

## On the central series of the adjoint group of a nilpotent $p$ -algebra

By BERNHARD AMBERG (Mainz) and LEV KAZARIN (Yaroslavl)

**Abstract.** Let  $R$  be a finite nilpotent algebra over a field of characteristic  $p$  and  $G$  its adjoint group. If the product of the orders of all factors  $\gamma_i(G)/\gamma_{i+1}(G)$  of the lower central series  $\gamma_j(G)$  with  $|\gamma_i(G)/\gamma_{i+1}(G)| \geq p^3$  is bounded by some positive integer  $k$ , then the order of  $G$  is also bounded in terms of  $p$  and  $k$ .

### 1. Introduction

An associative nilpotent algebra  $R$  over a field  $F$  of prime characteristic  $p$  is called a  $p$ -algebra. Every associative nilpotent algebra  $R$  forms a group under the “circle multiplication”  $a \circ b = ab + a + b$  for each pair of elements in  $R$ . This nilpotent group is called the *adjoint group* of  $R$  and is denoted by  $R^\circ$ .

Several results are known about the structure of the adjoint group of a nilpotent algebra (see for instance [3], [4], [5], [6]). For example, KRUSE showed in [5] that the nilpotency class of the adjoint group of a nilpotent algebra  $R$  does not exceed  $(\dim R + 1)/2$ . On the other hand, it was proved in [1], that the adjoint group  $G$  of any nilpotent  $p$ -algebra  $R$  of dimension at least 6 has at least 3 generators. Hence in almost all

---

*Mathematics Subject Classification:* 16N40, 13A10.

*Key words and phrases:* nilpotent algebra, adjoint group, finite  $p$ -group.

The second author likes to thank the Deutsche Forschungsgemeinschaft (DFG) for financial support and the Department of Mathematics of the University of Mainz for its excellent hospitality during the preparation of this paper.

cases we have  $|G/G'| \geq p^3$ . In the following we obtain further information about the structure of finite  $p$ -groups that occur as the adjoint group of some nilpotent  $p$ -algebra.

If  $G$  is a finite  $p$ -group, we consider the natural numbers  $\mu_i = \mu_i(G)$  determined by  $|\gamma_i(G)/\gamma_{i+1}(G)| = p^{\mu_i}$ ; here  $\gamma_i(G)$  denotes the  $i$ -th term of the lower central series of  $G$ . It follows from the above result in [5] that only  $p$ -groups  $G$  for which the mean value of  $\mu_i$  is at least 2 can occur as the adjoint group of some finite nilpotent  $p$ -algebra of dimension at least 4. But what can be said about groups  $G$  for which  $|G : G'| < k$  and the other  $\mu_i$  are bounded by 2? The following theorem shows that in this situation the order of  $G$  is bounded.

**Theorem 1.1.** *Let  $G$  be a finite  $p$ -group such that the numbers  $\mu_i$  satisfies  $\sum_{\mu_i \geq 3} \mu_i \leq k$ . If  $G$  is the adjoint group of some nilpotent  $p$ -algebra  $R$ , then  $|G| \leq f(p, k)$  for some function  $f$  depending only on  $p$  and  $k$ .*

As an immediate consequence of this theorem we deduce the following

**Corollary 1.2.** *Let  $G$  be a finite  $p$ -group with two generators. If  $G$  occurs as the adjoint group of a nilpotent  $p$ -algebra, then the order of  $G$  is bounded.*

It was proved in [1], that in this case  $|G| \leq p^5$ .

