rho H)$ . We claim that  $\overline{\rho H}$  is a noncommutative prime ring. Since  $\rho H$  is not a minimal right ideal of  $R$ , it contains an idempotent  $f$  of rank 2. Then it is clear that  $fHf$  can be canonically embedded in  $\overline{\rho H}$ . However,  $fHf$  is isomorphic to  $M_2(F)$ , the

2 by 2 matrix ring over  $F$ , where  $F$  is the associated field of  $R$ . Thus  $\overline{\rho H}$  is not commutative, as asserted.

Let  $u, x \in \rho H$  and  $z \in H$ . Then there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $\overline{ua}(\overline{x} - \overline{x}^2 g_x(\overline{x}))\overline{bz} = 0$  in  $\overline{\rho H}$ . In view of Theorem 1, either  $\overline{ua} = 0$  or  $\overline{bz} = 0$ . That is, either  $\rho H a \rho H = 0$  or  $b H \rho H = 0$ . So either  $a \rho = 0$  or  $b = 0$ , a contradiction. This proves the theorem.  $\square$

We turn next to the proof of Theorem 3. For our proof we need a special case of KHARCHENKO's theorem [11, Theorem 1]. For the convenience of reference, we give its statement here.

**Lemma 4** (KHARCHENKO [11]). *Let  $R$  be a prime ring and let  $d$  be an  $X$ -outer derivation of  $R$ . Suppose that  $\sum_{i=1}^m a_i d(x) b_i + \sum_{j=1}^n c_j x d_j = 0$  for all  $x \in I$ , a nonzero ideal of  $R$ , where  $a_i, b_i, c_j, d_j \in Q$ . Then  $\sum_{i=1}^m a_i y b_i + \sum_{j=1}^n c_j x d_j = 0$  for all  $x, y \in R$ .*

In Lemma 4 we only assume that the linear identity holds on a nonzero ideal  $I$ , not on the whole prime ring  $R$ . Indeed, we remark that, applying the same argument with some minor modifications, [11, Theorem 1] still remains true even if the linear differential identity considered holds only on a nonzero ideal (instead of holding on the whole prime ring).

**Lemma 5.** *Let  $R$  be a prime ring with a nonzero derivation  $d$  and  $e$  a nontrivial idempotent of  $Q$ . Suppose that  $d(ex(1-e)) = 0$  for all  $x \in I$ , a nonzero ideal of  $R$ . Then there exists  $b \in Q$  such that  $d = \text{ad}(b)$  and  $be = 0$ .*

PROOF. By assumption, we have

$$(2) \quad d(e)x(1-e) + ed(x)(1-e) - exd(e) = 0$$

for all  $x \in I$ . Suppose on the contrary that  $d$  is  $X$ -outer. Applying Lemma 4 to (2) yields

$$(3) \quad d(e)x(1-e) + ey(1-e) - exd(e) = 0$$

for all  $x, y \in R$ . In particular,  $eR(1-e) = 0$  and so either  $e = 0$  or  $e = 1$ , which is a contradiction since  $e$  is nontrivial. Thus  $d$  is  $X$ -inner. Write  $d = \text{ad}(p)$  for some  $p \in Q$ . Expanding  $d(ex(1-e)) = 0$  yields  $pe x(1-e) = ex(1-e)p$  for all  $x \in I$  and hence for all  $x \in R$  [7, Theorem 2]. It follows from MARTINDALE's lemma [14] that  $pe = \beta e$  for some  $\beta \in C$ .

We set  $b = p - \beta \in Q$ . Then it is clear that  $d = \text{ad}(b)$  and  $be = 0$ . This proves the lemma.  $\square$

PROOF of Theorem 3. Let  $A = \{x \in \rho \mid d(x) = 0\}$ . Then  $A$  is a subring of the ring  $\rho$  and  $\rho$  is co-radical over  $A$ . Set  $\bar{\rho} = \rho/\rho \cap \ell_R(\rho)$  and let  $\bar{A}$  be the canonical image of  $A$  in  $\bar{\rho}$ . It is clear that  $\bar{\rho}$  is also co-radical over  $\bar{A}$  and  $\bar{A}$  is a prime ring [5, Lemma 4]. In view of [1, Theorem 2], either  $\bar{\rho}$  is commutative, or  $\bar{A}_{\bar{A}}$  is a dense submodule of  $\bar{\rho}_{\bar{A}}$ .

