

y -Berwald spaces of dimension two and associated heterochronic systems

By P. L. ANTONELLI (Edmonton) and M. MATSUMOTO (Kyoto)

Abstract. This paper classifies all two-dimensional y -Berwald spaces. Such Finsler geometries arise in time-sequencing change models in the evolution of colonial organisms.

0. Introduction

Let $N(p, q)$ denote the fundamental function of a two-dimensional Minkowski space, $g_{ij}(p, q)$ the metric tensor and let $\psi(x, y, p, q)$ denote a fixed function (positively) homogeneous of degree one in p, q (i.e. $\dot{x}, \dot{y}$). In the biological works about modelling colonial animals with two morphotypes or castes [5], [6] one defines the *Associated Heterochronic System* (AHS) to be the dynamical system

$$\frac{d^2 x^i}{ds^2} + (\delta_j^i \psi_k + \delta_k^i \psi_j) \frac{dx^j}{ds} \frac{dx^k}{ds} = g^{ij} \dot{\partial}_j \psi,$$

where $x^1 = x$, $x^2 = y$, are log-biomass variables for each type $\psi_k = \dot{\partial}_k \psi$ and $ds = (g_{ij} \dot{x}^i \dot{x}^j)^{1/2}$ measures total size increment. The left-hand side represents the *time-sequencing change*, along the straight-line growth curves which are geodesics for the metric function $N(p, q)$, and is in fact a projective parameter change of the original geodesics [2], [7], [8]. The right-hand side expresses the vertical gradient influence (i.e. external and environmental) which causes a colonial organism to change the internal ecology of its two member castes (i.e. subpopulations) through the hormonal alteration of its genetically defined program of growth and differentiation [5], [6].

In the present paper, we address the important question of when an AHS is identical to the geodesic spray of a Finsler metric function F defined only in terms of ψ and $N(p, q)$. In the case, $\psi = a_1 p + a_2 q$, a_i constant, it is known that $F(x, y, p, q) = e^{a_1 x + a_2 y} \cdot N(p, q)$, [1], [2], [3], [4]. The main result proved here is that this is the only possibility given that ψ is independent of x and y . In this instance, the AHS must be the geodesic spray of a *y-Berwald space*, which is a Finsler space with the Berwald connection coefficients independent of x and y (these would be *adapted coordinates* in such a space).

Our theorem is proved in Section 1, some difficult examples are provided in the second section. The AHS is a little understood dynamics. We hope the present work and the references will be helpful to readers interested in further discussions.

1. Two-dimensional *y*-Berwald spaces

We consider an n -dimensional Finsler space F^n with the fundamental function $L(x; y)$. If we put

$$L_i = \partial L / \partial x^i, \quad L_{(i)} = \partial L / \partial y^i,$$

we have for the Berwald connection (G_j^i, G_{jk}^i)

$$(1.1) \quad L_i = L_{(r)} G_i^r.$$

Then we get

$$(1.2) \quad L_i y^i := L_0 = 2L_{(r)} G^r, \quad (2G^r = G_i^r y^i).$$

Next (1.1) gives

$$(1.3) \quad L_{i(j)} - L_{j(i)} = L_{(r)(j)} G_i^r - L_{(r)(i)} G_j^r.$$

We shall restrict our discussion to the *two-dimensional* case only. Then (1.3) gives the single equation

$$L_{1(2)} - L_{2(1)} := M = L_{(1)(2)} G_1^1 + L_{(2)(2)} G_1^2 - L_{(1)(1)} G_2^1 - L_{(2)(1)} G_2^2.$$

Using the notation $(p, q) = (y^1, y^2)$ and the Weierstrass invariant [2]

$$W = L_{pp}/q^2 = -L_{pq}/pq = L_{qq}/p^2,$$

the above is written in the form

$$(1.4) \quad M = -2G^1 W q + 2G^2 W p,$$

on account of $2G^r = G_i^r y^i$. Then, (1.4) together with (1.2) leads to

$$(1.5) \quad 2G^1 L = L_0 p - L_q M/W, \quad 2G^2 L = L_0 q + L_p M/W.$$

Definition. A Finsler space is called y -Berwald, if there exists a covering by coordinate neighborhoods in each of which the Berwald connection coefficients G_{jk}^i are functions of y^i alone.

