

A note on radical semisimple classes

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Section 1.

The purpose of this note is to investigate the character of those finite sets of finite fields which determine radical semisimple classes. These classes are provided with a lattice structure and properties of this lattice are found. Definitions of radical related terms can be found in [2] and for those of lattice related terms in [1]. As usual, lcm will mean the least common multiple, gcd the greatest common divisor, and $a|b$ means a divides b . All rings considered will be associative.

In [6], P. STEWART has completely characterized all radical semisimple classes as subdirect sums of strongly hereditary finite sets of finite field.

Definition 1. A class of rings C is called *strongly hereditary* if whenever $R \in C$ and S is a subring of R then $S \in C$.

Definition 2. Let K_n be the class of all rings R such that $x^n = x$ for every $x \in R$, $n = 2, 3, 4, \dots$.

Stewart also establishes in [6] that every ring in a given K_n is isomorphic to a subdirect sum of fields from a strongly hereditary finite set of finite fields. It is these sets of fields we investigate and the associated K_n .

Section 2.

Let Z_{p^n} be a finite field of order p^n , p a prime and n a positive integer. It is well known that the subrings of Z_{p^n} are exactly those fields of order p^m where $m|n$. Now consider the following finite set of finite fields:

$$S = \{Z_{p_1}, Z_{p_1^2}, \dots, Z_{p_1^{r_1}}, Z_{p_2}, Z_{p_2^2}, \dots, Z_{p_2^{r_2}}, \dots, Z_{p_n}, Z_{p_n^2}, \dots, Z_{p_n^{r_n}}\}$$

where the p_i are prime numbers, $i = 1, 2, \dots, n$. Although S satisfies the requirement to be strongly hereditary, there are more fields in S than will normally be needed in our context. Thus we make the following definition.

Definition 3. A set F will be called a *proper strongly hereditary* finite set of finite fields if whenever $Z_{p^n} \in F$ where n is the highest power of the prime p for which $Z_{p^n} \in F$, then $Z_{p^m} \in F$ only if $m|n$. Thus, for example, $\{Z_2, Z_3, Z_{3^3}\}$ is proper where as $\{Z_2, Z_{3^2}, Z_{3^3}\}$ is not.

For each radical semisimple class K_n of Definition 2 we want to find which strongly hereditary finite set of finite fields F_n determines K_n .

Lemma 1. *Let $R \in K_n$ and suppose M is a maximal ideal of R . Then R/M is a finite field and $|R/M|-1$ divides $n-1$, where $|R/M|$ denotes the order of R/M .*

PROOF. R is von Neumann regular, since for $n=2$, $a^2=a$ for all $a \in R$ so $a=aaa$. For $n>2$, $a^n=a$ for all $a \in R$ so $a=aa^{n-2}a$. Hence R is Jacobson semisimple. Since R is commutative [3, p. 217], the Jacobson radical of R is the intersection of all maximal ideals of R . Hence R is isomorphic to a subdirect sum of fields [5, p. 119].

We note that R must have maximal ideals. For if R has no maximal ideals then R has no prime maximal ideals so R is β_s -semisimple, where β_s is the upper radical determined by all simple prime rings. But $\beta_s \subseteq G$, the Brown-McCoy radical and then, since R is commutative, $J(R)=G(R)$ [2, p. 118], a contradiction.

With R/M a field satisfying $x^n=x$ for every $x \in R/M$ we have that R/M must be a finite field. Now $R/M-\{0\}$ is a multiplicative (cyclic) group of finite order satisfying $x^{n-1}=1$ for every $x \in R/M-\{0\}$. Hence $|R/M|-1$ divides $n-1$, completing the proof.

Corollary 1. *Let $R \in K_n$. Then R is a subdirect sum of a finite number of finite fields.*

PROOF. The finite number arises from the fact that there are only a finite number of possibilities for $|R/M|$ where M is a maximal ideal of R .

