

Ideal theory in the semiring Z^+

By PAUL J. ALLEN and LOUIS DALE (Alabama)

It is well known that the ring of integers Z is a principal ideal ring and that Z is Noetherian. It is equally well known that the semiring (the definitions and results appearing in ALLEN [1] and [2] will be used throughout this paper) of non-negative integers Z^+ is not a principal ideal semiring. It is generally assumed without question that Z^+ is Noetherian; however, a proof has not been presented in the semiring literature, and prior to this paper, the ideals in Z^+ have not been classified. It will be shown that there is only one basic type of ideal in Z^+ and that all ideals in Z^+ are related to this basic type in a natural way. Consequently, it will be an easy matter to classify ideals in Z^+ and present a proof that Z^+ is a Noetherian semiring. From these results, the discovery is made that Z^+ is an "almost principal" ideal semiring.

When $n \in Z^+$, the notation T_n will be used to denote $\{t \in Z^+ | t \geq n\} \cup \{0\}$. The following are elementary facts concerning T_n .

Theorem 1. *If $n \in Z^+$, then T_n is an ideal in Z^+ such that*

1. $T_0 = T_1 = Z^+$,
2. *If $1 \leq n < m$, then $T_m \subset T_n$ and $T_m \neq T_n$,*
3. $T_n \cup T_m = T_k$, *where $k = \min \{n, m\}$,*
4. $T_n \cap T_m = T_q$, *where $q = \max \{n, m\}$, and*
5. $\bigcap \{T_i | i \in Z^+\} = \{0\}$.

PROOF. Let $a \in T_n$ and $b \in T_n$. Since $a \geq n$ and $b \geq n$, it follows that $a + b \geq 2n \geq n$. Moreover, if $k \in Z^+$, where $k \neq 0$, then $ka \geq kn \geq n$. Therefore, $a + b \in T_n$ and $ka \in T_n$ and it is clear that T_n is an ideal in Z^+ . The proofs of properties (1) through (5) are straightforward and will be omitted.

When $a \in Z^+$ and $b \in Z^+$, the notation $S(a, b)$ will be used to denote the set $\{t \in Z^+ | a \leq t \leq b\}$.

Theorem 2. *If $n > 1$, then $S(n, 2n)$ is a finite basis for T_n .*

PROOF. Let $p \in T_n$. If $p \in S(n, 2n)$ or $p = cn$ for some $c \in Z^+$, then p is generated by $S(n, 2n)$. Let $p > 2n$ and $p \neq cn$ for any $c \in Z^+$. There exists a $k \geq 2$ such that $kn < p < (k+1)n$. However, this guarantees the existence of an $s < n$ such that $kn + s = p$, and it follows that $n + s \in S(n, 2n)$. Therefore, $p = kn + s = (k-1)n + n + s$, where $n \in S(n, 2n)$ and $(n+s) \in S(n, 2n)$, and it follows that $S(n, 2n)$ is a basis for T_n .

Theorem 3. Z^+ satisfies the ascending chain condition on T_n -ideals.

PROOF. Let $\{T_{n_\alpha}\}$ be an ascending chain of T_n -ideals in Z^+ . It follows from Theorem 1 that $\{n_\alpha\}$ is a nonincreasing sequence of positive integers. Since any nonincreasing sequence of positive integers is finite there exists $\mu \in Z^+$ such that $n_i = n_\mu$ for each $i \geq \mu$. Therefore $T_{n_i} = T_{n_\mu}$ for each $i \geq \mu$ and Z^+ satisfies the ascending chain condition on T_n -ideals.

The following lemmas will be essential in the characterization of all ideals in Z^+ . They also give some methods by which one can determine if an ideal in Z^+ contains a T_n -ideal.

Lemma 4. Let I be an ideal in Z^+ . If $a \in I$, $m \in Z^+$, where $m \neq 0$, and $S(ma, (m+1)a) \subset I$, then there exists an $n \in Z^+$ such that $T_n \subset I$.

PROOF. Suppose $p \in Z^+$, $p > (m+1)a$ and $p \neq ca$ for $c \in Z^+$. Since there exists $k \geq m+1$ such that $ka < p < (k+1)a$, one has $ka + s = p$ for some $s < a$. Clearly $s < a$ implies that $ma + s \in S(ma, (m+1)a) \subset I$. Therefore, $p = ka + s = (k-m)a + ma + s \in I$. Consequently, $T_{ma} \subset I$ and the lemma follows.