Suppose that  $\bar{\rho}$  is not commutative. Let  $x \in \rho$ . Then there exists a dense right ideal  $\bar{I}$  of  $\bar{A}$  such that  $x\bar{I} \subseteq \bar{A}$ , where  $I$  denotes the preimage of  $\bar{I}$  in  $A$ . Let  $a_1 \in I$ . There exists an element  $a_2 \in A$  such that  $(xa_1 - a_2)\rho = 0$ . In particular,  $(xa_1 - a_2)A = 0$ . Since  $d(A) = 0$ , we conclude that  $d(x)a_1A = 0$ . In particular,  $\overline{\rho d(x)\bar{I}\bar{A}} = 0$ . Since  $\bar{I}\bar{A}$  is still a dense right ideal of  $\bar{A}$ , we conclude that  $\overline{\rho d(x)} = 0$  in  $\bar{\rho}$ . That is,  $\rho d(x)\rho = 0$  for all  $x \in \rho$  and, hence,  $d(\rho)\rho = 0$  follows. In view of Herstein's theorem [10], there exists  $b \in Q$  such that  $d = \text{ad}(b)$  and  $b\rho = 0$ . Now, by assumption, for each  $x \in \rho$  there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $d(x - x^2g_x(x)) = 0$ . But  $b\rho = 0$ , so we have  $(x - x^2g_x(x))b = 0$ . Choose a nonzero ideal  $J$  of  $R$  such that  $bJ \subseteq R$ . Then  $(x - x^2g_x(x))bJ = 0$ . In view of Theorem 2, either  $\rho bJ = 0$  or  $\rho = eR$ , where  $e = e^2 \in R$ , such that  $eRe$  is a field. The latter case implies that  $\bar{\rho}$  is a field, a contradiction. Thus  $\rho bJ = 0$  follows and so  $b = 0$ , a contradiction again.

Thus we may always assume that  $\bar{\rho}$  is commutative, that is,  $[\rho, \rho]\rho = 0$ . In view of [13, Proposition],  $\rho C = gRC$  for some nonzero idempotent  $g$  in the socle of  $RC$ . Note that each element in  $[\rho, \rho]$  has square zero. By assumption, we have  $d([\rho, \rho]) = 0$ . Since  $g \in \rho C$ , we can choose a nonzero ideal  $I$  of  $R$  such that  $Ig \subseteq R$  and  $gI \subseteq \rho$ . Then  $gI^2g + gI^2(1-g) \subseteq \rho$  and so  $gI^2gI^2(1-g) = [gI^2g, gI^2(1-g)] \subseteq [\rho, \rho]$ . Thus  $d(gI^2gI^2(1-g)) = 0$  follows. Note that  $I^2gI^2$  is a nonzero ideal of  $R$ . If  $\rho C = RC$ , then  $R$  is commutative, as desired. Suppose that  $\rho C \neq RC$  and hence  $g$  is a nontrivial idempotent in  $RC$ . In view of Lemma 5, we see that  $d = \text{ad}(b)$  for some  $b \in Q$  such that  $b\rho = 0$ . By assumption, for  $x \in \rho$  there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) so that  $0 = [x - x^2g_x(x), b] =$

$(x - x^2g_x(x))b$ . But  $\rho b \neq 0$ , so, in view of Theorem 2,  $\rho = eR$ , where  $e = e^2 \in R$ , such that  $eRe$  is a field, proving the theorem.  $\square$

We turn finally to the proof of Theorem 5. Following the notation given in [2], we let  $Alg = \{t^n - t^{n+1}p(t) \mid n \geq 1, n \in \mathbb{Z}, p(t) \in \mathbb{Z}[t]\}$ . A ring  $R$  is called a *special algebraic extension* of its subring  $A$  if for each  $x \in R$  there is a polynomial  $f_x(t) \in Alg$ , depending on  $x$ , such that  $f_x(x) \in A$ . The following theorem we need is a special case of [2, Theorem 1].

