

Sequences of connected spectrum and the Vilenkin group

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Abstract. The author presents a characterization of the sequences that satisfy a generalization of the interval-filling property. In the second part an application in harmonic analysis is given.

1. Notation and general results

Let $\mathbb{K}$ denote the field of real or complex numbers throughout this section. When a linear normed space X is in consideration, put

$$\ell_1(X) = \left\{ (b_n) : \mathbb{N} \rightarrow X \mid \sum_{n=1}^{\infty} \|b_n\| < \infty \right\}.$$

Definition. The Cartesian product $P = \prod_{n=1}^{\infty} P_n$ is called a *coefficient system* in $\mathbb{K}$ if P_n is a non-void, finite subset of $\mathbb{K}$ for every $n \in \mathbb{N}$. The coefficient system P is *bounded* if there exists $K \in \mathbb{R}$ such that $|p| \leq K$ for every $p \in \bigcup_{n=1}^{\infty} P_n$.

When X is a Banach space over $\mathbb{K}$, $P = \prod_{n=1}^{\infty} P_n$ is a bounded coefficient system in $\mathbb{K}$ and $b = (b_n) \in \ell_1(X)$ set

$$\|P\| = \sup\{|p| : p \in P_n \text{ for some } n \in \mathbb{N}\}$$

$$S_n(P, b) = \left\{ \sum_{k=1}^n \delta_k b_k \mid \delta_k \in P_k \text{ for } k = 1, 2, \dots, n \right\} \quad (n \in \mathbb{N}) \text{ and}$$

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$$S(P, b) = \left\{ \sum_{n=1}^{\infty} \delta_n b_n \mid \delta_n \in P_n \text{ for every } n \in \mathbb{N} \right\}.$$

Definition. The set $S(P, b)$ is called the P -spectrum of (b_n) .

We wish to characterize the sequences (b_n) the P -spectrum of which is connected with respect to a given coefficient system P . In case $X = \mathbb{K} = \mathbb{R}$ these sequences are called interval-filling (of type P) and discussed in [1], [2]. Though the complete characterization of sequences with connected P -spectrum, given in Theorem 1.3, seems to be rather complicated, all the known results [1] for $X = \mathbb{R}$ and some new results for $X = \mathbb{C}$ (with specified coefficient systems, cf. Section 2) can be directly derived from it.

First let us draw up a simple remark, which makes further argument more convenient.

Lemma 1.1. *Let $P = \prod_{n=1}^{\infty} P_n$ be a bounded coefficient system in $\mathbb{K}$, $p_n^* \in P_n$ and $P_n^\circ = \{p - p_n^* \mid p \in P_n\}$ for $n \in \mathbb{N}$, $P^\circ = \prod_{n=1}^{\infty} P_n^\circ$, X a Banach space over $\mathbb{K}$ and $b = (b_n) \in \ell_1(X)$. Then $S(P^\circ, b)$ is connected if and only if $S(P, b)$ is connected.*

PROOF. Observe that $x \in S(P^\circ, b)$ if and only if $x + \sum_{n=1}^{\infty} p_n^* b_n \in S(P, b)$, thus the P° -spectrum and the P -spectrum of (b_n) are congruent.

The following theorem, which is proved for special cases in [3] and [4], plays a fundamental role in our investigations.

Theorem 1.1. *If X is a Banach space over $\mathbb{K}$, $P = \prod_{n=1}^{\infty} P_n$ is a bounded coefficient system in $\mathbb{K}$ and $b = (b_n) \in \ell_1(X)$, then the set $S(P, b)$ is compact.*

PROOF. For $\delta = (\delta_n) \in P$ define $\phi_n(\delta) = \delta_n b_n$ ($n \in \mathbb{N}$). Consider the discrete topology on P_n and the product topology on P . The finite sets P_n are compact, thus P is also compact. Since $\phi_n : P \rightarrow X$ is a composition of a projection and a multiplication, it is continuous. The sum $\phi = \sum_{n=1}^{\infty} \phi_n$ is uniformly convergent, consequently $\phi : P \rightarrow X$ is continuous, hence its range $\phi(P) = S(P, b)$ is compact.

To formulate the following results we need further notation. When (Y, ϱ) is a metric space and $A \subset Y$ is finite, denote by $d(A)$ the diameter of A ; for $\varepsilon > 0$ define

$$T_\varepsilon(A) = \{(a_1, a_2) \in A \times A \mid \varrho(a_1, a_2) \leq \varepsilon\} \quad \text{and} \\ r(A) = \inf \left\{ \varepsilon \in]0, \infty[\mid \bigcup_{k=1}^{\infty} T_\varepsilon^k(A) = A \times A \right\}$$

(where the power means repeated composition). Due to the finiteness of A the above set is non-void and $r(A)$ is its minimum, moreover there exists $m \in \mathbb{N}$ such that $T_{r(A)}^m(A) = A \times A$ and $m \leq \text{card}(A) - 1$.