If R runs through all the distinct rings of K_n , then any prime power p^k with $p^k-1|n-1$ is obtained as the order of a finite field Z_{p^k} such that $R/M \cong Z_{p^k}$ for some $R \in K_n$ and some maximal ideal M in R . This is clear, for let Z_{p^k} be a finite field with $p^k-1|n-1$. For any $x \in Z_{p^k}$ one has $x^{p^k-1}=1$ implying $x^{p^k}=x$. Then if $n-1=q(p^k-1)$, $x^{n-1}=1$ and so $x^n=x$ for any $x \in Z_{p^k}$. Then $Z_{p^k} \in K_n$ with maximal ideal (0) so that $Z_{p^k}/(0) \cong Z_{p^k}$. Now define

$$F_n = \{Z_{p^k} : p^k-1 \text{ is a divisor of } n-1\}.$$

That is, F_n consists of all finite fields Z_{p^k} such that $|Z_{p^k}|-1$ divides $n-1$. We have then shown

Lemma 2. *A finite field $Z_{p^k} \in F_n$ if and only if $p^k-1|n-1$.*

We note that $F_n \neq \emptyset$ since $|Z_2|-1=1|n-1$ for any $n \geq 2$. Determining which finite fields Z_{p^k} are in a given F_n is simply a matter of determining for which primes p does $p^k-1|n-1$ for some k . For example, $F_7 = \{Z_2, Z_{2^2}, Z_3, Z_7\}$ because $2-1|6$, $2^2-1|6$, $3-1|6$, and $7-1|6$.

Lemma 3. *$R \in K_n$ if and only if R is a subdirect sum of fields from F_n .*

PROOF. We have seen that if $R \in K_n$ then R is such a subdirect sum. Conversely, let R be a subdirect sum of fields from F_n . For $x \in R$ one has $x=(\dots, x_i, \dots)$ with entries $x_i \in Z_{p_i^{k_i}} \in F_n$. Then $p_i^{k_i}-1|n-1$ so $x_i^{p_i^{k_i}-1}=1$ and hence $x_i^{n-1}=1$ and $x_i^n=x_i$ for all entries x_i in x . Hence $x^n=x$ for all $x \in R$ so $R \in K_n$.

Remark. It may be pointed out that for $n \neq m$, $F_n = F_m$ is possible and hence $K_n = K_m$. For example, $F_4 = \{Z_2, Z_2^2\} = F_{10}$.

As shown above, F_n can equal F_m with $n \neq m$. To obtain a well-defined lattice structure we must for any fixed positive integer $n \geq 2$ consider all the $F_i = F_n$ and retain only that F_i with least index and omit all the others. This can be done in the following way. Let n be a fixed integer and suppose $\tau - 1 = \text{lcm}(p_i^{k_i} - 1)$ where $p_i^{k_i} - 1 \mid n - 1$. We show that $F_n = F_\tau$ and that τ is the least integer with the stated property. If $Z_{p_i^{k_i}} \in F_n$ then $x_{p_i^{k_i}}^{p_i^{k_i}-1} = 1$ for any $x \in Z_{p_i^{k_i}}$ so $x^{\tau-1} = 1$ and thus $x^\tau = x$ and $Z_{p_i^{k_i}} \in F_\tau$. On the other hand, suppose $Z_{p_i^{k_i}} \in F_\tau$. Then, by definition, $p_i^{k_i} - 1 \mid \tau - 1$ and $\tau - 1 \mid n - 1$ so for any $x \in Z_{p_i^{k_i}}$ we have $x^{\tau-1} = 1$ and hence $x^{n-1} = 1$. It follows that $x^n = x$ and that $Z_{p_i^{k_i}} \in F_n$. Let $n \geq 2$ be a fixed integer. We see that τ , with $\tau - 1 = \text{lcm}(p_i^{k_i} - 1)$, where $p_i^{k_i} - 1 \mid n - 1$, is the least integer for which $F_\tau = F_n$ by definition of least common multiple.