The notation is as follows. The  $n$ -th power of an algebra  $R$  is the subalgebra  $R^n$  of  $R$  generated by the set of elements of the form  $x_1 x_2 \dots x_k$  with  $k \geq n$ , where  $x_1, x_2, \dots, x_k \in R$ . The algebra  $R$  is called *nilpotent* if  $R^m = 0$  for some positive integer  $m$ . The largest natural number  $n$  such that  $R^n \neq 0$  is the *nilpotency class* of  $R$ . The subalgebra of an algebra  $R$  generated by the set of elements  $x_1, x_2, \dots, x_s$  will be denoted by  $\langle\langle x_1, x_2, \dots, x_s \rangle\rangle$  whereas the subspace of the algebra  $R$  generated by these elements is  $\langle x_1, x_2, \dots, x_s \rangle$ . If  $R$  is a nilpotent algebra over the field  $F$ , then  $\widehat{R} = R \oplus F \cdot 1$  is its unital hull, i.e. the algebra obtained from  $R$  by the adjoining a unity. The *annihilator* of  $R$  is  $\text{Ann}(R) = \{x \in R \mid xy = yx = 0 \text{ for all } y \in R\}$  and the center of  $R$  is  $Z(R) = \{x \in R \mid yx = xy \text{ for all } y \in R\}$ . Furthermore  ${}^l\text{Ann}(S) = \{x \in R \mid xy = 0 \text{ for all } y \in S\}$ ,  ${}^r\text{Ann}(S) = \{x \in R \mid yx = 0 \text{ for all } y \in S\}$ . The minimal number of generators of an algebra  $R$  will be denoted by  $d(R)$ . Similarly  $d(G)$

denotes the minimal number of generators of the adjoint group  $R^\circ = G$  of  $R$ . Note that  $d(R) \leq d(G)$ . We will write  $d_i = \dim R^i/R^{i+1}$ .

If the dimension (the order) of some algebra  $R$  (of some group  $G$ ) is bounded in terms of parameters  $a, b, \dots, c$ , we will say that the dimension of  $R$  (the order of  $G$ ) is  $(a, b, \dots, c)$ -bounded.

### 2. A special class of nilpotent algebras

In this section we consider a nilpotent algebra  $R$  which can be written as a sum of two subspaces in the form  $R = L + \widehat{L}y$ , where  $L = \langle\langle x \rangle\rangle$  is a one-generator subalgebra of dimension  $n$  and  $y \in R$ . In this case  $R = \langle\langle x, y \rangle\rangle$  with relations  $yx = \phi(x) + \psi(x)y$ ,  $y^2 = \alpha(x) + \beta(x)y$  for some polynomials  $\phi, \psi, \alpha, \beta \in F[x]$ . Clearly, we may regard  $\phi(x), \alpha(x)$  as elements of  $L^2$  and  $\beta(x), \psi(x)$  as elements in  $L$ , so that  $\phi(x) \equiv \alpha(x) \equiv 0 \pmod{x^2}$ . These notations will remain fixed until the end of this section.

**Lemma 2.1.** *The algebra  $R$  has a basis of the form*

$$\{x, x^2, \dots, x^n, y, xy, \dots, x^m y\},$$

for some natural numbers  $n \geq m$ , such that  $x^{n+1} = yx^{m+1} = 0$ . If the minimal number of generators  $d(R^\circ)$  does not exceed  $k$ , then  $n - m - 1 \leq kp/(p - 1)$ .

PROOF. It follows from the above relations that  $R^2 = \langle xy, x^2 \rangle + R^3$ . Therefore  $R^i = xR^{i-1} + R^{i+1}$  and  $d_i \leq 2$  for each  $i \geq 2$ . If  $d_2 = 1$ , then  $R$  has a one-generator subalgebra  $L_1$  of codimension 1 and we may replace  $L$  by  $L_1$ . It is easy to see that  $R$  has a basis of the required form. If there exists a natural number  $j > 2$  such that  $d_{j-1} = 2$  and  $d_j = 1$ , then either  $x^{j-1}y = \lambda x^{j-1}$  for some  $\lambda \in L$  or  $x^j \in R^{j+1}$ . In the first case we have  $x^{j-1}(y - \lambda) = 0$  and we may consider  $y - \lambda$  instead of  $y$  which gives the required assertion about the basis. If  $x^j \in R^{j+1}$ , then  $xR^j \subseteq R^{j+2}$  and  $R^{j+1} = 0$ . In this case we are also done.