Lemma 5. Let I be an ideal in Z^+ . If there exists an $a \in I$ such that $a+1 \in I$, then there exists an n such that $T_n \subset I$.

PROOF. If I is a T_n -ideal, the lemma is obvious. Suppose I is not a T_n -ideal and a is the least element in I such that $a+1 \in I$. Since I is an ideal, a series of simple calculations show that the following elements belong to I :

$$\begin{array}{l} a, a+1 \\ 2a, 2a+1, 2a+2 \\ 3a, 3a+1, 3a+2, 3a+3 \\ \dots\dots\dots \\ aa, aa+1, aa+2, aa+3, \dots, aa+a = a(a+1). \end{array}$$

The last row of elements is $S(a^2, (a+1)a)$ and in view of Lemma 4, there exists an $n \in Z^+$ such that $T_n \subset I$.

Lemma 6. Let $a \in Z^+$ and $b \in Z^+$ where $a \neq 0$ and $b \neq 0$. If d is the greatest common divisor of a and b , then there exists $s \in Z^+$ and $t \in Z^+$ such that $sa = tb + d$ or $tb = sa + d$.

PROOF. From elementary number theory, it is well known that $d = s'a + t'b$ for some integers s' and t' . Since $0 \leq d \leq a$, $0 \leq d \leq b$ and both a and b are positive, it follows that $s' \leq 0$ and $t' \geq 0$, or $s' \geq 0$ and $t' \leq 0$. If perchance $s' \leq 0$ and $t' \geq 0$, then $tb = sa + d$ where $0 \leq t' = t$ and $0 \leq -s' = s$. On the other hand, if $s' \geq 0$ and $t' \leq 0$, then $sa = tb + d$ where $0 \leq s' = s$ and $0 \leq -t' = t$, and the conclusions follows.

The above lemma is necessary for the following:

Lemma 7. Let I be an ideal in Z^+ , $a \in I$ and $b \in I$. If a and b are relatively prime, then there exists an n such that $T_n \subset I$.

PROOF. Since 1 is the greatest common divisor of a and b , the above lemma guarantees the existence of $s \in Z^+$ and $t \in Z^+$ such that $sa = tb + 1$ or $tb = sa + 1$. Since I is an ideal it is clear that $sa \in I$ and $tb \in I$. Consequently, $sa + 1 \in I$ or $tb + 1 \in I$ and the lemma follows from Lemma 5.

It is easy to see that for $m \neq n$, T_m and T_n differ by at most a finite number of elements. Since $Z^+ = T_1$, it follows that Z^+ differs from a T_n -ideal by at most a finite number of elements. Consequently, if I is an ideal in Z^+ containing a T_n -ideal, then $T_n \subset I \subset Z^+$ and it follows that Z^+ and I differ by at most a finite number of elements. It will be shown that an ideal I in Z^+ not containing a T_n -ideal differs from the multiples of some positive integer $d > 1$ by at most a finite number of elements. Consequently, if I is an ideal in Z^+ not containing a T_n -ideal, then there exist $m \in Z^+$ and $d \in Z^+$, where $d > 1$, such that $dT_m \subset I \subset (d)$.

In view of the above remarks, the ideals in Z^+ are classified according to the following definition.

Definition 8. An ideal I in Z^+ will be called a T -ideal if $T_k \subset I$ for some $k \in Z^+$. All other ideals in Z^+ will be called M -ideals.

It is clear that Z^+ is a T -ideal and $\{0\}$ is an M -ideal. The following theorem gives a characterization of T -ideals in Z^+ and will be used to show that Z^+ is Noetherian.

Theorem 9. An ideal I in Z^+ is a T -ideal if and only if I has a finite basis and $I = K \cup T_k$ where T_k is the maximal T_n -ideal contained in I and $K = \{t \in I \mid 0 < t < k\}$.

PROOF. Suppose I is a T -ideal and $T_n \subset I$. Let $S = \{n \in Z^+ \mid T_n \subset I\}$. It is clear that S is a non-empty subset of Z^+ and by the Well-Ordering Principle, S contains a least element, say k . By Theorem 1, $T_n \subset T_k$ for each $n \in S$ and it is clear that T_k is the maximal T_n -ideal contained in I . Letting $K = \{t \in I \mid 0 < t < k\}$ one has $I = K \cup T_k$. According to Theorem 2, $S(k, 2k)$ is a finite basis for T_k . Since K is a finite set, $S(k, 2k) \cup K$ is a finite basis for I . The converse of the theorem is obvious.

