

A note on Euler's φ -function

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and András Sárközy on the occasion of their round birthdays*

Abstract. For a large class of multiplicative arithmetic functions f a method is given to determine the value of the leading coefficient in the asymptotical formula for the number of integers $n \leq x$ with $f(n)$ prime to a given integer. This is applied to Euler's φ -function, generalizing the result of [6].

1. Introduction

For an integer-valued arithmetic function f and an integer $N \geq 2$ denote by $F_N(f; x)$ the number of integers $n \leq x$ satisfying $(f(n), N) = 1$. If N is a prime, then $F_N(f; x)$ counts the integers $n \leq x$ with $N \nmid f(n)$.

It has been shown in 1964 by E. J. SCOURFIELD ([4]) that for the function $\varphi(n)$ and odd primes q one has

$$F_q(\varphi, x) = (c(q) + o(1)) \frac{x}{\log^{1/(q-1)} x} \quad (1)$$

with some positive constant $c(q)$. This has been later put in a more general context in [2], [3] (see also [5]). The value of the coefficient $c(q)$ has been determined by B. K. SPEARMAN and K. S. WILLIAMS [6] in 2006. The purpose of this note is to show that the approach utilized in [2], [3], [5] can be used to obtain the

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value of the leading coefficient in a similar asymptotic formula for a large class of integer-valued multiplicative functions, without restricting the integer q to be a prime.

2. Notation

By χ we shall denote multiplicative characters mod N , $L(s, \chi)$ will be the corresponding Dirichlet L -function and χ_0 the principal character. The letter p in formulas will be reserved for prime numbers. For $(k, N) = 1$ and $\Re s > 1$ put

$$g(N, k; s) = \sum_{p \equiv k \pmod{N}} \frac{1}{p^s} - \frac{1}{\varphi(N)} \log \frac{1}{s-1}. \quad (2)$$

We shall need a simple formula for the value of

$$g(N, k; 1) = \lim_{s \rightarrow 1+0} g(N, k; s).$$

It belongs to the folklore, but we sketch the proof for the convenience of the readers.

Lemma 1. *If $(N, k) = 1$, then*

$$g(N, k; 1) = \frac{1}{\varphi(N)} \sum_{\chi \neq \chi_0} \overline{\chi(k)} \log L(1, \chi) - \frac{\alpha(N)}{\varphi(N)} - \beta(N, k),$$

where

$$\alpha(N) = \log \frac{N}{\varphi(N)},$$

and

$$\beta(N, k) = \sum_{j=2}^{\infty} \frac{1}{j} \sum_{p^j \equiv k \pmod{N}} \frac{1}{p^j}.$$

PROOF. For characters χ mod N and $\Re s > 1$ one has

$$\log L(\chi, s) = \sum_p \frac{\chi(p)}{p^s} + \sum_{j=2}^{\infty} \frac{1}{j} \sum_p \frac{\chi^j(p)}{p^{js}}, \quad \sum_p \frac{\chi_0(p)}{p^s} = \sum_p \frac{1}{p^s} - \sum_{p|N} \frac{1}{p^s},$$

and

$$\log \frac{1}{s-1} + r(s) = \log \zeta(s) = \sum_p \frac{1}{p^s} + \sum_{j=2}^{\infty} \frac{1}{j} \sum_p \frac{1}{p^{js}},$$

where $r(s)$ is regular for $\Re s \geq 1$ and vanishes at $s = 1$.

Thus

$$\sum_p \frac{\chi_0(p)}{p^s} = \log \frac{1}{s-1} + r(s) - \sum_{p|N} \frac{1}{p^s} - \sum_{j=2}^{\infty} \frac{1}{j} \sum_p \frac{1}{p^{js}}.$$

Applying Dirichlet's formula

$$\varphi(N) \sum_{p \equiv k \pmod N} p^{-s} = \sum_{\chi} \overline{\chi(k)} \sum_p \frac{\chi(p)}{p^s}$$

one arrives at

$$\begin{aligned} \varphi(N) \sum_{p \equiv k \pmod N} p^{-s} &= \log \frac{1}{s-1} + r(s) - \sum_{p|N} \frac{1}{p^s} - \sum_{j=2}^{\infty} \frac{1}{j} \sum_p \frac{1}{p^{js}} \\ &+ \sum_{\chi \neq \chi_0} \overline{\chi(k)} \log L(s, \chi) - \sum_{j=2}^{\infty} \frac{1}{j} \sum_p \frac{1}{p^{js}} \sum_{\chi \neq \chi_0} \overline{\chi(k)} \chi(p^j). \end{aligned}$$