Now we consider a basis with the above properties and natural numbers  $n, m$  as above. Let  $S = {}^r\text{Ann}(x^{m+1})$ . Clearly  $\widehat{L}y \subseteq S$  and  $R = L + S$ , so that  $S = S \cap L + \widehat{L}y$ . Obviously  $\dim(L \cap S) = m + 1$ . It is clear that  $S$  is a right ideal of  $R$ . Since  $R = L + S$  and for each  $h \in L$

we have  $x^i h S = h x^i S$ , it follows that  $h S \subseteq S$  for each  $h \in L$  and  $S$  is also a right ideal of  $R$ . Now  $R/S$  is a one-generator algebra with  $\dim R/S = n - m - 1$ . It is easy to prove (see for instance [2]) that we have  $d((R/S)^\circ) = r((R/S)^\circ) \geq (p - 1)(n - m - 1)/p$ . Since  $d(R^\circ) \leq k$  the lemma is proved.  $\square$

**Lemma 2.2.** *Let  $R$  be as in the previous lemma and  $m, n$  as above. If  $m = n$ , then the algebra  $R$  is isomorphic with the subalgebra of the matrix algebra  $M_2(\widehat{L})$ , generated by the matrices*

$$u = \begin{pmatrix} x & 0 \\ \phi(x) & \psi(x) \end{pmatrix}, \quad v = \begin{pmatrix} 0 & 1 \\ \alpha(x) & \beta(x) \end{pmatrix},$$

where  $x$  is a generator of  $L$ . If  $G = R^\circ$  is the adjoint group of  $R$  and  $|G : G'| \leq k$ , then  $\dim R \leq 4kp$ .

PROOF. To establish an isomorphism between  $R$  and the required subalgebra of the algebra  $M_2(\widehat{L})$  it is enough to use the regular representation of this algebra regarded as an algebra over the ring  $\widehat{L}$ . Indeed, we have  $\widehat{R} = \widehat{L} \cdot 1 \oplus \widehat{L}y$ . Clearly, each element of  $R$  can be represented in a form  $h = a_{11} + a_{12}y$  for some  $a_{11} \in L, a_{12} \in \widehat{L}$ . In this case we have also that  $yh = a_{21} + a_{22}y$  with  $a_{21}, a_{22} \in L$ . So we may attach to each  $h \in R$  the matrix

$$[h] = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix},$$

where the coefficients  $a_{ij}, i, j \leq 2$  are uniquely determined by the above arguments. It is straightforward to see that for each  $g, h \in R, \lambda \in F$  we have  $[g + h] = [g] + [h], [gh] = [g][h]$  and  $[\lambda g] = \lambda[g]$ . Thus the mapping  $g \rightarrow [g]$  from  $R$  to  $M_2(\widehat{L})$  is an algebra isomorphism.

Define a homomorphism  $\theta : G \rightarrow L^\circ$  by the rule:

$$\theta(a) = \det([a] + I) - 1,$$

where  $\det$  is the determinant and  $I$  is the unit matrix for each matrix  $a$ . Clearly this map is defined on the set of all matrices of the form

$$\begin{pmatrix} L & \widehat{L} \\ L & L \end{pmatrix}$$

It is enough to check the property  $\theta(a \circ b) = \theta(a) \circ \theta(b)$  for each pair of matrices from this set. Indeed, we have

$$\begin{aligned} \theta(a \circ b) &= \det([a \circ b] + I) - 1 = \det(( [a] + I)( [b] + I)) - 1 \\ &= \det([a] + I) \det([b] + I) - 1 = (\theta(a) + 1)(\theta(b) + 1) - 1 \\ &= \theta(a)\theta(b) + \theta(a) + \theta(b) = \theta(a) \circ \theta(b). \end{aligned}$$

Prove now that if  $|G : G'| \leq k$ , then  $\dim R \leq 4kp$ . We will determine the image of an element  $[x] = u$  under the map  $\theta$ . It is obvious that  $\theta(u) = x\psi(x) + x + \psi(x)$ . If  $x + \psi(x) \not\equiv 0 \pmod{x^2}$ , then  $(x\psi(x) + x + \psi(x))^{p^m} = 0$  only when  $p^m > n$ . Clearly, if  $p^m \leq n < p^{m+1}$ , then there exists an element of order  $p^m$  in  $G/G'$ . However  $|G/G'| \leq k$ . Hence  $k \geq p^m$ . This implies  $n < kp$ .