From the well-known equations

$$G_j^i = G_{jk}^i y^k, \quad 2G^i = G_j^i y^j, \quad G_j^i = \partial G^i / \partial y^j, \quad G_{jk}^i = \partial G_j^i / \partial y^k,$$

it is obvious that G_{jk}^i in Definition can be changed to G^i or G_j^i .

We shall deal with a y -Berwald space of two dimensions. Then (1.1) yields $L_{ij} = L_{j(r)} G_i^r$ and

$$(1.6) \quad L_{j(r)} G_i^r - L_{i(r)} G_j^r = 0.$$

Since (1.1) gives

$$L_{j(r)} = (L_{(s)} G_j^s)_{(r)} = L_{(s)(r)} G_j^s + L_{(s)} G_{jr}^s,$$

(1.6) is written in the form

$$L_{(s)} (G_{jr}^s G_i^r - G_{ir}^s G_j^r) = 0,$$

which is only the single equation

$$(1.7) \quad L_p H^1 + L_q H^2 = 0,$$

where we put

$$(1.7a) \quad H^s(p, q) = G_{1r}^s G_2^r - G_{2r}^s G_1^r.$$

Then, (1.7) together with $L_p p + L_q q = L$ yields

$$(1.8) \quad L_p/L = K_1, \quad L_q/L = K_2,$$

where we put

$$(1.8a) \quad K_1(p, q) = H^2/(pH^2 - qH^1), \quad K_2(p, q) = -H^1/(pH^2 - qH^1).$$

For K_i we get

$$(K_1)_q = \{H^1 H^2 + q(H^2 H_q^1 - H^1 H_q^2)\}/(pH^2 - qH^1)^2,$$

$$(K_2)_p = \{H^1 H^2 - p(H^2 H_p^1 - H^1 H_p^2)\}/(pH^2 - qH^1)^2.$$

Thus we have $(K_1)_q = (K_2)_p$, i.e., K_i is a gradient vector field in the (p, q) -space, on account of the homogeneity of H^s . Thus we have a function

$K(p, q)$ satisfying $K_1 = K_p$ and $K_2 = K_q$. Thus (1.8) can be integrated to obtain

$$(1.9) \quad L(x, y; p, q) = e^{f(x, y)} N(p, q),$$

where $f(x, y)$ is some function of (x, y) and $N = e^{K(p, q)}$.

Consequently, the space under consideration must be conformal to a locally Minkowski space M^2 with the fundamental function N .

Now we shall return to (1.1); on account of (1.9) it is written as

$$f_x = (N_p G_1^1 + N_q G_1^2)/N, \quad f_y = (N_p G_2^1 + N_q G_2^2)/N.$$

The left-hand sides of these equations are functions of (x, y) , while the right-hand sides are functions of (p, q) from our assumption. Hence these must be constant: $f_x = a_1$, $f_y = a_2$, so that

$$(1.10) \quad f(x, y) = a_1 x + a_2 y + a.$$

Then the formula (1.5) yields

$$(1.11) \quad \begin{aligned} 2G^1 &= p(a_1 p + a_2 q) - (a_1 N_q - a_2 N_p) N_q / w N, \\ 2G^2 &= q(a_1 p + a_2 q) + (a_1 N_q - a_2 N_p) N_p / w N, \end{aligned}$$

where w is the Weierstrass invariant of M^2 :

$$(1.12) \quad w = N_{pp}/q^2 = -N_{pq}/pq = N_{qq}/p^2.$$

Consequently, G^i are functions of (p, q) alone and the space is y -Berwald.