In view of

$$\sum_{\chi \neq \chi_0} \chi(a) = \begin{cases} -1 & \text{if } a \not\equiv 1 \pmod N \text{ and } (a, N) = 1, \\ \varphi(N) - 1 & \text{if } a \equiv 1 \pmod N, \\ 0 & \text{if } (a, N) > 1, \end{cases}$$

we get

$$\begin{aligned} \varphi(N) \sum_{p \equiv k \pmod N} p^{-s} &= \log \frac{1}{s-1} + r(s) - \sum_{p|N} \frac{1}{p^s} - \sum_{j=2}^{\infty} \frac{1}{j} \sum_p \frac{1}{p^{js}} \\ &+ \sum_{\chi \neq \chi_0} \overline{\chi(k)} \log L(s, \chi) + \sum_{j=2}^{\infty} \frac{1}{j} \sum_{p \nmid N} \frac{1}{p^{js}} - \varphi(N) \sum_{j=2}^{\infty} \frac{1}{j} \sum_{p^j \equiv k \pmod N} \frac{1}{p^{js}}, \end{aligned}$$

and this leads to

$$\varphi(N) g(N, k; 1) = \sum_{\chi \neq \chi_0} \overline{\chi(k)} \log L(1, \chi) \left(\sum_{j=1}^{\infty} \frac{1}{j} \sum_{p|N} \frac{1}{p^j} + \varphi(N) \sum_{j=2}^{\infty} \frac{1}{j} \sum_{p^j \equiv k \pmod N} \frac{1}{p^j} \right).$$

It remains to observe that

$$\sum_{j=1}^{\infty} \frac{1}{j} \sum_{p|N} \frac{1}{p^j} = \sum_{p|N} \log \frac{1}{1-1/p} = \log \prod_{p|N} \frac{1}{1-1/p} = \log \frac{N}{\varphi(N)}. \quad \square$$

We shall need also the following lemma:

Lemma 2. *If $m \geq 2$ and $(k, N) = 1$, then for $\Re s > 1/m$ one has*

$$\lim_{s \rightarrow 1/m+0} \left(\sum_{p \equiv k \pmod N} \frac{1}{p^{sm}} - \frac{1}{\varphi(N)} \log \frac{1}{s - 1/m} \right) = g(N, k; 1) - \frac{\log m}{\varphi(N)}.$$

PROOF. Follows from (2) and the equality

$$\log(ms - 1) = \log(s - 1/m) + \log m. \quad \square$$

For shortness we shall write

$$T(y) = \sum_{j=2}^{\infty} \frac{(-1)^{j+1}}{j} y^{-j} = \log(1 + 1/y) - 1/y,$$

and

$$V(y) = \sum_{j=2}^{\infty} \frac{1}{jy^j} = \log \frac{1}{1 - 1/y} - 1/y.$$

Moreover we put

$$\Phi(n) = \prod_{p|n} \left(1 - \frac{1}{p-1} \right),$$

and for $(N, k) = 1$ and $\Re s > 1$

$$Z(N, k; s) = \prod_{p \equiv k \pmod N} \frac{1}{1 - p^{-s}}.$$

3. Multiplicative functions

We shall deal with integer-valued multiplicative functions $f(n)$ which are polynomial-like, i.e. have the property that for $i = 1, 2, \dots$ and every prime p one has $f(p^i) = V_i(p)$, where $V_1, V_2, \dots$ are polynomials with integral coefficients.

For a fixed integer $N \geq 3$ and $i = 1, 2, \dots$ put

$$R_i(f, N) = \{x \pmod N : (xV_i(x), N) = 1\}$$

and denote by $m = m(f, N)$ the smallest integer i for which the set is nonempty, if such integer exists.