Suppose that  $x + \psi(x) \equiv 0 \pmod{x^2}$ . In this case

$$\theta(u^2) = x^2 + (\psi(x))^2 + (x\psi(x))^2 \equiv 2x^2 \pmod{x^3}.$$

Hence if  $2p^m \leq n$  and  $p > 2$ , then  $k \geq |G/G'| \geq p^m$ . Choose  $m$  such that  $2p^m \leq n < 2p^{m+1}$ . If  $p > 2$ , then by the above considerations  $n \leq 2kp$ .

Now let  $p = 2$ . As before we obtain that  $\psi(x) \equiv x + x^2 \pmod{x^3}$ . In this case

$$\theta(u^3) = x^3(\psi(x))^3 + x^3 + (\psi(x))^3 \equiv x^3 + (\psi(x))^3 \pmod{x^5}.$$

But  $(x + x^2)^3 \equiv x^3 + x^4 \pmod{x^5}$ , so that  $n < 4kp$ . The lemma is proved.  $\square$

**Lemma 2.3.** *Let  $R = L + \widehat{L}y$  be a finite nilpotent algebra over a field of characteristic  $p$  with adjoint group  $G = R^\circ$ . If  $|G : G'| \leq k$ , then  $\dim R \leq 4kp + 2k + 1$ .*

PROOF. By Lemma 2.1  $R$  has a basis  $\{x, x^2, \dots, x^n, y, xy, \dots, x^m y\}$ . Suppose that  $\dim L = n$ . Then  $x^m y \neq 0 = x^{m+1} y$ . Since  $|G : G'| \leq k$  we have  $d(G) \leq k$ . By Lemma 2.1 this implies that  $n - m - 1 \leq kp/(p - 1)$ . Hence  $n - m \leq 2k + 1$ . It is easy to see that  $R^{m+1} = \langle x^{m+1}, \dots, x^n \rangle$  is an ideal of  $R$  with dimension  $n - m$ . Hence the adjoint group  $G_1$  of the algebra  $R/R^{m+1}$  is a homomorphic image of  $G$  and so  $|G_1 : G'_1| \leq k$ . By Lemma 2.2 we have  $\dim R/R^{m+1} \leq 4kp$ . Therefore  $\dim R \leq 4kp + 2k + 1$ , and the lemma is proved.  $\square$

### 3. Some general lemmas

The following lemma generalizes a result of STACK [7] for commutative nilpotent algebras.

**Lemma 3.1.** *Let  $R$  be a nilpotent algebra over an arbitrary field  $F$ . If  $d_i \leq 2$  for some  $i > 1$ , then  $d_j \leq d_i$  for each  $j \geq i$  and there is an element  $x \in R$  such that  $xR^{i-1} + R^{i+1} = R^i$  or  $R^{i-1}x + R^{i+1} = R^i$ .*

PROOF. If  $d_i = 1$ , then  $xR^{i-1} + R^{i+1} = R^i$  for some  $x \in R$ . Thus the lemma is obvious in this case.

Suppose now that  $d_i = 2$ . It is clear that  $R^i$  is generated by monomials of the form  $x_1x_2 \dots x_j$ , where  $x_1, x_2, \dots, x_j \in R$  and  $j \geq i$ . Therefore there are two monomials  $e_1 = x_1x_2 \dots x_i$  and  $e_2 = y_1y_2 \dots y_i$  such that  $\langle e_1, e_2 \rangle \oplus R^{i+1} = R^i$ . Let  $x = x_i$ ,  $y = y_i$  and  $u_1 = x_1x_2 \dots x_{i-1}$ ,  $u_2 = y_1y_2 \dots y_{i-1}$ . Thus  $e_1 = u_1x$ ,  $e_2 = u_2y$ .