Theorem. Any y -Berwald space of dimension two is conformal to a locally Minkowski space M^2 and the fundamental function $L(x, y; p, q)$ is written in an adapted coordinate system (x, y) of M^2 as $L = e^{f(x, y)} N(p, q)$, $f = a_1 x + a_2 y + a$ with constant a 's, where N is the fundamental function of M^2 in (x, y) .

Remark 1. In the Riemannian case we have the notion of "isothermal coordinates" in the two-dimensional case. In such a coordinate system the fundamental function $L(x, y; p, q)$ can be written as

$$L = e^{f(x, y)} \sqrt{p^2 + q^2}$$

as above. (Therefore, the equation (1.9) is not a condition for the Riemannian case.)

Consequently our theorem shows

Corollary. *Let R^2 be a two-dimensional Riemannian space with the fundamental form $ds^2 = e^{2f(x,y)}(dx^2 + dy^2)$ in an isothermal coordinate system (x, y) . All the Christoffel symbols of R^2 are constant in (x, y) , if and only if $f(x, y) = a_1x + a_2y + a$ with constant a 's.*

It is clear that the condition “ y -Berwald” is equivalent to “constant-Berwald” for Riemannian metrics.

Remark 2. It seems that the notion of a y -Berwald space was first introduced in 1991 by the first author [1]. Contrasting with this notion, a Finsler space with $G_{jk}^i = G_{jk}^i(x)$ is called a *Berwald space* and we have an extensive literature on these spaces. As is well-known [2], a Berwald space can be characterized in terms of the Cartan connection $C\Gamma$: A Finsler space is a Berwald space, if and only if the connection coefficients Γ_{jk}^{*i} of $C\Gamma$ are functions of x^i alone. Thus we have an interesting question from the standpoint of geometry: How about Γ_{jk}^{*i} of y -Berwald spaces?

It follows immediately from the well-known equation $y^j \Gamma_{jk}^{*i} = G_k^i$ [2] that $\Gamma_{jk}^{*i} = \Gamma_{jk}^{*i}(y)$ implies $G_{jk}^i = G_{jk}^i(y)$; the space is y -Berwald.

In the two-dimensional case the inverse is also true. In fact, as already shown, $L(x, y; p, q)$ of y -Berwald space F^2 is written as $L = e^{f(x,y)} N(p, q)$ in an adapted coordinate system (x, y) , where $N(p, q)$ is the fundamental function of a locally Minkowski space M^2 . Since F^2 is conformal to M^2 , F^2 has the common tensor $C_{jk}^i(p, q)$ with M^2 [2], (3.4.1.3'). Then the components of the tensor

$$\begin{aligned} C_{jk;0}^i &= \{\partial C_{jk}^i / \partial x^h - (\partial C_{jk}^i / \partial y^r) G_h^r\} y^h \\ &\quad + C_{jk}^r G_r^i - C_{rk}^i G_j^r - C_{jr}^i G_k^r \end{aligned}$$

are also functions of (p, q) alone. Hence the equation $\Gamma_{jk}^{*i} = G_{jk}^i - C_{jk;0}^i$ [2], (2.5.2.7) shows $\Gamma_{jk}^{*i} = \Gamma_{jk}^{*i}(y)$. Therefore we have

Proposition. (1) *If a Finsler space F^n is covered by coordinate neighborhoods in each of which the coefficients Γ_{jk}^{*i} of the Cartan connection are functions of y^i alone, then F^n is a y -Berwald space.* (2) *A two-dimensional Finsler space F^2 is a y -Berwald space, if and only if there exists a covering of coordinate neighborhoods in each of which Γ_{jk}^{*i} are functions of y^i alone.*

2. Examples

As shown in [7], (2.7) or [8], just before (1.6), the equation of the geodesics is written, in a two-dimensional Finsler space with coordinates

(x, y) , in the form

$$y'' = 2y'G^1(x, y; 1, y') - 2G^2(x, y; 1, y'), \quad y' = dy/dx.$$

Hence, in a y -Berwald space this is of the form $y'' = f(y')$, and it may well be that a y -Berwald metric will be found by the *metrization* of such a differential equation.