For $\Re s > 1$ put

$$F(f, N; s) = \sum_{n=1}^{\infty} \frac{\chi_0(f(n))}{n^s}.$$

Expanding $F(f, N; s)$ in an Euler product one gets

$$F(f, N; s) = g(s)G(s),$$

with

$$g(s) = \prod_{p|N} \left(1 + \sum_{j=1}^{\infty} \frac{\chi_0(f(p^j))}{p^{js}} \right) \quad (3)$$

being regular for $\Re s > 0$ and

$$G(s) = \prod_{p \nmid N} \left(1 + \sum_{j=m}^{\infty} \frac{\chi_0(f_j(p))}{p^{js}} \right).$$

Since

$$\left| 1 + \frac{\chi_0(f(p^m))}{p^{ms}} \right| \geq \frac{1}{2},$$

the product

$$H(s) = \prod_{p \nmid N} \frac{1 + \sum_{j=m}^{\infty} \chi_0(f(p^j))p^{-js}}{1 + \chi_0(f(p^m))p^{-ms}} \quad (4)$$

is regular for $\Re s \geq 1/m$.

Moreover

$$\begin{aligned} \prod_{p \nmid N} \left(1 + \frac{\chi_0(f(p^m))}{p^{ms}} \right) &= \prod_{p \nmid N} \left(1 + \frac{\chi_0(V_m(p))}{p^{ms}} \right) \\ &= \exp \left(\sum_{p \nmid N} \log \left(1 + \frac{\chi_0(V_m(p))}{p^{ms}} \right) \right) = \exp \left(\sum_{p \nmid N} \frac{\chi_0(V_m(p))}{p^{ms}} + h(s) \right), \end{aligned}$$

where

$$h(s) = \sum_{p \nmid N} \chi_0(V_m(p)) \sum_{r=2}^{\infty} \frac{(-1)^{r+1}}{r} \frac{1}{p^{mrs}} \quad (5)$$

is regular for $\Re s \geq 1/m$.

This implies the equality

$$G(s) = H(s) \exp \left(\sum_{p \nmid N} \frac{\chi_0(V_m(p))}{p^{ms}} + h(s) \right), \quad (6)$$

valid for $\Re s > 1/m$.

Now write $R_m(f, N) = \{r_1 < r_2 < \dots < r_t\}$, observe that

$$\sum_{p \nmid N} \frac{\chi_0(V_m(p))}{p^{ms}} = \sum_{j=1}^t \sum_{p \equiv r_j \pmod N} \frac{1}{p^{ms}},$$

and apply Lemma 2 to arrive at

$$\sum_{p \nmid N} \frac{\chi_0(V_m(p))}{p^{ms}} = \frac{t}{\varphi(N)} \log \frac{1}{s - 1/m} + \sum_{j=1}^t g(N, r_j; 1) - \frac{t \log m}{\varphi(N)} + \gamma(s),$$

where $\gamma(s)$ is regular for $\Re s \geq 1/m$ and $\gamma(1/m) = 0$.

The last equality and (6) give now

$$F(f, N; s) = A(s)/(s - 1/m)^B,$$

where

$$A(s) = g(s)H(s) \exp \left(h(s) + \sum_{j=1}^t g(N, r_j; 1) - \frac{t \log m}{\varphi(N)} + \gamma(s) \right) \quad (7)$$

and

$$B = t/\varphi(N). \quad (8)$$

Observe also that $A(s)$ cannot vanish at $s = 1/m$. Indeed, otherwise one would have either $1 \leq g(1/m) = 0$, or $H(1/m) = 0$, thus for some prime $p \nmid N$ one must have

$$\sum_{j=m}^{\infty} \frac{\chi_0(V_j(p))}{p^{j/m}} = -1,$$

which is obviously not possible.

Applying the TAUBERIAN theorem of DELANGE ([1], [7], p. 275) to the function $F(f, N; s)$ one gets the following assertion:

Theorem 1. *Let f be a polynomial-like integral-valued multiplicative function and let $N \geq 2$ be an integer. Then*

$$\#\{n \leq x : (f(n), N) = 1\} = \left(\frac{mA}{\Gamma(t/\varphi(N))} + o(1) \right) \frac{x^{1/m}}{\log^{1-t/\varphi(N)} x},$$

with

$$A = g(1/m)H(1/m) \exp \left(h(1/m) + \sum_{j=1}^t g(N, r_j; 1) - \frac{t \log m}{\varphi(N)} \right), \quad (9)$$

the functions $g(N, k; s)$, $g(s)$, $H(s)$, $h(s)$ being defined in (2), (3), (4) and (5), respectively, and $m = m(f, N)$.