Suppose that  $u_1$  and  $u_2$  are linearly independent and  $R^i \neq R^{i-1}x + R^{i+1}$ ,  $R^i \neq R^{i-1}y + R^{i+1}$ . Then  $u_1y = \mu e_2 \pmod{R^{i+1}}$  and  $u_2x = \lambda e_1 \pmod{R^{i+1}}$  with  $\mu, \lambda \in F$ . If the elements  $e_1 + \mu e_2$  and  $\lambda e_1 + e_2$  are linearly independent modulo  $R^{i+1}$ , then  $u_1(x+y)$  and  $u_2(x+y)$  form a basis of  $R^i/R^{i+1}$ , so that  $R^i = R^{i-1}(x+y) + R^{i+1}$ . Hence these elements are linearly dependent which implies  $\lambda\mu = 1$ . Now we may assume that  $u_1x = e_1$ ,  $u_1y = e_2$ . In this case we have  $u_1x = x_1(x_2 \dots x_{i-1}x)$  and  $u_1y = x_1(x_2 \dots x_{i-1}y)$ , which proves that  $R^i = x_1R^{i-1} + R^{i+1}$ , as claimed.

Show that  $d_{i+1} \leq d_i$ . By the above considerations we may assume that  $R^i = R^{i-1}x + R^{i+1}$  for some  $x \in R$ . Then  $R^{i+1} = RR^i = R(R^{i-1}x + R^{i+1}) = R^ix + R^{i+2}$ . Therefore

$$R^{i+1} \subseteq (\langle e_1, e_2 \rangle + R^{i+1})x + R^{i+2} \subseteq \langle e_1x, e_2x \rangle + R^{i+2}.$$

This shows that  $d_{i+1} = \dim R^{i+1}/R^{i+2} \leq 2 = d_i$ . □

**Lemma 3.2.** *Let  $R$  be a nilpotent algebra such that  $d_i = d_{i+1} = d \leq 2$  for some  $1 < i < n$  and  $R^i = xR^{i-1} + R^{i+1}$  for some  $x \in R$ . Then  $R^i = \langle x^i, x^{i+1}, \dots, x^n, x^{i-1}y, \dots, x^m y \rangle$  for some  $y \in R$ ,  $m \leq n$ ,  $x^{n+1} = x^{m+1}y = 0$ . If  $d_i = 1$ , then  $R^i = \langle x^i, x^{i+1}, \dots, x^n \rangle$ . Moreover  $R = D + S$  where  $S = {}^r\text{Ann}(x^{i-1})$  and  $D = \langle \langle x, y \rangle \rangle$ .*

PROOF. If  $d = 1$  then clearly  $R^i = L^i = x^{i-1}L$  for some subalgebra  $L = \langle \langle x \rangle \rangle$ . The lemma is evident in this case.