Theorem 1.2. *If X is a Banach space over $\mathbb{K}$, $P = \prod_{n=1}^{\infty} P_n$ is a bounded coefficient system in $\mathbb{K}$, $b = (b_n) \in \ell_1(X)$ and $\liminf_{n \rightarrow \infty} r(S_n(P, b)) = 0$, then the P -spectrum of (b_n) is connected.*

PROOF. Due to Lemma 1.1 we may assume that $0 \in P_n$ for every $n \in \mathbb{N}$. Then

$$(1) \quad S_n(P, b) \subset S_{n+1}(P, b) \subset S(P, b)$$

holds for every natural number n . To give an indirect proof to our theorem suppose that there exist non-void, disjoint, closed subsets E and F of $S(P, b)$ with $E \cup F = S(P, b)$. Then E and F are compact sets (cf. Theorem 1.1), thus their distance $\varrho(E, F)$ is positive. Hence there exists $n_0 \in \mathbb{N}$ such that for $n > n_0$ we have

$$(2) \quad \|P\| \sum_{k=n+1}^{\infty} \|b_k\| < \varrho(E, F).$$

Now fix a natural number $n > n_0$ and choose $x \in E \cap S_n(P, b)$. If $\delta_k \in P_k$ for $k \geq n+1$, then (2) implies $x + \sum_{k=n+1}^{\infty} \delta_k b_k \notin F$. From this and (1) it follows that the n th partial sum of any representation of an arbitrary element of F is in $F \cap S_n(P, b)$, so $F \cap S_n(P, b)$ and similarly $E \cap S_n(P, b)$ are non-void sets. For $0 < \varepsilon < \varrho(E, F)$ we obviously have

$$T_{\varepsilon}(S_n(P, b)) \subset (E \cap S_n(P, b))^2 \cup (F \cap S_n(P, b))^2 \subsetneq (S_n(P, b))^2,$$

which implies the same property for $T_{\varepsilon}^k(S_n(P, b))$ whenever $k \in \mathbb{N}$. Therefore $r(S_n(P, b)) \geq \varrho(E, F)$, hence $\liminf r(S_n(P, b)) \geq \varrho(E, F) > 0$ in contradiction with the hypothesis.

The previous theorem is convenient for applications (cf. Section 2), while the complete characterization of sequences with connected P -spectrum is given in the following

Theorem 1.3. *Let X be a Banach space over $\mathbb{K}$, $P = \prod_{n=1}^{\infty} P_n$ a bounded coefficient system in $\mathbb{K}$ and $b = (b_n) \in \ell_1(X)$. The P -spectrum of (b_n) is connected if and only if*

$$(3) \quad r(S_n(P, b)) \leq \sum_{k=n+1}^{\infty} d(P_k) \|b_k\|$$

holds for every natural number n .

PROOF. The sufficiency of the inequality-system (3) is an immediate consequence of Theorem 1.2. To prove its necessity we use an indirect reasoning again. Let us suppose that (3) does not hold for some $n \in \mathbb{N}$. Set $\sigma = \sum_{k=n+1}^{\infty} d(P_k) \|b_k\|$ and choose $x \in S_n(P, b)$ arbitrary. Observe that the assumptions $G_i \subset S_n(P, b)$, $x \in G_i$ and $r(G_i) \leq \sigma$ ($i = 1, 2, \dots, m$) imply $r(\bigcup_{i=1}^m G_i) \leq \sigma$, hence there exists a maximal set G_x with the assumed three properties of the sets G_i . The set $S_n(P, b) \setminus G_x$ is non-void, since (3) is false for n as we have supposed. If $y \in G_x$ and $z \in S_n(P, b) \setminus G_x$, then $\|y - z\| > \sigma$, therefore $y + \sum_{k=n+1}^{\infty} \delta_k b_k \neq z + \sum_{k=n+1}^{\infty} \epsilon_k b_k$ whenever $\delta_k, \epsilon_k \in P_k$ ($k = n+1, n+2, \dots$). Let us now define

$$H_x = \left\{ y + \sum_{k=1}^{\infty} \delta_k b_{n+k} \mid y \in G_x \text{ and } \delta_k \in P_k \text{ for every } k \in \mathbb{N} \right\}.$$

H_x and $S(P, b) \setminus H_x$ are non-void subsets of $S(P, b)$. H_x is a union of finitely many compact sets, hence it is compact. The same holds for its complement, since

$$S(P, b) \setminus H_x = \left\{ z + \sum_{k=1}^{\infty} \delta_k b_{n+k} \mid \delta_k \in P_k \text{ } (k = 1, 2, \dots), z \in S_n(P, b) \setminus G_x \right\}.$$

Therefore both of them is a closed set, thus $S(P, b)$ is disconnected in contradiction with the hypothesis.