Henceforth then, we will assume when referring to any F_τ that $\tau - 1 = \text{lcm}(p_i^{k_i} - 1)$ where $p_i^{k_i} - 1 \mid \tau - 1$. We thus avoid any duplicity in the listing of the F_τ . To illustrate, we give the first few possible F_τ . With L denoting the entire set of F_τ we have

$$L = \{F_2, F_3, F_4, F_5, F_7, F_8, F_9, F_{11}, F_{13}, F_{15}, F_{16}, F_{17}, F_{19}, F_{21}, F_{22}, F_{23}, F_{25}, F_{27}, F_{29}, \\ F_{31}, F_{32}, F_{37}, \dots\}.$$

We note that $F_6 = F_{12} = F_{14} = F_{18} = F_{20} = F_{24} = F_{26} = F_{30} = \dots = F_2$, $F_{10} = F_{28} = F_{34} = \dots = F_4$, $F_{33} = \dots = F_{17}$, $F_{35} = \dots = F_3$, $F_{36} = \dots = F_8$ and so forth.

Remark. The fields of a given K_n are exactly those in the corresponding F_n . We also note that the above results differ from those in [7, Chapter 6] and [8]. The following three points should also be made. First, not every strongly hereditary finite set of finite fields is exactly equal to some F_n . For example $\{Z_3, Z_{3^2}, Z_{3^3}\}$ is such a set, however it is a proper subset of $F_{105} = \{Z_2, Z_3, Z_{3^2}, Z_{3^3}, Z_5, Z_{5^3}\}$ and is not a subset of F_n for $n \leq 104$. Secondly, every proper strongly hereditary finite set of finite fields is not necessarily some F_n for $\{Z_2, Z_{2^2}, Z_3\}$ is such a set and is not equal to any F_n and is a proper subset of F_{13} . Finally, while every F_n is necessarily a strongly hereditary finite set of finite fields, it need not be proper as is the case with $F_{22} = \{Z_2, Z_{2^2}, Z_{2^3}\}$.

Theorem 1. *The following are equivalent:*

1. $K_n \subseteq K_m$.
2. $F_n \subseteq F_m$.
3. Whenever $p^k - 1 \mid n - 1$, then $p^k - 1 \mid m - 1$.
4. $n - 1 \mid m - 1$.

PROOF. (1) $\rightarrow$ (2). Let $Z_{p^k} \in F_n$. Then $Z_{p^k} \in K_n \subseteq K_m$ so $Z_{p^k} \in F_m$.

(2) $\rightarrow$ (3). Let $p^k - 1 \mid n - 1$. Then $Z_{p^k} \in F_n$ so $Z_{p^k} \in F_m$ and hence $p^k - 1 \mid m - 1$.

(3) $\rightarrow$ (4). We know that $n-1 = \text{lcm}(p_i^{k_i}-1)$ where $p_i^{k_i}-1 \mid n-1$. All such $p_i^{k_i}-1$ are divisors of $m-1$ and hence $\text{lcm}(p_i^{k_i}-1) \mid m-1$ so $n-1 \mid m-1$. (4) $\rightarrow$ (1). Let $Z_{p^k} \in F_n$. Then $p^k-1 \mid n-1$ so $p^k-1 \mid m-1$. Hence $Z_{p^k} \in F_m$ and $F_n \subseteq F_m$. If $R \in K_n$ then R is a subdirect sum of fields from F_n and hence of fields from F_m . Thus $R \in K_m$ and $K_n \subseteq K_m$.

For integers n and m satisfying $n-1 = \text{lcm}(p_i^{k_i}-1)$ where $p_i^{k_i}-1 \mid n-1$ and $m-1 = \text{lcm}(q_i^{l_i}-1)$ where $q_i^{l_i}-1 \mid m-1$ we have F_n and F_m in L . These in turn determine radical semisimple classes K_n and K_m . Hence $K_n \cap K_m$ is a radical class and $K_n \cap K_m$ is a semisimple class [4]. Thus $K_n \cap K_m$ is a radical semisimple class and must equal some K_r .