Suppose that  $d = 2$ . We have  $R^i = xR^{i-1} + R^{i+1}$  for some  $x \in R$ . Since  $d_{i+1} = 2$  it follows that  $R^i = \langle e_1, e_2 \rangle + R^{i+1}$  for some  $e_1, e_2 \in R^i$  and  $R^{i+1} = \langle xe_1, xe_2 \rangle + R^{i+2}$ . On the other hand, there are elements  $v_1, v_2 \in R^{i-1}$  such that  $xv_1 = e_1, xv_2 = e_2$ . Thus  $w_1 = xe_1 = x^2v_1, w_2 = xe_2 = x^2v_2$ . Choose an element  $e_1$  such that  $e_1 = x^jba$  with  $x^jb \in R^{i-1}$  and  $j$  is the largest possible such exponent. Then we have  $w_1 = x^{j+1}ba$  and  $x^{j+1}b \in R^i$ . If  $x^{j+1}b \in R^{i+1}$ , then  $w_1 = x^{j+1}ba \in R^{i+2}$ , which is not the case. Therefore we may replace  $e_1$  or  $e_2$  by  $x^{j+1}b$ , which gives a contradiction. Therefore, we may assume that  $e_1 = x^i$  and  $w_1 = x^{i+1}$ . Using the same arguments, we can easily prove that  $e_2$  can be chosen in the form  $x^{i-1}y$  for some  $y \in R$ . Let  $D = \langle \langle x, y \rangle \rangle$ . It is clear that  $R^i = x^{i-1}D + R^{i+1}$ . It follows by induction that  $R^j = x^{j-1}D + R^{j+1}$  for each  $j \geq i$ . In particular, it is easy to see that  $R^i \subseteq x^{i-1}D = D^i$ . In this case  $R^i = \langle x^i, \dots, x^n, x^{i-1}y, \dots, x^m y \rangle$  for some integers  $m \leq n$  such that  $x^{n+1} = 0 = x^{m+1}y$ . Suppose that  $h \in R$ . Then we have  $x^{i-1}h \in R^i$ . It is obvious that  $x^{i-1}h = x^{i-1}l$  for some  $l \in D$ , since  $R^i \subseteq D^i = x^{i-1}D$ . Therefore  $x^{i-1}(h - l) = 0$ , i.e.  $h - l \in {}^r\text{Ann}(x^{i-1}) = S$ . In this case  $R = D + S$ , and the lemma is proved.  $\square$

**Lemma 3.3.** *Let  $R$  be a nilpotent algebra containing a subspace  $M = L + \widehat{L}y$  for some  $y \in R$  and  $L = \langle \langle x \rangle \rangle$  such that  $R^i \subseteq x^{i-1}M$  for some  $1 < i$ . Then  $R = M + S$  where  $S = {}^r\text{Ann}(x^{i-1})$  and  $\dim S$  is  $(d(R), i)$ -bounded.*

PROOF. Suppose that  $R^i \subseteq M$  and  $h \in R$ . Then  $x^{i-1}h \in R^i \subseteq x^{i-1}M$  and  $x^{i-1}h = x^{i-1}m$  for some  $m \in M$ . It follows that  $x^{i-1}(h - m) = 0$ , so that  $h \in M + {}^r\text{Ann}(x^{i-1})$ . In this case  $R^{i+1} \subseteq x^iM$  and  $R/R^{i+1}$  has  $(d(R), i)$ -bounded dimension. Hence  $\dim(S + R^{i+1})/R^{i+1} = \dim S/(S \cap R^{i+1})$  is  $(d(R), i)$ -bounded. On the other hand,  $S \cap R^{i+1} = S \cap x^iM$ . By Lemma 3.2 we may assume that  $R^i$  has a basis of the form  $\{x^i, x^{i+1}, \dots, x^n, x^{i-1}y, x^i y, \dots, x^m y\}$  with  $x^{n+1} = 0 = x^{m+1}y$ . If  $s \in S \cap R^{i+1}$ , then  $s = l_1 + l_2 y$  with  $l_1, l_2 \in L$ . Since  $x^{i-1}s = 0$  it is clear that  $x^{i-1}l_1 = x^{i-1}l_2 y = 0$ . Hence  $l_1 = x^{n-i+1}l', l_2 = x^{m-i}l''$  for  $m > i$ , where  $l', l'' \in L$ . In each case  $\dim(S \cap R^{i+1}) \leq 2i$ . Therefore  $\dim S$  is  $(d(R), i)$ -bounded. The lemma is proved.  $\square$

**Lemma 3.4.** *Let  $R, M, S$  and  $i$  be as in the previous lemma. Then there exists an ideal  $T$  of  $R$  such that  $R = M + T$  and  $\dim T$  is  $(d(R^\circ), i)$ -bounded.*