**Theorem 6.** *Let  $R$  be a noncommutative domain. Suppose that  $R$  is a special algebraic extension of its subring  $A$ . Then the complete rings of right quotients of  $R$  and  $A$  coincide.*

We need one more lemma in the proof of Theorem 5. Since it is an easy observation, we only give its statement without proof.

**Lemma 6.** *Let  $R$  be a domain of characteristic 0,  $d$  a derivation of  $R$  and  $a \in R$ . Suppose that there is a polynomial  $f(t) \in \mathbb{Z}[t]$  with  $\deg_t f(t) > 1$  such that both  $d(a) \in \mathcal{Z}(R)$  and  $d(f(a)) \in \mathcal{Z}(R)$ . Then either  $d(a) = 0$  or  $a \in \mathcal{Z}(R)$ .*

PROOF of Theorem 5. We first dispose of two cases.

*Case 1.* Suppose that  $R$  is a domain of characteristic zero. Let  $a \in R$  be such that  $d(a) \in \mathcal{Z}(R)$ . By assumption, there is a polynomial  $p(t) \in \mathbb{Z}[t]$ , depending on  $a^2$ , such that  $d(a^2 - a^4p(a^2)) \in \mathcal{Z}(R)$ . In view of Lemma 6, either  $d(a) = 0$  or  $a \in \mathcal{Z}(R)$ . Thus we have proved the conclusion: for  $a \in R$  if  $d(a) \in \mathcal{Z}(R)$ , then either  $d(a) = 0$  or  $a \in \mathcal{Z}(R)$ . Set  $B = \{a \in R \mid d(a) \in \mathcal{Z}(R)\}$ . Now,  $B$  is an additive group and since  $d(\mathcal{Z}(R)) \subseteq \mathcal{Z}(R)$ ,  $B$  is the union of its two additive subgroups:  $\mathcal{Z}(R)$  and  $\{a \in R \mid d(a) = 0\}$ . Thus either  $B = \mathcal{Z}(R)$  or  $B = \{a \in R \mid d(a) = 0\}$ .

Suppose first that  $B = \mathcal{Z}(R)$ . Then, by assumption, for each  $x \in R$  there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) such that  $d(x - x^2g_x(x)) \in \mathcal{Z}(R)$  and, hence,  $x - x^2g_x(x) \in \mathcal{Z}(R)$ . Applying Herstein's theorem [8] yields that  $R$  is commutative. Suppose next that  $B = \{a \in R \mid d(a) = 0\}$ . Then for each  $x \in R$  there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) such that  $d(x - x^2g_x(x)) = 0$ . In view of Theorem 4,  $R$  is commutative. Case 1 is then proved.

*Case 2.* Suppose that  $R$  is a domain of characteristic  $p > 0$ . Let  $x \in R$ . By assumption, there is a polynomial  $g_x(t) \in \mathbb{Z}[t]$  (depending on  $x$ ) such that  $d(x - x^2g_x(x)) \in \mathcal{Z}(R)$  and so  $d((x - x^2g_x(x))^p) = p(x - x^2g_x(x))^{p-1}d(x - x^2g_x(x)) = 0$ . Thus  $(x - x^2g_x(x))^p \in \ker(d)$ . That is,  $R$  is a special algebraic extension of its subring  $\ker(d)$ . If  $R$  is commutative, we are done in this case. Hence, we assume that  $R$  is not commutative. In view of Theorem 6,  $\ker(d)$  is a dense submodule of  $R$  as right  $\ker(d)$ -modules. Let  $x \in R$ . Choose a dense right ideal  $\rho$  of  $\ker(d)$  such that  $x\rho \subseteq \ker(d)$ . Thus  $0 = d(x\rho) = d(x)\rho$  as  $d(\rho) = 0$ . Since  $R$  is a domain,  $d(x) = 0$  follows. This proves  $d = 0$ , a contradiction.