4. Euler's function

We apply now Theorem 1 to Euler's function $\varphi(n)$.

Theorem 2. *If $N \geq 3$ is an odd integer, then*

$$F_N(\varphi, x) = (c(N) + o(1)) \frac{x}{\log^a x},$$

where

$$a = 1 - \Phi(N)$$

and

$$c(N) = \frac{1}{\Gamma(\Phi(N))} \left(\frac{\varphi(N)}{N} \right)^{\Phi(N)} \prod_{p|N, (p-1, N)=1} \left(1 + \frac{1}{p} \right) \cdot \prod_{\chi \neq \chi_0} L(1, \chi)^{-\Phi(f_\chi)} \left(\prod_{1 < d|N} \prod_{1 < \delta | \varphi(d)} \prod_{k \in T_d(\delta)} Z(N, k; \delta)^{\mu(d)/\delta} \right)^{-1},$$

where f_χ is the conductor of χ and $T_d(\delta)$ denotes the set of residue classes mod N having order $\delta \pmod d$.

PROOF. Let $N = \prod_{j=1}^s p_j^{\alpha_j} \geq 3$ be an odd integer, let R_1 denote the set of residue classes $k \pmod N$ satisfying $(k(k-1), N) = 1$ and R let be their union. In our case we have $2 \in R_1(\varphi, N) = R_1 \neq \emptyset$ hence $m = m(\varphi, N) = 1$, and since the Chinese remainder theorem implies

$$\#R_1(\varphi, N) = \prod_{j=1}^s \#R_1(\varphi, p_j^{\alpha_j}),$$

hence

$$t = \#R_1 = \prod_{j=1}^s (p_j - 2)p_j^{\alpha_j - 1} = N \prod_{p|N} \left(1 - \frac{2}{p} \right) \neq 0.$$

Since $\varphi(p^j) = (p-1)p^{j-1}$, the condition $(\varphi(p^j), N) = 1$ is equivalent to either $p \nmid N$ and $(p-1, N) = 1$, or $p|N$, $(p-1, N) = 1$ and $j = 1$. Therefore

$$g(1) = \prod_{p|N, (N, p-1)=1} \left(1 + \frac{1}{p} \right), \quad H(1) = \prod_{p \in R} \frac{1}{1 - 1/p^2}, \quad (10)$$

and

$$h(1) = \sum_{p \in R} T(p).$$

Moreover, by Lemma 1 we have

$$\sum_{k \in R} g(N, k; 1) = \frac{1}{\varphi(N)} \sum_{\chi \neq \chi_0} \sum_{k \in R} \overline{\chi(k)} \log L(1, \chi) - \frac{t}{\varphi(N)} \log \frac{N}{\varphi(N)} - S \quad (11)$$

with

$$S = \sum_{k \in R} \beta(N, k). \quad (12)$$

Write P_d for the set of primes $p \nmid N$, congruent to 1 mod d . We can write S in the form

$$\begin{aligned} S &= \sum_{j \geq 2} \frac{1}{j} \sum_{p^j \in R} \frac{1}{p^j} = \sum_{j \geq 2} \frac{1}{j} \sum_{p \nmid N} \frac{1}{p^j} - \sum_{j \geq 2} \frac{1}{j} \sum_{p \nmid N, p^j \notin R} \frac{1}{p^j} \\ &= \sum_{p \nmid N} V(p) + \sum_{d|N, d>1} \mu(d) \sum_{j \geq 2} \sum_{\substack{p \nmid N \\ p^j \equiv 1 \pmod{d}}} \frac{1}{jp^j} \\ &= \sum_{p \nmid N} V(p) + \sum_{d|N, d>1} \mu(d) \sum_{j \geq 2} \left(\sum_{p^j \equiv 1 \pmod{d}} d \frac{1}{jp^j} - \sum_{\substack{p|N \\ p^j \equiv 1 \pmod{d}}} \frac{1}{jp^j} \right) \\ &= \sum_{p \nmid N} V(p) + \sum_{d|N, d>1} \mu(d) \sum_{j \geq 2} \frac{1}{j} \left(\sum_{p \in P_d} \frac{1}{p^j} + \sum_{\substack{p \nmid N, p \notin P_d \\ p^j \equiv 1 \pmod{d}}} \frac{1}{p^j} \right) \\ &= \sum_{p \nmid N} V(p) + \sum_{d|N, d>1} \mu(d) \sum_{p \in P_d} V(p) \\ &\quad + \sum_{d|N, d>1} \mu(d) \sum_{j \geq 2} \frac{1}{j} \sum_{\substack{p \nmid N, p \notin P_d \\ p^j \equiv 1 \pmod{d}}} \frac{1}{p^j} = A + B + C, \end{aligned}$$