PROOF. By Lemma 3.3 we have  $R = M + S$ , where  $S$  is a right annihilator of  $x^{i-1}$ , whose dimension is  $(d(R), i)$ -bounded. Clearly,  $S$  is a right ideal of  $R$ . If  $j \geq \dim S$ , then  $SR^j = 0$ . Indeed, if this is not true, then  $sz_1z_2 \dots z_j \neq 0$  for some  $z_1, z_2, \dots, z_j \in R$  and  $s \in S$ . By the well-known Frobenius lemma (see, for instance [5]) the elements

$$sz_1z_2 \dots z_j, sz_1z_2 \dots z_{j-1}, \dots, sz_1, s$$

are linearly independent and are contained in  $S$ . Since  $\dim S \leq j$  this is a contradiction.

Now  $S \subseteq {}^l\text{Ann}(R^j) = T$  for some  $j$ , which is  $(d(R), i)$ -bounded. Since  $R = M + S$  and  $S \subseteq T$ , we have  $R = M + T$ . It is obvious that  $T$  is a left ideal of  $R$ . Clearly,  $ThR^j \subseteq TR^{j+1} = 0$  for every  $h \in R$ . Hence  $Th \subseteq T$  for each  $h \in R$ , and so  $T$  is also a right ideal of  $R$ .

Next we show that  $\dim T$  is  $(d(R^\circ), i)$ -bounded. Obviously  $T \subseteq {}^l\text{Ann}(x^j)$ . Hence  $\dim T \cap L \leq j$ . By the isomorphism theorem we have  $R/T \simeq M/M \cap T$  and  $M/M \cap T = L_1 + \widehat{L}_1 z$  for some  $z \in M/M \cap T$ ,  $L_1 \simeq L/(L \cap T)$ . It is easy to see that  $\dim L_1 = n - j$ , where  $n = \dim L$ . By Lemma 2.1 we have  $\dim R/T = n - j + m_1 + 1$  with  $n - j - m_1 \leq 2d(R^\circ)$ . On the other hand,  $m_1 + n - j + 1 = \dim M/(M \cap T) = \dim M - \dim(M \cap T)$ . Hence  $\dim(M \cap T) = \dim M - (m_1 + n - j + 1) \leq n - m_1 + j$ . Since  $n - m_1 \leq j + 2d(R^\circ)$  it follows that  $\dim(M \cap T)$  is  $(d(R^\circ), i)$ -bounded. Since  $S \subseteq T$  and  $R = M + S$ , we have that  $T = (T \cap M) + S$ . Therefore  $\dim T$  is  $(d(R^\circ), i)$ -bounded. The lemma is proved.  $\square$

#### 4. Proof of the theorem

Let  $R$  be a finite nilpotent  $p$ -algebra and  $G = R^\circ$  its adjoint group. It follows from the hypothesis of the theorem, that  $|G : G'| \leq p^k$ . Hence  $d(R) \leq d(G) \leq k$ . We show that there exists an integer  $i$  depending only on  $k$  such that  $d_i = \dim R^i/R^{i+1} \leq 2$ .

Let  $n_1, n_2, n_3$  denote the number of  $d_i = 1, d_i = 2$  or  $d_i \geq 3$  respectively. It follows from Lemma 2.1 that if  $d_i \leq 2$ , then  $d_{i+1} \leq d_i$ .



Prove that  $n_1 \leq k - 1$ . Denote by  $n(R) = n$  the nilpotency class of  $R$ . Suppose that  $d_{i-1} > 1$  and  $d_i = 1$ . It is obvious that  $n_1 = n - i$ . By Lemma 3.1 we have  $R = L + S$ , where  $S = {}^l\text{Ann}(x^{i-1})$  is a left ideal of  $R$  and  $L = \langle\langle x \rangle\rangle$  for some  $x \in R$ . However this is also a right ideal since  $Slx^{i-1} = Sx^{i-1}l = 0$  for each  $l \in L$ . Hence there exists a natural homomorphism  $R \rightarrow L/(L \cap S)$  with kernel  $S$ . Note that  $\dim(L \cap S) = i - 1$  and  $\dim L/(L \cap S) = n - i + 1$ . Since  $L$  is commutative, then  $|G : G'| \geq p^{n-i+1}$ . It follows from  $|G : G'| \leq p^k$  that  $n - i + 1 \leq k$  and  $n_1 = n - i \leq k - 1$  as claimed.