We turn to the general case. By Case 1 and Case 2, we may assume that  $R$  is not a domain. Since  $R$  is a prime ring, there is  $0 \neq a \in R$  with  $a^2 = 0$ . Let  $x \in R$ ; then  $(axa)^2 = 0$ . Thus, by assumption,  $d(axa) \in \mathcal{Z}(R)$  and so

$$(4) \quad d(a)xa + ad(x)a + axd(a) \in \mathcal{Z}(R).$$

Suppose for the moment that  $d$  is  $X$ -outer. Applying Lemma 4 yields that  $d(a)xa + aya + axd(a) \in \mathcal{Z}(R)$  for all  $x, y \in R$ . In particular,  $aRa \subseteq \mathcal{Z}(R)$  and so  $a = 0$ , a contradiction. Thus  $d$  must be  $X$ -inner. Write  $d = \text{ad}(b)$  for some  $b \in Q$ . We now reduce (4) to

$$(5) \quad baxa - axab \in \mathcal{Z}(R)$$

for all  $x \in R$ . Suppose for the moment that

$$(6) \quad baxa = axab$$

for all  $x \in R$ . In view of MARTINDALE's lemma [14], there exists  $\beta \in C$  such that  $(b - \beta)a = 0$ . Since  $d = \text{ad}(b) = \text{ad}(b - \beta)$ , replacing  $b$  by  $b - \beta$  we may assume that  $ba = 0$ . For  $x \in R$  there exists a polynomial  $g_{ax}(t) \in \mathbb{Z}[t]$  such that

$$[b, ax - (ax)^2g_{ax}(ax)] \in \mathcal{Z}(R)$$

and so

$$(7) \quad (ax - (ax)^2g_{ax}(ax))b = 0$$

for all  $x \in R$ . Applying Lemma 3 to (7) yields that  $RC$  is a strongly primitive ring. Suppose next that  $baxa - axab \neq 0$  for some  $x \in R$ . Applying [6, Theorem 1] we have  $\dim_C RC = 4$ . Thus  $RC$  is also a strongly primitive ring.

In either case,  $RC$  is a primitive ring with nonzero socle  $H$  and  $H$  possesses nontrivial idempotents as  $R$  is not a domain. For each idempotent  $e \in H$  we choose a nonzero ideal  $I$  of  $R$  such that  $eI(1-e) + (1-e)Ie \subseteq R$ . Thus, by assumption,  $[b, ex(1-e)] \in \mathcal{Z}(R)$  and  $[b, (1-e)xe] \in \mathcal{Z}(R)$  and so  $[b, [e, x]] \in \mathcal{Z}(R)$  for all  $x \in I$  and hence  $[b, [e, x]] \in C$  for all  $x \in H$  (see [7, Theorem 2]). Also, the additive subgroup of  $H$  generated by all idempotents in  $H$  contains  $[H, H]$  and, moreover,  $[[H, H], H] = [H, H]$  as  $H$  is a noncommutative simple ring. Therefore, we have  $[b, [H, H]] \subseteq C$ , implying that  $[b, [Q, Q]] \subseteq C$  by [7, Theorem 2] again. It is clear that  $[Q, Q]$  is a noncentral Lie ideal of the prime ring  $Q$ . Since  $b \notin C$ , applying [12, Lemma 8] we conclude that  $\text{char } R = 2$  and  $\dim_C RC = 4$ . But  $RC$  is not a domain, so  $RC = Q \cong M_2(C)$ . We claim that  $C$  is algebraic over  $\text{GF}(2)$ . Let  $\beta \in C$ . By assumption, there is a polynomial  $g(t) \in \mathbb{Z}[t]$  such that  $[b, \beta e_{11} - (\beta e_{11})^2 g(\beta e_{11})] \in C$ , implying  $[b, y] \in C$ , where  $y = (\beta - \beta^2 g(\beta))e_{11}$ . If  $y \notin [RC, RC]$ , then  $Cy + [RC, RC] = RC$  and so  $[b, RC] \subseteq C$ , implying that  $b \in C$ , a contradiction. Thus  $y \in [RC, RC]$  and so the trace of  $y$  is 0. That is,  $\beta - \beta^2 g(\beta) = 0$ . Thus  $\beta$  is algebraic over  $\text{GF}(2)$ , as desired. This proves the theorem.  $\square$

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