In expectation of this hope we shall consider the following examples.

Example 1. We first deal with the differential equation

$$(2.1) \quad y'' + y' + 1 = 0.$$

Let us find the two-dimensional Finsler metric $L(x, y; p, q)$ whose geodesics are given by (2.1).

The solution of (2.1) is written as

$$(2.2) \quad y := \phi(x) = ae^{-x} - x + b,$$

with arbitrary constants (a, b) . This is the finite equation of the family of geodesics.

Following the method shown in [7] or [8], we find successively functions $\alpha(x, y, z)$, $\beta(x, y, z)$, $u(x, y, z)$, $U(x; a, b)$, $V(x, y, z)$ and $B(x, y, z)$:

$$\begin{aligned} z := y' &= -ae^{-x} - 1, & \alpha := a &= -e^x(z + 1), & \beta := b &= x + y + z + 1, \\ u := y'' &= -(z + 1), & U &:= \exp \int u_z(x, \phi, \phi_x) dx = e^{-x}, \\ V &:= U(x; \alpha, \beta) = e^{-x}. \end{aligned}$$

Consequently we get

$$(2.3) \quad B(x, y, z) := H(\alpha, \beta)/V(x, y, z) = e^x H(\alpha, \beta),$$

where H is an arbitrary function. Then the associated fundamental function $A(x, y, z) := L(x, y; 1, z)$ is written in the form

$$(2.4) \quad \begin{aligned} A &= A^*(x, y, z) + C(x, y) + D(x, y)z, \\ A^* &= \iint B(x, y, z)(dz)^2, \end{aligned}$$

where C and D are arbitrary functions but should be chosen to satisfy

$$(2.5) \quad C_y - D_x = A_{zz}^* u + A_{yz}^* z + A_{xz}^* - A_y^*.$$

Finally we obtain the fundamental function

$$(2.6) \quad L(x, y; p, q) = pA(x, y, q/p).$$

Now, let us take $H(\alpha, \beta) = 1$ for simplicity. Then we have

$$A^* = e^x z^2/2, \quad C_y - D_x = -e^x.$$

Choosing $C = 0$ and $D = e^x$, we finally obtain $A = e^x(z^2/2 + z)$ and the metric

$$(2.7) \quad L(x, y; p, q) = e^x(q^2/2p + q).$$

This is certainly a y -Berwald metric according to the above Theorem; in fact G^i are given by (1.11) as follows:

$$(2.8) \quad \begin{aligned} 2G^1 &= p^2\{1 - 2(p+q)^2/q(2p+q)\}, \\ 2G^2 &= pq\{1 - (p+q)/(2p+q)\}. \end{aligned}$$

Example 2. We shall be concerned with the differential equation

$$(2.9) \quad y'' + (y')^2 + y' = 0,$$

of the Liouville type. The solution is written as

$$(2.10) \quad y = \log |ae^{-x} + b|,$$

with arbitrary constants (a, b) .

Similarly as in Example 1, we have

$$\begin{aligned} z &= -a/(a + be^x), & \alpha &= -ze^{x+y}, & \beta &= (z+1)e^y, \\ u &= -(z^2 + z), & U &= e^{-x}(ae^{-x} + b)^{-2}, & V &= e^{-(x+2y)}. \end{aligned}$$

Thus we get

$$(2.11) \quad B = e^{x+2y}H(\alpha, \beta).$$

We are especially interested in $H(\alpha, \beta) = \alpha^n$.