2. Some Fourier-type transforms of the Vilenkin group

An analogue of Theorem 1 in [4] is given in this section. Consider a sequence $m = (m_n) : \mathbb{N} \rightarrow \mathbb{N} \setminus \{1\}$ and let us denote by Z_s the discrete cyclic (additive) group of s elements (i.e. the set $\{0, 1, \dots, s-1\}$ with the modulo s addition). The Cartesian product (both in topological and algebraic sense)

$$G_m = \prod_{n=1}^{\infty} Z_{m_n}$$

is called the *Vilenkin group* [5, pages 501–510.]. For $n \in \mathbb{N}$ and $x = (x_1, x_2, \dots) \in G_m$ define

$$\varrho_n(x) = \exp\left(\frac{x_n}{m_n} 2\pi i\right).$$

The function ϱ_n is a character of G_m , it is called the (n th) Rademacher character of G_m . Any character of the Vilenkin group is a product of

finitely many Rademacher characters. For a given sequence $a = (a_n) \in \ell_1(\mathbb{C})$ set

$$\phi_a = \sum_{n=1}^{\infty} a_n \varrho_n.$$

The sum is absolutely and uniformly convergent, thus $\phi_a : G_m \rightarrow \mathbb{C}$ is continuous. To illuminate the connection with the previous section, put

$$E_n = \left\{ \exp\left(\frac{k}{m_n} 2\pi i\right) \mid k = 0, 1, \dots, m_n - 1 \right\} \quad (n \in \mathbb{N})$$

and $E = \prod_{n=1}^{\infty} E_n$. Observe that E is a bounded coefficient system in $\mathbb{C}$ and $\phi_a(G_m) = S(E, a)$.

Lemma 2.1. *If u, v, w are complex numbers and*

$$\left| \arg\left(\frac{w-u}{v-u}\right) \right| \leq \frac{\pi}{6},$$

then

$$|w-v| \leq \max \left\{ |w-u|, |v-u| - \frac{1}{\sqrt{3}}|w-u| \right\}$$

(we consider the function $\arg : \mathbb{C} \setminus \{0\} \rightarrow]-\pi, \pi]$ here).

PROOF. Set

$$\alpha = \left| \arg\left(\frac{w-u}{v-u}\right) \right| \quad \text{and} \quad \beta = \left| \arg\left(\frac{w-v}{u-v}\right) \right|.$$

Consider the case $|w-v| > |w-u|$, then $\beta \leq \alpha$,

$$|w-v| = |v-u| \frac{\sin \alpha}{\sin(\alpha + \beta)} \quad \text{and} \quad |w-u| = |v-u| \frac{\sin \beta}{\sin(\alpha + \beta)},$$

as known from elementary geometry. To obtain the inequality in consideration, it is sufficient to justify

$$\sin(\alpha + \beta) - \sin \alpha - \frac{1}{\sqrt{3}} \sin \beta \geq 0 \quad \left(0 \leq \beta \leq \alpha \leq \frac{\pi}{6} \right),$$

which can be performed by standard analysis of extreme values.

Theorem 2.1. *Let $a = (a_n) \in \ell_1(\mathbb{C})$ and G_m the Vilenkin group. If $m_n \geq 6$ and*

$$(4) \quad |a_n| \leq \sum_{k=n+1}^{\infty} |a_k|$$

hold for every natural number n , then the set $\phi_a(G_m)$ is connected.