Since  $n = n(R) = n_1 + n_2 + n_3$  and  $\dim R \geq n_1 + 2n_2 + 3n_3$ , then we have

$$n_1 + 2n_2 + 3n_3 = 3n - n_2 - 2n_1 \leq \dim R \leq k + 2(m - t),$$

where  $t$  is the number of  $\mu_i$  such that  $\mu_i \geq 3$ . Recall that  $0 \subset R^n \subset \dots \subset R^2 \subset R$  is a lower central series of  $R$  and  $1 = \gamma_m(G) \subset \dots \subset \gamma_2(G) \subset \gamma_1(G) = G = R^\circ$  is the lower central series of  $G$ . Hence  $n \geq m$ . Now we have

$$n + 2m - n_2 - 2n_1 \leq 3n - n_2 - 2n_1 \leq k + 2(m - 1) \leq k + 2m.$$

Therefore  $n_3 = n - n_2 - n_1 \leq k + n_1 \leq 2k - 1$ . It follows that for some  $i \leq n_3 + 1 \leq 2k$  we have  $d_i \leq 2$ . By Lemma 3.4 there exists an ideal  $T$  of  $R$  with  $(k, p)$ -bounded dimension such that  $R/T = L + \widehat{L}y$  for some one-generator subalgebra  $L$  of  $R/T$  and  $y \in R/T$ . By Lemma 2.3 the dimension of  $R/T$  is also  $(k, p)$ -bounded. The theorem is proved.

### 5. Proof of the corollary

Let the group  $G$  with  $d(G) \leq 2$  be the adjoint group of a nilpotent  $p$ -algebra  $R$ . Then  $\dim R/R^2 \leq 2$ . Clearly the class of the adjoint group of  $R/R^3$  is at most 2 and its commutator subgroup has order at most 2. Thus  $\dim R^2/R^3 \leq 2$  and by Lemma 3.1 we have  $d_i \leq 2$  for each  $i \geq 2$ . Hence the order of  $G$  is bounded by Theorem 1.1. The corollary is proved.

### References

- [1] B. AMBERG and L. KAZARIN, The dimension of nilpotent 2-algebras with two generators, *Proc. of the Scorina Gomel State Univ.* **N3** (16) (2000), 76–79.
- [2] B. AMBERG and L. KAZARIN, On the rank of a product of two finite  $p$ -groups and nilpotent  $p$ -algebras, *Comm. Algebra* **27** (8) (1999), 3895–3907.
- [3] X. DU, The centers of a radical rings, *Can. Math. Bull.* **35** (1992), 174–179.
- [4] A. N. KRASIL'NIKOV, On the group of units of a ring whose associated Lie ring is metabelian, *Russian Math. Surveys* **47** (1992), 214–215.
- [5] R. L. KRUSE and T. PRICE, Nilpotent rings, *Gordon and Breac, New York*, 1969.
- [6] R. K SHARMA and J. B. SRIVASTAVA, Lie centrally metabelian group rings, *J. Algebra* **151** (1992), 476–486.
- [7] C. STACK, Some results on the structure of finite nilpotent algebras over fields of prime characteristic, *Journ. Combinat. Math. Combin. Comput.* **28** (1998), 327–335.

BERNHARD AMBERG  
FACHBEREICH MATHEMATIK  
DER UNIVERSITÄT MAINZ  
D-55099 MAINZ  
GERMANY

*E-mail:* amberg@mathematik.uni-mainz.de

LEV KAZARIN  
DEPARTMENT OF MATHEMATICS  
YAROSLAVL STATE UNIVERSITY  
150000 YAROSLAVL  
RUSSIA

*E-mail:* kazarin@uniyar.ac.ru

*(Received November 14, 2002; revised January 9, 2003)*