(1°) $n \neq -1, -2$. Then double integration leads to

$$A^* = (-1)^n z^{n+2} \exp \{(n+1)x + (n+2)y\}/(n+1)(n+2), \quad C_y - D_x = 0.$$

Taking $C = D = 0$, we get $A = A^*$. Thus, within the constant factor $(-1)^n/(n+1)(n+2)$ we obtain

$$(2.12) \quad L(x, y; p, q) = q^{n+2}p^{-n-1} \exp \{(n+1)x + (n+2)y\}.$$

Though this is obviously a y -Berwald metric, it is only a *locally Minkowski metric*, because in $(\bar{x}, \bar{y}) = (e^{-x}, e^y)$ we have the metric of the form $L = (-1)^{n+1}(\bar{q})^{n+2}(\bar{p})^{-n-1}$, and the geodesics (2.10) reduce to straight lines $\bar{y} = a\bar{x} + b$.

(2°) $n = -1$. Then we have

$$A^* = -ze^y(\log|z| - 1), \quad C_y - D_x = e^y.$$

Taking $C = e^y$ and $D = 0$, we obtain

$$(2.13) \quad L(x, y; p, q) = e^y(p + q - q \log|q/p|).$$

This is, of course, a y -Berwald metric: G^i are written as

$$(2.14) \quad \begin{aligned} 2G^1 N &= pq(p + q + p \log|q/p|), \\ 2G^2 N &= q^2(3p + 2q + p^2/q - q \log|q/p|), \\ N &= p + q - q \log|q/p|. \end{aligned}$$

(3°) $n = -2$. Then we have

$$A^* = -e^{-x} \log|z|, \quad C_y - D_x = e^{-x}.$$

Choosing $C = 0$ and $D = -e^{-x}$, we obtain

$$(2.15) \quad L(x, y; p, q) = e^{-x}(p \log|q/p| + q).$$

This y -Berwald metric has G^i of the form

$$(2.16) \quad \begin{aligned} 2G^1 &= -p^2 - (p + q)^2 p/N, \\ 2G^2 &= -pq + (p + q)pq(\log|q/p| - 1)/N, \\ N &= p \log|q/p| + q. \end{aligned}$$

Thus we obtain two y -Berwald metrics (2.13) and (2.15). They are both projectively flat, because they have the common geodesics with the locally Minkowski metric (2.12).

Acknowledgements. The authors would like to thank VIVIAN SPAK for her excellent typesetting of this paper.

References

- [1] P. L. ANTONELLI, On y -Berwald connections and Hutchinson's ecology of social interactions, *Tensor, N.S.* **52** (1993), 27–36.
- [2] P. L. ANTONELLI, R. S. INGARDEN and M. MATSUMOTO, The Theory of Sprays and Finsler Spaces with Applications in Physics and Biology, *Kluwer Academic Publishers, Dordrecht/Boston/London*, 1993.
- [3] P. L. ANTONELLI and M. MATSUMOTO, Volterra-Hamilton ecological systems: The two-dimensional classification theory (*to appear in Nonlinear Times and Digest*).
- [4] P. L. ANTONELLI and M. MATSUMOTO, Two-dimensional Finsler spaces of locally constant connection (*to appear in Tensor, N.S.*).

- [5] P. L. ANTONELLI and R. BRADBURY, Volterra-Hamilton Models in the Ecology and Evolution of Colonial Organisms, *World Scientific Press, New York*, 1995, pp. 250.
- [6] P. L. ANTONELLI, R. BRADBURY, V. KŘIVAN and H. SHIMADA, A dynamical theory of heterochrony: Time-sequencing changes in ecology, development and evolution, *J. Biol. Sys.* **1** (1993), 451–487.
- [7] M. MATSUMOTO, Geodesics of two-dimensional Finsler spaces, *Math. and Computer Modelling* **20** (1994), 1–23.
- [8] M. MATSUMOTO, Every path space of dimension two is projective to a Finsler space (*to appear in* Open Systems and Information Dynamics).

P. L. ANTONELLI
MATHEMATICAL SCIENCES DEPARTMENT
UNIVERSITY OF ALBERTA
EDMONTON T6G 2G1
CANADA

M. MATSUMOTO
15 ZENBU-CHO
SHIMOGAMO
SAKYO-KU, KYOTO
606, JAPAN

(Received December 12, 1994)