PROOF. Due to Theorem 1.2 (or Theorem 1.3, since $1 < d(E_n)$) it suffices to show that

$$(5) \quad r(S_n(E, a)) \leq \sum_{k=n+1}^{\infty} |a_k|$$

is satisfied for every $n \in \mathbb{N}$. Set $\beta_n = \sum_{k=n+1}^{\infty} |a_k|$ ($n \in \mathbb{N}$) and let us prove (5) by induction. For $n = 1$ we have

$$r(S_1(E, a)) = 2|a_1| \sin \frac{\pi}{m_1} \leq 2|a_1| \sin \frac{\pi}{6} = |a_1| \leq \beta_1.$$

Assume that $n > 1$ and $r(S_{n-1}(E, a)) \leq \beta_{n-1}$. For $x \in S_{n-1}(E, a)$ put

$$A_x = \left\{ x + a_n \exp\left(\frac{k}{m_n} 2\pi i\right) \mid k = 0, 1, \dots, m_n - 1 \right\}.$$

Similarly, as it is calculated for the case $n = 1$, $r(A_x) \leq |a_n| \leq \beta_n$. Hence

$$A_x \times A_x \subset T_{\beta_n}^{m_n-1}(S_n(E, a)).$$

Choose $x, y \in S_{n-1}(E, a)$ with $|x - y| \leq \beta_{n-1}$. We are going to prove that there exist $z \in A_x$ and $w \in A_y$ such that $|z - w| \leq \beta_n$. Then we can infer

$$(S_n(E, a))^2 = \left(\bigcup_{x \in S_{n-1}(E, a)} A_x \right)^2 = T_{\beta_n}^{t(m_n-1)+t-1}(S_n(E, a))$$

where $t = \text{card}(S_{n-1}(E, a))$, that is $r(S_n(E, a)) \leq \beta_n$. The existence of such z and w is obvious when $|x - y| \leq \beta_n$ is satisfied: let $z = x + a_n$ and $w = y + a_n$. Otherwise choose $k \in \{0, 1, \dots, m_n - 1\}$ such that

$$\left| \arg(y - x) - \arg(a_n) - \left(\frac{k}{m_n} + j\right) 2\pi \right| \leq \frac{\pi}{6}$$

hold for some $j \in \mathbb{Z}$ and let $z = x + a_n \exp(\frac{k}{m_n} 2\pi i)$. Lemma 2.1 implies

$$|z - y| \leq \max \left\{ |a_n|, |x - y| - \frac{|a_n|}{\sqrt{3}} \right\} \leq \max \left\{ |a_n|, |x - y| - \frac{|a_n|}{2} \right\}.$$

Now choose $l \in \{0, 1, \dots, m_n - 1\}$ such that

$$\left| \arg(z - y) - \arg(a_n) - \left(\frac{l}{m_n} + j \right) 2\pi \right| \leq \frac{\pi}{6}$$

hold for some $j \in \mathbb{Z}$ and let $w = y + a_n \exp\left(\frac{l}{m_n} 2\pi i\right)$. Applying Lemma 2.1 we have

$$\begin{aligned} |z - w| &\leq \max \left\{ |a_n|, \left| z - y - \frac{|a_n|}{\sqrt{3}} \right| \right\} \leq \max \left\{ |a_n|, \left| z - y - \frac{|a_n|}{2} \right| \right\} \\ &\leq \max \{ |a_n|, |x - y| - |a_n| \} \leq \max \{ |a_n|, \beta_{n-1} - |a_n| \} \\ &= \max \{ |a_n|, \beta_n \} = \beta_n. \end{aligned}$$

Theorem 2.2. *Let $a = (a_n) \in \ell_1(\mathbb{C})$ and G_m the Vilenkin group. If*

$$(6) \quad |a_n| \sin \frac{\pi}{m_n} > \sum_{k=n+1}^{\infty} |a_k|$$

holds for every natural number n , then the set $\phi_a(G_m)$ is totally disconnected.

PROOF. Since G_m is a product of finite, discrete sets, it is compact and totally disconnected. We have also proved that the mapping $\phi_a : G_m \rightarrow \mathbb{C}$ is continuous, now we wish to justify that it is injective as well. It implies, as it is familiar, that ϕ_a is a homeomorphism. To prove the injectivity suppose that $x, y \in G_m$, $x \neq y$ and $\phi_a(x) = \phi_a(y)$. Put $p = \inf\{n \in \mathbb{N} \mid x_n \neq y_n\}$. Then the assumption $\phi_a(x) - \phi_a(y) = 0$ can be written in the form

$$a_p(\varrho_p(y) - \varrho_p(x)) = \sum_{n=p+1}^{\infty} a_n(\varrho_n(x) - \varrho_n(y)),$$

hence

$$\begin{aligned} 2|a_p| \sin \frac{\pi}{m_p} &\leq |a_p| |\varrho_p(y) - \varrho_p(x)| \leq \\ &\sum_{n=p+1}^{\infty} |a_n| |\varrho_n(x) - \varrho_n(y)| \leq 2 \sum_{n=p+1}^{\infty} |a_n|, \end{aligned}$$

in contradiction with the hypothesis.

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