Theorem 2. *If K_n and K_m are radical semisimple classes then $K_n \cap K_m = K_r$ is a radical semisimple class where $r-1 = \text{gcd}(n-1, m-1)$.*

PROOF. We first show that $F_n \cap F_m = F_{r'}$ with $r'-1 = \text{gcd}(n-1, m-1)$. Let $Z_{p^s} \in F_n \cap F_m$ ($F_n \cap F_m \neq \emptyset$ for $Z_2 \in F_n \cap F_m$). Then $p^s-1 \mid n-1$ and $p^s-1 \mid m-1$ or $p^s-1 \mid \text{gcd}(n-1, m-1) = r'-1$. Thus $Z_{p^s} \in F_{r'}$. Conversely, if $Z_{p^u} \in F_{r'}$ then $p^u-1 \mid r'-1$. But $r'-1 \mid n-1$ and $r'-1 \mid m-1$ so $p^u-1 \mid n-1$ and $p^u-1 \mid m-1$. It follows that $Z_{p^u} \in F_n \cap F_m$. Thus $F_n \cap F_m = F_{r'}$ where $r'-1 = \text{gcd}(n-1, m-1)$. Now suppose $R \in K_{r'}$. Since $r'-1 \mid n-1$, $K_{r'} \subseteq K_n$ by the previous theorem and hence $R \in K_n$. Similarly $R \in K_m$ so $R \in K_n \cap K_m = K_r$. Thus $K_{r'} \subseteq K_r$.

Conversely, if $R \in K_n \cap K_m = K_r$ then R is a subdirect sum of fields from F_r . A field in F_r is a field in K_r and hence both a field in K_n and K_m . Thus a field in F_r is a field in $F_n \cap F_m = F_{r'}$ or $F_r \subseteq F_{r'}$ which implies $K_r \subseteq K_{r'}$. Thus $K_r = K_{r'}$ and in particular $r = r'$ by our earlier identification. Hence $r-1 = r'-1 = \text{gcd}(n-1, m-1)$, completing the proof.

We see that the K_r of Theorem 2 will serve as the greatest lower bound for K_n and K_m . To obtain the second part of our lattice structure we now consider $F_n \cup F_m$. For $Z_{p^s} \in F_n \cup F_m$ either $Z_{p^s} \in F_n$ or $Z_{p^s} \in F_m$ or both. Hence $p^s-1 \mid n-1$ or $p^s-1 \mid m-1$ or both. Thus $p^s-1 \mid \text{lcm}(n-1, m-1)$. Let $\tau-1 = \text{lcm}(n-1, m-1)$. Then $n-1 \mid \tau-1$, $m-1 \mid \tau-1$ so $p^s-1 \mid \tau-1$ and we have $Z_{p^s} \in F_\tau$. Hence $F_n \cup F_m \subseteq F_\tau$ where $\tau-1 = \text{lcm}(n-1, m-1)$. We must show with τ as defined that F_τ is the smallest F_k such that $F_n \subseteq F_k$ and $F_m \subseteq F_k$. Thus suppose $F_n \subseteq F_k$ and $F_m \subseteq F_k$. Then by Theorem 1 $n-1 \mid k-1$ and $m-1 \mid k-1$. Thus $\tau-1 = \text{lcm}(n-1, m-1) \mid k-1$. Hence $F_\tau \subseteq F_k$ and F_τ is the smallest such F_k .

From $F_n \subseteq F_\tau$ and $F_m \subseteq F_\tau$ it follows that $K_n \subseteq K_\tau$ and $K_m \subseteq K_\tau$. Now suppose $K_n \subseteq K_s$ and $K_m \subseteq K_s$. Then $F_n \subseteq F_s$ and $F_m \subseteq F_s$ so by the previous argument $F_\tau \subseteq F_s$. Hence $K_\tau \subseteq K_s$ and with $\tau-1 = \text{lcm}(n-1, m-1)$ we have that K_τ is the smallest K_s such that $K_n \subseteq K_s$ and $K_m \subseteq K_s$.

Section 3.

With the notation of the previous section we now define:

$$K_n \vee K_m = K_\tau, \quad \tau-1 = \text{lcm}(n-1, m-1)$$

$$K_n \wedge K_m = K_r, \quad r-1 = \text{gcd}(n-1, m-1).$$

This enables us to make the collection of radical semisimple classes $\{K_n\}$, $n=2, \dots$, a lattice. This is clear, for the set $\{K_n\}$, $n=2, \dots$, is partially ordered by inclusion and the definitions of $\vee$ and $\wedge$ yield a *lub* and *glb* respectively for any two elements in the set.