Theorem 10. If $n \in Z^+$ and $d \in Z^+$, then dT_n is an ideal in Z^+ such that

1. $dT_1 = (d)$ and $dT_n = T_n$ if and only if $d = 1$,
2. $dT_n = \{0\}$ if and only if $d = 0$,
3. If $m < k$, then $dT_k \subset dT_m$,
4. $dT_m \cup dT_k = dT_p$, where $p = \min\{m, k\}$,
5. $dT_m \cap dT_k = dT_q$, where $q = \max\{m, k\}$, and
6. $\bigcap \{dT_n \mid n \in Z^+\} = \{0\}$.

PROOF. Suppose $x \in dT_n$ and $y \in dT_n$. Then there exist $k \cong n$ and $q \cong n$ such that $x = kd$ and $y = qd$. Clearly, $k + q \cong n$ and $x + y = kd + qd = (k + q)d \in dT_n$. If $c \in Z^+$ where $c \neq 0$, then $ck \cong n$ and $cx = c(kd) = (ck)d \in dT_n$. Therefore, dT_n is an ideal in Z^+ . The proofs of (1) through (6) are straightforward and are omitted.

It will be shown that for any ideal I in Z^+ there exist $n \in Z^+$ and $d \in Z^+$ such that dT_n is contained in I . Consequently, the dT_n -ideal is the basic type of ideal in Z^+ and the study of ideals in Z^+ is reduced to the problem of finding a maximal dT_n -ideal for each ideal in Z^+ . It has already been observed in the previous theorem that $dT_n = T_n$ if $d = 1$ and $dT_n = \{0\}$ if $d = 0$. Consequently, it only remains to study

the case for $d > 1$. For this purpose, in the remainder of this paper it will be assumed that $d > 1$ unless otherwise stated.

The following three lemmas are analogues of well known properties of ideals in Z .

Lemma 11. *If $p \in Z^+$, $q \in Z^+$ and p divides q , then $qT_n \subset pT_n$.*

PROOF. Suppose $a \in qT_n$. There exists $k \geq n$ such that $a = kq$. Since p divides q , there exists $t \geq 1$ such that $q = tp$. Consequently, $a = kq = k(tp) = (kt)p \in pT_n$, since $kt \geq n$, and it follows that $qT_n \subset pT_n$.

Lemma 12. *If $dT_c \subset bT_a$ then b divides d .*

PROOF. Suppose $dT_c \subset bT_a$. Since $cd \in bT_a$, there exists $p \geq a$ such that $cd = pb$ and b divides cd . By definition of dT_c , $(c+1)d \in bT_a$ and there exists $q \geq a$ such that $(c+1)d = qb$. Consequently, b divides $(c+1)d = cd + d$ and in view of the fact that b divides cd , one has b divides d .

Lemma 13. *If $bT_a \cap dT_c \neq \{0\}$, then there exist $p \in Z^+$ and $q \in Z^+$ such that $qT_p \subset bT_a \cap dT_c$.*

PROOF. Suppose $x \in bT_a \cap dT_c$. It is clear that $xT_1 \subset bT_a$ and that $xT_1 \subset dT_c$, and the proof is complete.

The following two lemmas are essential to show that Z^+ is Noetherian on dT_n -ideals.

Lemma 14. *Any ascending sequence $\{bT_{a_j}\}$ is finite.*

PROOF. Suppose $\{bT_{a_j}\}$ is an ascending sequence of ideals in Z^+ . By Theorem 10, $\{a_j\}$ is a decreasing sequence of positive integers and is therefore finite; i.e., there exists $\alpha \in Z^+$ such that $a_\alpha = a_n$ for each $n \geq \alpha$. Therefore, $bT_{a_\alpha} = bT_{a_n}$ for each $n \geq \alpha$.

Lemma 15. *Any ascending sequence $\{b_iT_a\}$ is finite.*

PROOF. Let $\{b_iT_a\}$ be an ascending sequence of ideals in Z^+ . In view of Lemma 12, b_i divides b for $i \in \{2, 3, 4, \dots\}$. Since b is finite and not zero there can only be a finite number of distinct b_i 's. Hence, there is an $\alpha \in Z^+$ such that $b_\alpha = b_n$ for each $n \geq \alpha$ and it follows that $b_\alpha T_a = b_n T_a$ for each $n \geq \alpha$.