say. Since

$$B = \sum_{p \nmid N} V(p) \left(\sum_{d|(N, p-1)} \mu(d) - 1 \right) = - \sum_{p \nmid N, (N, p-1) > 1} V(p),$$

we get

$$A + B = \sum_{p \in R} V(p).$$

Now observe that if $o_d(p)$ denote the multiplicative order of $p \bmod d$, then $p^j \equiv 1 \bmod d$ holds if and only if $o_d(p)$ divides j . Therefore

$$\begin{aligned} C &= \sum_{1 < d|N} \mu(d) \sum_{1 < \delta|\varphi(d)} \sum_{\substack{p \nmid N \\ o_d(p)=\delta}} \frac{1}{\delta} \sum_{m=1}^{\infty} \frac{1}{mp^{m\delta}} \\ &= \sum_{1 < d|N} \sum_{1 < \delta|\varphi(d)} \frac{1}{\delta} \sum_{\substack{p \nmid N \\ o_d(p)=\delta}} \left(V(p^\delta) + \frac{1}{p^\delta} \right) \\ &= \sum_{1 < d|N} \mu(d) \sum_{1 < \delta|\varphi(d)} \frac{1}{\delta} \sum_{p, o_d(p)=\delta} \log \frac{1}{1-p^{-\delta}}, \end{aligned}$$

and finally we arrive at

$$S = A + B + C = \sum_{p \in R} V(p) + \log \left(\prod_{1 < d|N} \prod_{1 < \delta|\varphi(d)} \prod_{k \in T_d(\delta)} Z(N, k; \delta)^{\mu(d)/\delta} \right), \quad (13)$$

where $T_d(\delta)$ denotes the set of residue classes mod N having order $\delta \bmod d$.

Moreover for $\chi \neq \chi_0$ we have

$$\sum_{k \in R_1} \overline{\chi(k)} = \sum_{k=1}^{N-1} \overline{\chi(k)} - \sum_{k \notin R_1} \overline{\chi(k)} = - \sum_{d|N} \mu(d) \sum_{k \equiv 1 \bmod d, (k, N)=1} \overline{\chi(k)}.$$

Let H_d be the subgroup of the multiplicative group mod N consisting of residue classes congruent to 1 mod d . In view of

$$\sum_{k \equiv 1 \bmod d, (k, N)=1} \overline{\chi(k)} = \begin{cases} \varphi(N)/\varphi(d) & \text{if } d|f_\chi, \\ 0 & \text{otherwise,} \end{cases}$$

we get

$$\sum_{k \in R_1} \overline{\chi(k)} = -\varphi(N) \sum_{d|f_\chi} \frac{\mu(d)}{\varphi(d)} = -\varphi(N) \Phi(f_\chi),$$

hence

$$\exp \left(\frac{1}{\varphi(N)} \sum_{\chi \neq \chi_0} \sum_{k \in R_1} \overline{\chi(k)} \log L(1, \chi) \right) = \prod_{\chi \neq \chi_0} L(1, \chi)^{-\Phi(f_\chi)}. \quad (14)$$

Observe finally that one has

$$H(1) \exp \left(h(1) - \sum_{p \in R} V(p) \right) = 1. \quad (15)$$

The assertion follows now from (10), (11), (12), (13), (14), (15) and Theorem 1. $\square$

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