Remark. K_τ , in general, is not the set theoretical union of K_n and K_m . For example, $K_3 \vee K_4 = K_7$ for $6 = \text{lcm}(2, 3)$. However, $Z_7 \in K_7$ but $Z_7 \notin K_3 \cup K_4$.

Now we consider some of the properties of this lattice. It is clear that the lattice is *not complete*, for an arbitrary collection of elements of the lattice does not have a least upper bound. It is also easy to see that the lattice is *not Brouwerian*. That is, for any two elements K_n and K_m there does not exist a largest K_s such that $K_n \wedge K_s \equiv K_m$.

Lemma 4. *The lattice of radical semisimple classes is distributive and so modular too.*

PROOF. We must show [1, p. 39] that if $K_n \wedge K_m = K_n \wedge K_\tau$ and $K_n \vee K_m = K_n \vee K_\tau$ then $K_m = K_\tau$. That is, if $\text{gcd}(n-1, m-1) = \text{gcd}(n-1, \tau-1)$ and $\text{lcm}(n-1, m-1) = \text{lcm}(n-1, \tau-1)$ then $m = \tau$. By multiplying our assumptions we have

$$\text{gcd}(n-1, m-1) \text{lcm}(n-1, m-1) = \text{gcd}(n-1, \tau-1) \text{lcm}(n-1, \tau-1)$$

so that $(n-1)(m-1) = (n-1)(\tau-1)$. Hence $m-1 = \tau-1$ and $m = \tau$.

By definition, and in our notation, an *atom* of this lattice would be a K_n such that there does not exist a K_m where $K_2 \subsetneq K_m \subsetneq K_n$.

Lemma 5. *K_n is an atom in the lattice of radical semisimple classes if and only if $n=3$ or $n=2^\alpha$ where α is a prime number.*

PROOF. From Theorem 1 we have $K_2 \subsetneq K_m \subsetneq K_n$ if and only if $F_2 \subsetneq F_m \subsetneq F_n$ and hence we can work with the F_n . It is clear that if F_n contains exactly two fields then F_n is an atom. Such is the case with $F_3 = \{Z_2, Z_3\}$. Every F_n ($\neq F_3$) where n is odd necessarily contains F_3 properly and cannot be an atom. We need only to consider F_n where n is even. If $p^\alpha - 1 \mid n-1$ then $p^\alpha - 1$ must be odd and hence p^α must be even and so $p=2$. Thus the only fields in any F_n where n is even are of the form Z_{2^α} for some integer $\alpha \geq 1$. Consider F_{2^β} where β is a prime number. If F_{2^β} was not an element in L then $F_{2^\beta} = F_\tau$ where $\tau-1 = \text{lcm}(p_i^{\alpha_i} - 1)$ where $p_i^{\alpha_i} - 1 \mid 2^\beta - 1$.

Since F_{2^β} has an even subscript we have from the argument above that $p_i = 2$ for all i . Now $2^{\alpha_i} - 1 \mid 2^\beta - 1$ if and only if $\alpha_i \mid \beta$. But β is prime so $\alpha_i = 1$ or $\alpha_i = \beta$. Hence $\tau-1 = \text{lcm}(2-1, 2^\beta-1) = 2^\beta-1$ and so $\tau = 2^\beta$ and $F_{2^\beta} \in L$. Also $F_{2^\beta} = \{Z_2, Z_{2^\beta}\}$ and hence is an atom. Suppose F_n , n even, contains a field of the form Z_{2^t} where t is not prime and let p be a prime divisor of t . Then, since F_n is strongly hereditary, $Z_{2^p} \in F_n$ and so $F_{2^p} = \{Z_2, Z_{2^p}\} \subsetneq F_n$. Hence F_n cannot be an atom. Hence from Theorem 1 we have that the atoms are K_3 and K_{2^α} where α is prime.

Summarizing the above results we state

Theorem 3. *The set of radical semisimple classes $\{K_n\}$ $n=2, \dots$, determines a distributive lattice whose atoms are K_3 and K_{2^α} where α is prime.*

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