Theorem 16. *Z^+ satisfies the ascending chain condition on dT_n -ideals.*

PROOF. Let $\{b_iT_{a_i}\}$ be an ascending chain of ideals in Z^+ . By Lemma 15, there exists $\alpha \in Z^+$ such that $b_\alpha = b_i$ if $i \geq \alpha$. By Lemma 14, there exists $\beta \in Z^+$ such that $a_\beta = a_j$ if $j \geq \beta$. If $k = \max\{\alpha, \beta\}$, then $b_kT_{a_k} = b_pT_{a_p}$ for $p \geq k$.

When $x \in Z^+$, $y \in Z^+$ and $d \in Z^+$ where $d > 1$, denote by $S_d(x, y)$ the set $\{k \in Z^+ \mid x \leq k \leq y \text{ and } k = md \text{ for some } m \in \{Z^+\}\}$.

Lemma 17. *$S_d(nd, 2nd)$ is a finite basis for dT_n .*

PROOF. Let $p = qd \in dT_n$. If $p \in S_d(nd, 2nd)$ or $p = a(nd)$ for some $a \in Z^+$, then p is generated by $S_d(nd, 2nd)$. Suppose $p \notin S_d(nd, 2nd)$ and $p \neq a(nd)$ for $a \in Z^+$. Since $p > 2nd$ and there exists $k > 2$ such that $knd < p < (k+1)nd$, it follows that there exists an $s = td < nd$ such that $knd + s = p$. However, $s < nd$ implies that $nd + s = nd + dt = nd + td = (n+t)d \in S_d(nd, 2nd)$. Therefore, $p = knd + s = (k-1)nd + nd + s$ and $S_d(nd, 2nd)$ is a finite basis for dT_n .

Lemma 18. *Let I be an ideal in Z^+ , $a \in I$ and d divide a , where $d > 1$. If there exists $m \in Z^+$ such that $S_d(ma, (m+1)a) \subset I$, then there exists $n \in Z^+$ such that $dT_n \subset I$.*

PROOF. If d divides a , then there exists $b \in Z^+$ such that $a = bd$ and it follows that $S_d(ma, (m+1)a) = S_d((mb)d, (md+b)d)$. Clearly, if $p = qd$, where $mb \leq q \leq (m+1)b$, then $p \in S_d(ma, (m+1)a) \subset I$. Suppose $p = qd$, where $q > (m+1)b$. It is clear that $p > (m+1)a$ and there exists $k \geq 1$ such that $k(ma) \leq p \leq (k+1)ma$. Consequently, there exists $s \in Z^+$ such that $s < ma$ and $k(ma) + s = p$. However, $k(ma) + s = (kmb)d + s = p = qd$ and it follows that $s = cd$ for some $c \in Z^+$. Moreover, $ma + s < (m+1)a$ and it is easy to see that $ma + s = m(bd) + cd = (mb + c)d \in S_d(ma, (m+1)a) \subset I$. Therefore, $ma + s \in I$ and $(k-1)ma \in I$ together imply that $p = qd = k(ma) + s = (k-1)ma + (ma + s) \in I$. This shows that for each $q \geq mb$, $qd \in I$ and consequently, letting $n = mb$ it is clear that $dT_n \subset I$.

Theorem 19. *Let I be an ideal in Z^+ , $a \in I$ and $b \in I$. If a and b are relatively prime, then there exists $n \in Z^+$ such that $dT_n \subset I$, where d is the greatest common divisor of a and b .*

PROOF. Since d is the greatest common divisor of a and b , $b = pd$ for some $p \in Z^+$ and by Lemma 6, there exist positive integers s and t such that $sa = tb + d$ or $tb = sa + d$. Since I is an ideal, it is clear that $sa \in I$ and $tb \in I$. Consequently, if $sa = tb + d$, a series of simple calculations show that the following elements belong to I :

$$\begin{aligned} &bt, \quad bt + d, \\ &2bt, \quad 2bt + d, \quad 2bt + 2d, \\ &3bt, \quad 3bt + d, \quad 3bt + 2d, \quad 3bt + 3d \\ &\dots\dots\dots \\ &ptb, \quad ptb + d, \quad ptb + 2d, \quad ptb + 3d, \dots, \quad ptb + pd. \end{aligned}$$

Substituting $b = pd$ in the last row one obtains

$$p^2td, (p^2t+1)d, (p^2t+2)d, \dots, (p^2t+p)d.$$

Since $ptb + pd = pt + b = (ptb + 1)b$, the last row is $S_d(ptb, (pt+1)b)$ and Lemma 18 implies the existence of an $n \in Z^+$ such that $dT_n \subset I$. On the other hand, if $tb = sa + d$ a similar argument yields the same result.

The following theorem is needed for the characterization of M -ideals in Z^+ .

Theorem 20. *If I is an M -ideal in Z^+ , then there exist $n \in Z^+$ and $d \in Z^+$ such that $dT_n \subset I$.*

PROOF. If $a \in I$ and $b \in I$ where a and b are relatively prime, then Lemma 7 implies $T_k \subset I$ for some k , a contradiction to the fact that I is an M -ideal. Consequently, if $a \in I$ and $b \in I$, then their greatest common divisor, say d , is greater than 1, and the previous theorem gives the desired result.

The following theorem gives a structure and characterization of M -ideals in Z^+ and is necessary to show that Z^+ is Noetherian.

Theorem 21. An ideal I in Z^+ is an M -ideal if and only if I has a finite basis and $I = L \cup qT_p$, where $q > 1$, qT_p is a maximal dT_n -ideal contained in I , and $L = \{t \in I \mid 0 < t < pq\}$.

PROOF. The above theorem guarantees the existence of n and $d > 1$ such that $dT_n \subset I$, if I is an M -ideal. Let $S = \{d \in Z^+ \mid d \text{ is the greatest common divisor of some } a \in I \text{ and } b \in I\}$ and q be the least element in S . Theorem 19 assures that $W = \{n \in Z^+ \mid qT_n \subset I\}$ is a non-empty subset of Z^+ . Consequently, if p is the least element of W , then it is clear that $qT_p \subset I$. Suppose there exists $bT_a \subset I$ such that $qT_p \subset bT_a$. It follows from Theorem 12 that b divides q and consequently $b \leq q$. Since b is the greatest common divisor of ba and $b(a+1)$ one has $b \in S$ and it follows that $q \leq b$. Consequently, $b = q$. By Theorem 10, $a \leq p$ and since $a \in W$ it follows that $p \leq a$. Consequently, $p = a$ and $qT_p = bT_a$. Therefore qT_p is a maximal ideal in I . If $c \in I$, $c > pq$ and k is the greatest common divisor of c and pq , then $c = ka$ for some $a \in Z^+$, $k \in S$ and it can be shown that q divides k . Thus there exists $r \in Z^+$ such that $k = rq$. Consequently, $pq < c = ka(rq)a = (ra)q$ and it follows that $ra > p$ and $c \in qT_p$. If $L = \{t \in I \mid 0 < t < pq\}$ then it is clear that $I = L \cup qT_p$. In view of Lemma 17, $S_q(pq, 2pq)$ is a finite basis for I . The converse of the theorem is obvious.

Since any ideal in Z^+ is either a T -ideal or an M -ideal Theorems 9 and 21 give a classification and structure for all ideals in Z^+ . These results can now be used to obtain the following theorem.

Theorem 22. Z^+ is a Noetherian semiring.

PROOF. In view of Theorem 9 and Theorem 21, any ideal in Z^+ has a finite basis, and it follows that Z^+ is Noetherian (see Allen [1]).

Definition 23. An ideal I in a semiring R will be called *almost principal* if there exists a finite set $S \subset R$ such that $I \cup S = P$, where P is a principal ideal in R . The semiring R will be called an *almost principal ideal semiring* if every ideal in R is almost principal.

Theorem 24. Z^+ is an almost principal ideal semiring.

PROOF. Let I be an ideal in Z^+ . If I is a T -ideal, then by Theorem 9, $I = K \cup T_n$. Let $S = \{t \in Z^+ \mid t \notin I\}$. It is clear that S is a finite subset of Z^+ and $I \cup S = Z^+ = (1)$ is a principal ideal. If I is an M -ideal, then by Theorem 21, $I = L \cup dT_n$. Let $S = \{td \mid t \in Z^+ \text{ and } td \notin I\}$. It is clear that S is a finite subset of Z^+ and $I \cup S = (d)$ is a principal ideal. In either case I is an almost principal ideal and the result follows.

References

- [1] P. J. ALLEN, Cohen's theorem for a class of Noetherian semirings, *Publ. Math. (Debrecen)* **17** (1970), 169—171.
- [2] P. J. ALLEN, A fundamental theorem of homomorphisms for semirings, *Proc. Amer. Math. Soc.* **21** (1969), 412—416.

(Received October 16, 1973.)